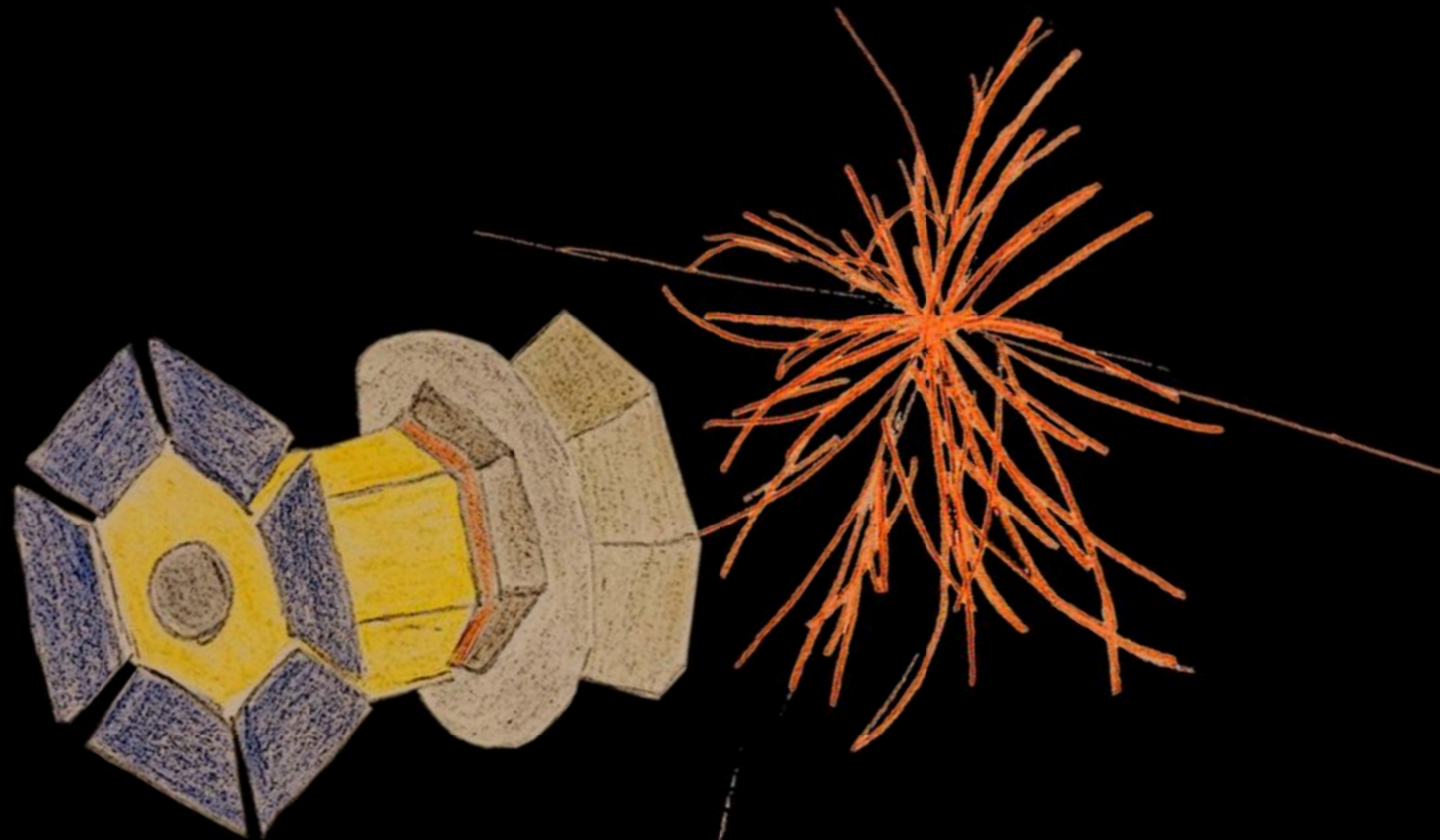


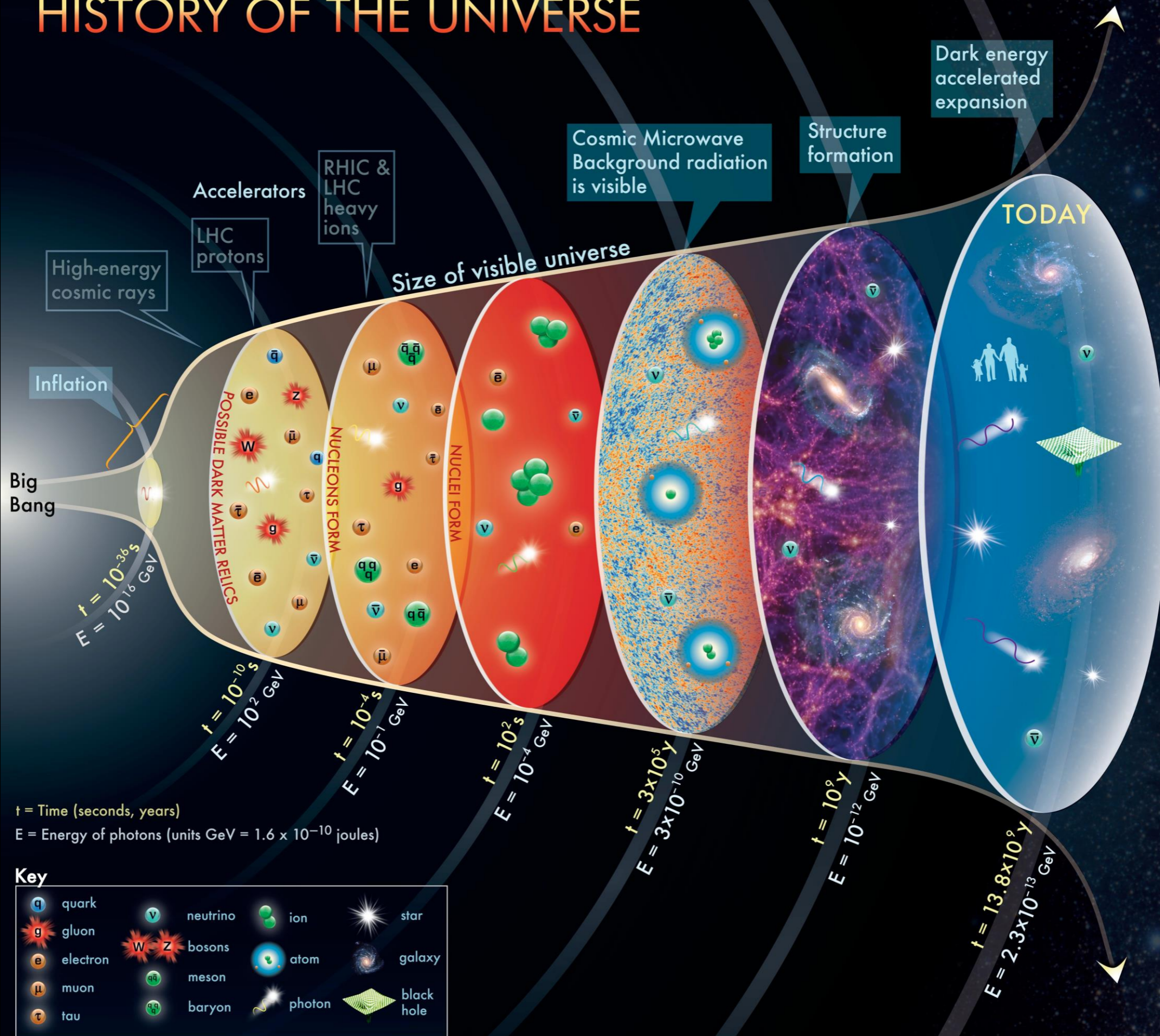
# Neutrino Decoupling in Nonequilibrium QFT



**Marco Drewes**  
Université catholique de Louvain

EuCAPT Astroparticle Neutrino Workshop 2024, Prague, Czech Republic

# HISTORY OF THE UNIVERSE



$t$  = Time (seconds, years)  
 $E$  = Energy of photons (units GeV =  $1.6 \times 10^{-10}$  joules)

**Key**

quark	neutrino	ion	star
gluon	bosons	atom	galaxy
electron	meson	photon	black hole
muon	baryon		
tau			

The concept for the above figure originated in a 1986 paper by Michael Turner.



# HISTORY OF THE UNIVERSE

hot plasma  
of  
elementary  
particles

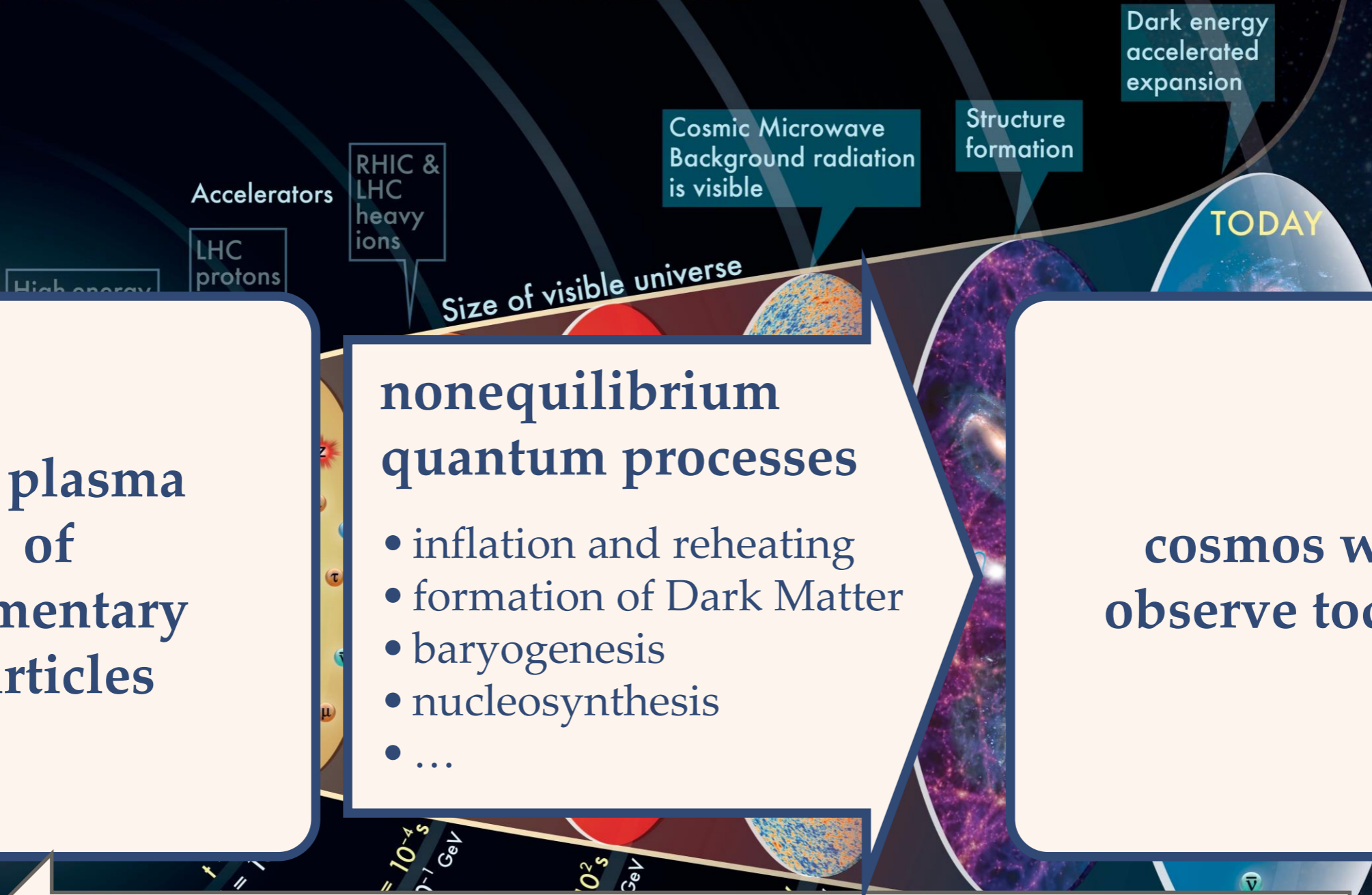
nonequilibrium  
quantum processes

- inflation and reheating
- formation of Dark Matter
- baryogenesis
- nucleosynthesis
- ...

cosmos we  
observe today

energy density, temperature

cosmic time



# Boltzmann Equations

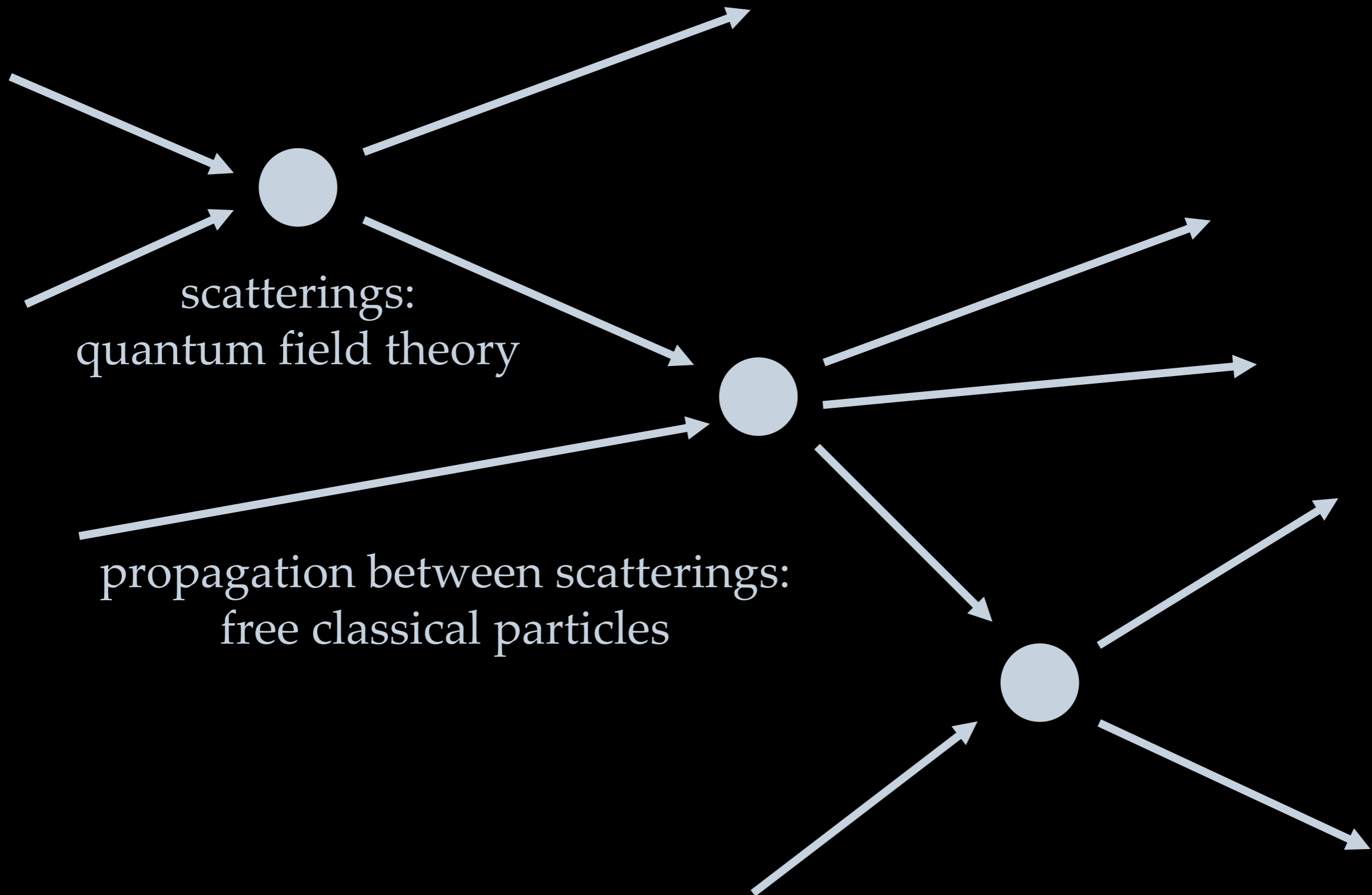
- Equation of motion for classical phase space density

$$\left[ \omega_i \frac{\partial}{\partial t} - H \mathbf{p}_i^2 \frac{\partial}{\partial \omega_i} \right] f_i = \mathcal{I}[\{f_j\}]$$

- Collision term imported from QFT in vacuum

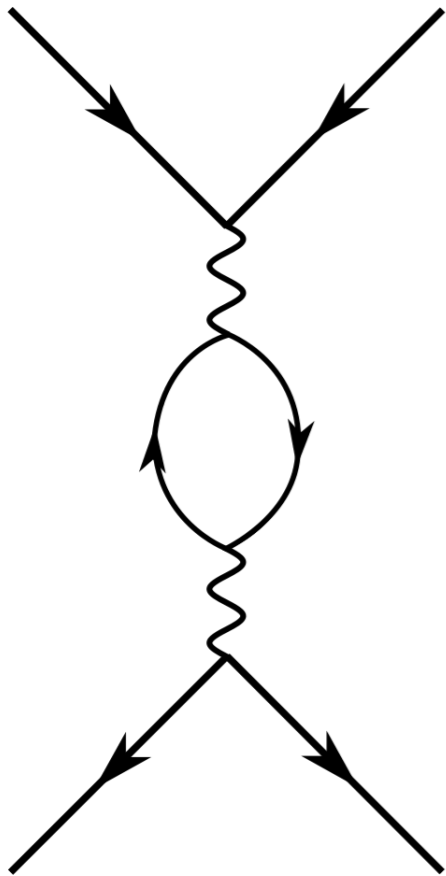
$$\begin{aligned} \mathcal{I}[\{f_j\}] = & -\frac{1}{2} \int \left( \frac{g_a d^3 \mathbf{p}_a}{(2\pi)^3 2\omega_a} \right) \left( \frac{g_b d^3 \mathbf{p}_b}{(2\pi)^3 2\omega_b} \right) \cdots \left( \frac{g_u d^3 \mathbf{p}_u}{(2\pi)^3 2\omega_u} \right) \left( \frac{g_v d^3 \mathbf{p}_v}{(2\pi)^3 2\omega_v} \right) \cdots \\ & \times (2\pi)^2 \delta(p_i + p_a + p_b \cdots - p_u - p_v \cdots) \\ & \times [ |\mathcal{M}|_{i+a+b \dots \rightarrow u+v \dots}^2 f_i f_a f_b \cdots (1 \pm f_u)(1 \pm f_v) \cdots \\ & \quad |\mathcal{M}|_{u+v \dots \rightarrow i+a+b \dots}^2 f_u f_v \cdots (1 \pm f_i)(1 \pm f_a)(1 \pm f_b) \cdots ] \end{aligned}$$

# Boltzmann Equations



# Shortcomings of Boltzmann Equations

- picture the early universe as a series of collider events
- Often works well.... but misses a few crucial aspects:



$$\left[ \omega_i \frac{\partial}{\partial t} - H \mathbf{p}_i^2 \frac{\partial}{\partial \omega_i} \right] f_i = \mathcal{I}[\{f_j\}]$$

- coherence and decoherence
- quantum statistical effects on internal propagators
- screening of particles into quasiparticles
- collective excitations of the plasma
- multiple coherent scatterings
- non-perturbative effects

- “first principles” description makes sure we do not miss these
- At the same time: Need equations that are simple enough for parameter space scans  
⇒ **Quantum Kinetic Theory to make predictions for accelerator experiments**

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# Shortcomings of Boltzmann Equations

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- Some of the shortcomings can be overcome with density matrix equations

$$\partial_t \rho - p H \partial_p \rho = -i[\mathbb{H}, \rho] + \mathcal{I}[\rho]. \quad \text{Raffelt/Sigl 1992}$$

$$\mathcal{I}[\rho] = \frac{1}{2} \left( (1 - \rho) \Gamma^< - \rho \Gamma^> \right) + \text{h.c.}$$

- In the early universe we in addition need the the continuity equation

$$\frac{d\rho_{\text{tot}}}{dt} + 3H(\rho_{\text{tot}} + P_{\text{tot}}) = 0,$$

- And of course the Friedmann equation

$$H^2 = \rho_{\text{tot}} / (3M_{pl}^2)$$



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# Quantitative Description

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- Full information about quantum statistical system contained in von Neumann density operator, with equation of motion

$$\dot{\rho} = -i[H, \rho]$$

- Equivalently: consider infinite tower of n-point functions with expectation values

$$\langle \dots \rangle = \text{Tr}(\rho \dots)$$

- in practice usually one- and two-point functions are sufficient
- Expressing all observables in terms of correlation functions avoids semi-classical assumptions or reference to asymptotic states
- Equations of motion obtained from 2PI effective action in the Schwinger-Keldysh formalism (e.g. Kadanoff-Baym equations); usually non-Markovian and not suitable for parameter scans
- Obtain effective quantum kinetic equations suitable for numerics in a series of controlled approximations adapted to the problem under consideration (gradient expansion in Wigner space, loop truncation, quasiparticle approximation... )

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# Literature

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## Equilibrium and Nonequilibrium Formalisms Made Unified

#2

[Kuang-chao Chou](#) (Beijing, Inst. Theor. Phys.), [Zhao-bin Su](#) (Beijing, Inst. Theor. Phys.), [Bai-lin Hao](#) (Beijing, Inst. Theor. Phys.), [Lu Yu](#) (Beijing, Inst. Theor. Phys.) (Jun, 1984)

Published in: *Phys.Rept.* 118 (1985) 1-131

## Introduction to nonequilibrium quantum field theory

#2

[Juergen Berges](#) (Heidelberg U.) (Sep, 2004)

Published in: *AIP Conf.Proc.* 739 (2004) 1, 3-62 • Contribution to: [9th Hadron Physics and 7th Relativistic Aspects of Nuclear Physics \(HADRON-RANP 2004\): A Joint Meeting on QCD and QGP](#), 3-62  
• e-Print: [hep-ph/0409233](#) [hep-ph]

## Why is there more matter than antimatter? Computational methods for leptogenesis and electroweak baryogenesis

#1

[Björn Garbrecht](#) (Munich, Tech. U.) (Dec 6, 2018)

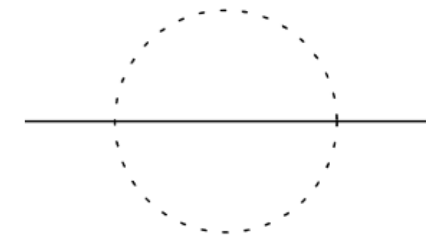
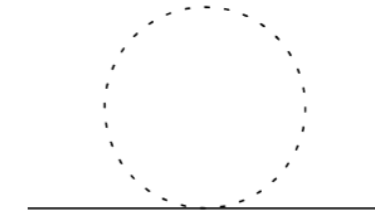
Published in: *Prog.Part.Nucl.Phys.* 110 (2020) 103727 • e-Print: [1812.02651](#) [hep-ph]

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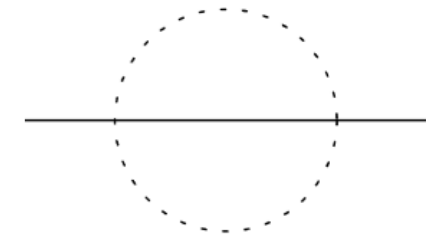
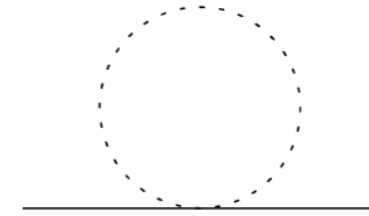
# Models

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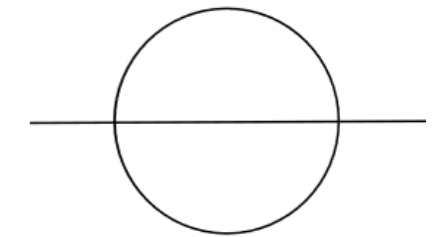
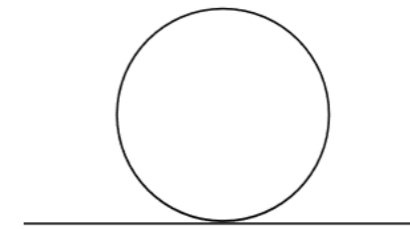
$$\mathcal{L}_{\text{NC}}^{(e\nu)} = -\frac{G_F}{\sqrt{2}} [\bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\alpha] [\bar{e}(p') \gamma^\mu (g_V - g_A \gamma_5) e],$$



$$\mathcal{L}_{\text{CC}}^{(e\nu)} = -\frac{G_F}{\sqrt{2}} [\bar{\nu}_e \gamma_\mu (1 - \gamma_5) e] [\bar{e} \gamma^\mu (1 - \gamma_5) \nu_e]$$

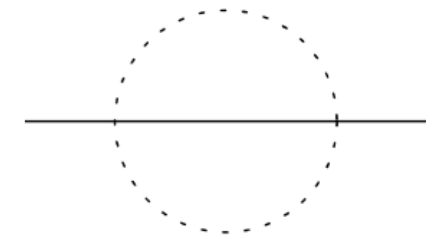
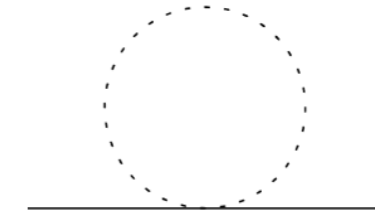


$$\mathcal{L}_{\text{NC}}^{(\nu\nu)} = -\frac{G_F}{\sqrt{2}} [\bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\alpha] [\bar{\nu}_\beta \gamma^\mu (1 - \gamma_5) \nu_\beta]$$

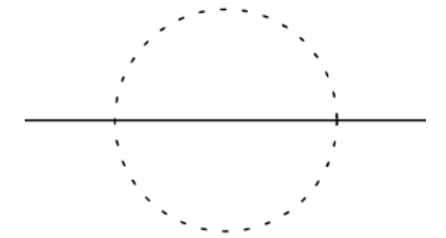
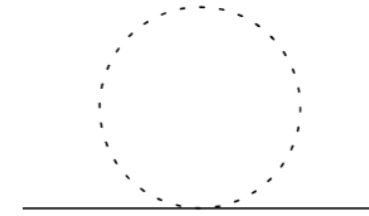


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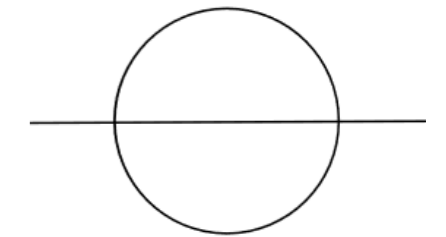
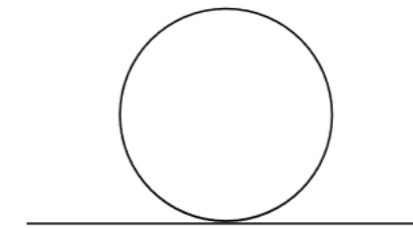
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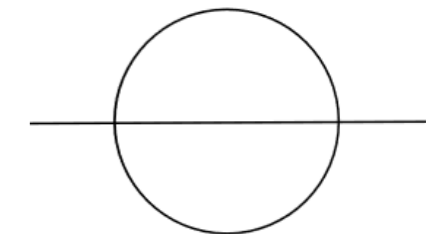
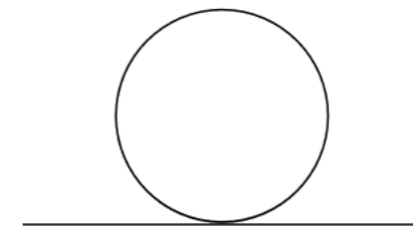
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$$\mathcal{L} = \frac{1}{2} \partial^\mu \Phi \partial_\mu \Phi - \frac{1}{2} m^2 \Phi^2 - \frac{\lambda}{4!} \Phi^4$$



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# Correlation Functions

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- One point function (“condensate”) ~ classical field  $\varphi(x) \equiv \langle \Phi(x) \rangle$
- Two independent two-point functions,  
e.g. the Wightman functions
$$\Delta^>(x_1, x_2) = \langle \Phi(x_1)\Phi(x_2) \rangle - \varphi(x_1)\varphi(x_2)$$
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- Time-ordered (Feynman) propagator

$$\Delta^F(x_1, x_2) = \theta(t_1 - t_2)\Delta^>(x_1, x_2) + \theta(x_2^0, x_1^0)\Delta^<(x_1, x_2)$$

- Anti-time-ordered propagator

$$\Delta^{\bar{F}}(x_1, x_2) = \theta(t_1 - t_2)\Delta^<(x_1, x_2) + \theta(x_2^0, x_1^0)\Delta^>(x_1, x_2)$$

- Advanced propagator

$$i\Delta^A(x_1, x_2) = -\theta(t_2 - t_1)\Delta^-(x_1, x_2)$$

- Retarded propagator

$$i\Delta^R(x_1, x_2) = \theta(t_1 - t_2)\Delta^-(x_1, x_2)$$

- Spectral function

$$\Delta^-(x_1, x_2) = i(\Delta^>(x_1, x_2) - \Delta^<(x_1, x_2))$$

- Statistical propagator

$$\Delta^+(x_1, x_2) = \frac{1}{2}(\Delta^>(x_1, x_2) + \Delta^<(x_1, x_2))$$

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- Spectral function  
(defines spectrum of quasiparticles)

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- Statistical propagator  
(generalised occupation numbers)

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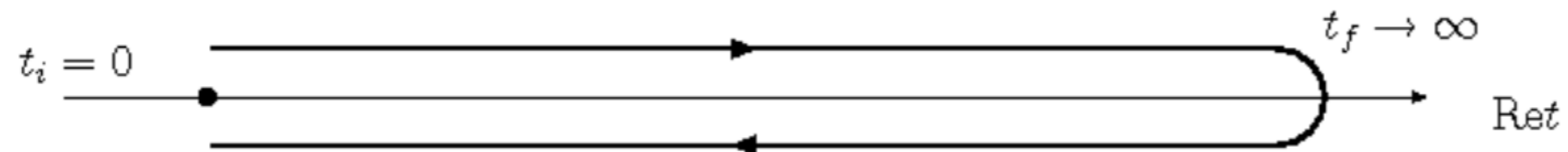


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# Closed Time Path Formalism

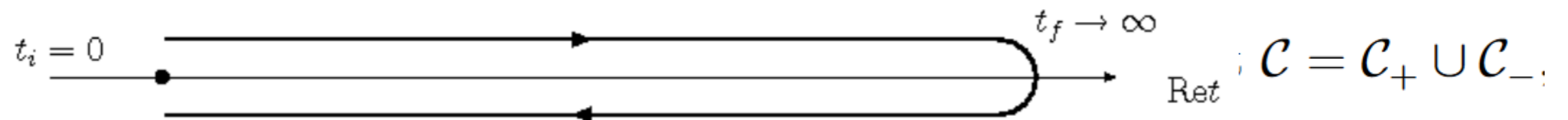
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- Want to solve initial value problems, i.e., impose boundary conditions at given time
- S-matrix with projection on asymptotic states in infinite past/future not ideal tool
- Define correlation functions on “closed time path” (CTP)



# Closed Time Path Formalism

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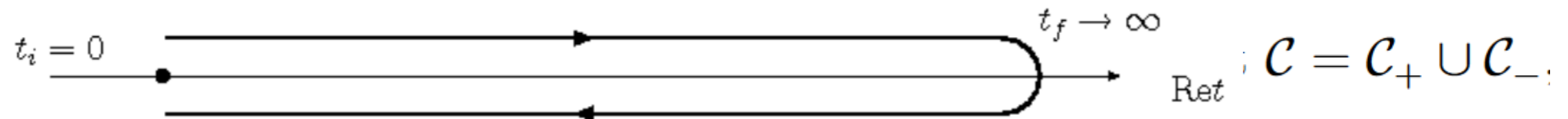


The following are all basically the same thing:

- Closed time-path formalism
- Schwinger-Keldysh formalism
- In-in formalism
- Real-time formalism (in equilibrium, as opposed to imaginary-time formalism)

# Closed Time Path Formalism

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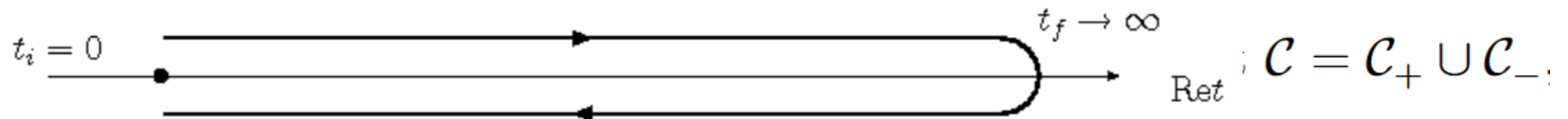


- Define propagator on the contour

$$\Delta_{\mathcal{C}}(x_1, x_2) = \langle T_{\mathcal{C}} \phi(x_1) \phi(x_2) \rangle = \theta_{\mathcal{C}}(x_1^0, x_2^0) \Delta^>(x_1, x_2) + \theta_{\mathcal{C}}(x_2^0, x_1^0) \Delta^<(x_1, x_2)$$

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- Formally consider field with time argument on the “forward” and “backward” parts of the contour like different fields
  - $\Phi_+$  Time argument on forward branch
  - $\Phi_-$  Time argument on backwards branch

- Promotes propagator to a matrix

$$\begin{pmatrix} \Delta_{++}(x_1, x_2) & \Delta_{+-}(x_1, x_2) \\ \Delta_{-+}(x_1, x_2) & \Delta_{--}(x_1, x_2) \end{pmatrix} = \begin{pmatrix} \Delta^F(x_1, x_2) & \Delta^<(x_1, x_2) \\ \Delta^>(x_1, x_2) & \Delta^{\bar{F}}(x_1, x_2) \end{pmatrix}$$

# Perturbation Theory

$$\begin{pmatrix} \Delta_{++}(x_1, x_2) & \Delta_{+-}(x_1, x_2) \\ \Delta_{-+}(x_1, x_2) & \Delta_{--}(x_1, x_2) \end{pmatrix} = \begin{pmatrix} \Delta^F(x_1, x_2) & \Delta^<(x_1, x_2) \\ \Delta^>(x_1, x_2) & \Delta^{\bar{F}}(x_1, x_2) \end{pmatrix}$$

- Action is local, hence vertices either only connect “+”-fields or “-”-fields
- Therefore vertices are either “+” or “-”
- But fields can propagate into each other via off-diagonal propagators

## Feynman rules

- i) Draw all diagrams as you would in standard QFT.
- ii) Associate all external ends of propagators with the Keldysh index +.
- iii) Internal vertices can be of either type, + or -. Sum over all over all combinatorial possibilities. The vertices represent the same expressions as they would in standard QFT, but include an overall factor  $-1$  for each --type vertex.
- iv) Connect the vertices with the appropriate propagators. The Feynman propagator  $\Delta_F$  always connects to +-type vertices,  $\Delta_{\bar{F}}$  always connects to --type vertices, but  $\Delta^<$  connects a +-type vertex to a --type one (and  $\Delta^>$  vice versa).
- v) Integrate over all internal positions as usual.

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# Equations of Motion

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- Schwinger-Dyson equation on the contour

$$(\square_1 + M_{\text{tree}}(x)^2) \Delta_C(x_1, x_2) + \int_C d^4 x' \Pi_C(x_1, x') \Delta_C(x', x_2) = -i \delta_C(x_1 - x_2)$$

- Tree-level mass is defined via inverse classical propagator

$$iG_\phi^{-1}[\varphi](x_1, x_2) = -(\square_{x_1} + M_{\text{tree}}^2) \delta_C(x_1 - x_2),$$

$$iG^{-1}[\varphi](x_1, x_2) = \frac{\delta^2 S[\Phi]}{\delta\Phi(x_1) \delta\Phi(x_2)} \Big|_{\Phi \rightarrow \varphi}$$

- Split self-energy up according to time argument

$$\Pi_C(x_1, x_2) = -i \Pi^{\text{loc}}(x_1) \delta(x_1 - x_2)_C + \theta_C(x_1^0, x_2^0) \Pi^>(x_1, x_2) + \theta_C(x_2^0, x_1^0) \Pi^<(x_1, x_2),$$

- Define the effective mass

$$M^2 = M_{\text{tree}}^2 + \Pi^{\text{loc}}.$$

# Equations of Motion

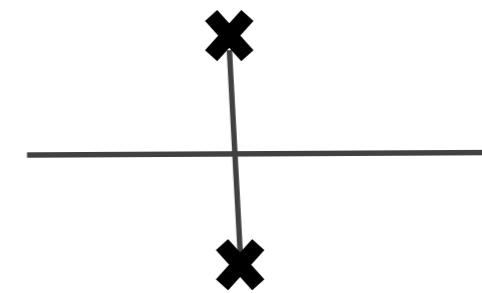
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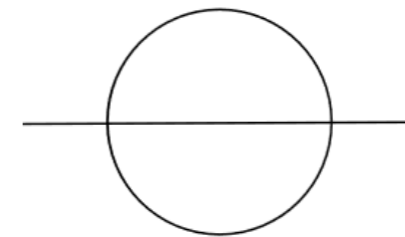
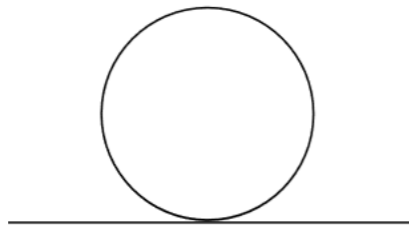
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$$(\square_1 + M_{\text{tree}}(x)^2) \Delta_C(x_1, x_2) + \int_C d^4 x' \Pi_C(x_1, x') \Delta_C(x', x_2) = -i\delta_C(x_1 - x_2)$$

- Consider for instance +- propagator

$$\begin{aligned} & (\square_1 + M(x_1)^2) \Delta_{+-}(x_1, x_2) + \int_{-\infty}^{\infty} d^4 x' \Pi_{++}(x_1, x') \Delta_{+-}(x', x_2) + \int_{\infty}^{-\infty} d^4 x' \Pi_{+-}(x_1, x') \Delta_{--}(x', x_2) \\ = & (\square_1 + M(x_1)^2) \Delta_{+-}(x_1, x_2) \int_{-\infty}^{\infty} d^4 x' \Pi_{++}(x_1, x') \Delta_{+-}(x', x_2) - \int_{-\infty}^{\infty} d^4 x' \Pi_{+-}(x_1, x') \Delta_{--}(x', x_2) = 0 \end{aligned}$$

- Kadanoff-Baym Equations

$$\begin{aligned} (\square_1 + M^2) \Delta^<(x_1, x_2) &= \int d^4 x' (-\Pi_{++}(x_1, x') \Delta^<(x', x_2) + \Pi^<(x_1, x') \Delta_{--}(x', x_2)) \\ (\square_1 + M^2) \Delta^>(x_1, x_2) &= \int d^4 x' (-\Pi^>(x_1, x') \Delta_{++}(x', x_2) + \Pi_{--}(x_1, x') \Delta^>(x', x_2)) \end{aligned}$$



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# Kadanoff-Baym Equations

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- or

$$(\square_1 + M^2)\Delta^{\cong}(x_1, x_2) = - \int d^4x' (\Pi^{\cong}(x_1, x')\Delta^A(x', x_2) + \Pi^R(x_1, x')\Delta^{\cong}(x', x_2))$$

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with  $\Delta^H = \frac{1}{2}(\Delta^A + \Delta^R)$ .

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# Thermal Equilibrium

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- In equilibrium correlation functions only depend on the relative coordinates (it's static, homogeneous, isotropic)
- The von Neumann density matrix is  $\rho = e^{-\mathbb{H}/T}$

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- Noticing that this is a time translation operator in imaginary time, we can establish

$$\Delta^<(t + i/T, \mathbf{x}) = \Delta^>(t, \mathbf{x}),$$

- And in momentum space

$$\Delta^<(p_0, \mathbf{p}) = \Delta^>(-p_0, \mathbf{p}) = e^{-p_0/T} \Delta^>(p_0, \mathbf{p}),$$

- This implies the Kubo-Martin-Schwinger (KMS) relations

$$\Delta^<(p) = f_B(p_0)\rho(p) , \quad \Delta^>(p) = (1 + f_B(p_0))\rho(p)$$

$$\Delta^+(p) = \left(\frac{1}{2} + f_B(p_0)\right)\rho(p),$$

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- Explains the interpretation of the statistical propagator in terms of occupation numbers!
- Relations also allow to find all free propagators (since we already know the free spectral function)  $\rho(p) = 2\pi \text{sign}(p_0)\delta(p^2 - m^2)$

$$\begin{aligned} \Delta_{++}(p) &= \frac{i}{p^2 - m^2 + i\epsilon} + f_B(|p_0|)2\pi\delta(p^2 - m^2) & \Delta_{+-} &= (f_B(|p_0|) + \theta(-p_0))2\pi\delta(p^2 - m^2) \\ \Delta_{-+} &= (f_B(|p_0|) + \theta(p_0))2\pi\delta(p^2 - m^2) & \Delta_{--}(p) &= (\Delta_{++}(p))^* \end{aligned}$$

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# Quasiparticles

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- In thermal equilibrium we can also find the full spectral function

$$\rho(p) = \frac{-2\text{Im}\Pi^R(p) + 2p_0\epsilon}{[p_0^2 - \Omega_{\mathbf{p}}^2 - \text{Re}\Pi^R(p)]^2 + [\text{Im}\Pi^R(p) - p_0\epsilon]^2}.$$

- With the retarded self-energy

$$\Pi^R(x_1, x_2) = \theta(t_1 - t_2)(\Pi^>(x_1, x_2) - \Pi^<(x_1, x_2)).$$

- Its poles determine the dispersion relations for quasiparticles in the plasma. Consider the pole  $\hat{\Omega}_{\mathbf{p}}$

$$\Omega_{\mathbf{p}} = \text{Re } \hat{\Omega}_{\mathbf{p}} \qquad \Gamma_{\mathbf{p}} = 2 \text{Im } \hat{\Omega}_{\mathbf{p}}$$

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$$\Omega_{\mathbf{p}} = \text{Re } \hat{\Omega}_{\mathbf{p}} \quad \Gamma_{\mathbf{p}} = 2 \text{Im } \hat{\Omega}_{\mathbf{p}}$$

- We obtain the dispersion relation (real part of the refractive index) by solving

$$p_0^2 - \mathbf{p}^2 - M^2 - \text{Re}\Pi^R(p) = 0,$$

- Then obtain width (imaginary part of refractive index) from

$$\Gamma_{\mathbf{p}} = -\frac{\mathcal{Z}}{\Omega_{\mathbf{p}}} \text{Im } \Pi^R(p) \Big|_{p_0=\Omega_{\mathbf{p}}}$$

- Near the pole this gives Breit-Wigner approximation

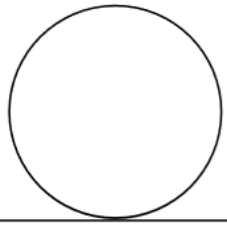
$$\rho(p) \simeq \mathcal{Z} \frac{2\Gamma p_0}{(p_0^2 - \Omega_{\mathbf{p}})^2 + (\Gamma p_0)^2}$$

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# Matter Potential

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$$\mathcal{L} = \frac{1}{2} \partial^\mu \Phi \partial_\mu \Phi - \frac{1}{2} m^2 \Phi^2 - \frac{\lambda}{4!} \Phi^4$$



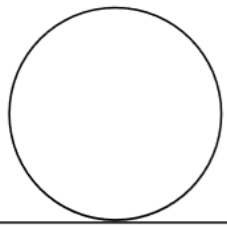
$$\Pi^{\text{loc}} = \frac{\lambda}{2} \int \frac{d^4 k}{(2\pi)^4} \Delta_{++}(p)$$

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$$\Pi^{\text{loc}} = \frac{\lambda}{2} \int \frac{d^4 k}{(2\pi)^4} \Delta_{++}(p) \longrightarrow \frac{\lambda}{2} \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{f_B(\omega_{\mathbf{k}})}{\omega_{\mathbf{k}}} \simeq \frac{\lambda}{24} T,$$

$$\begin{aligned} \Delta_{++}(p) &= \frac{i}{p^2 - m^2 + i\epsilon} + f_B(|p_0|) 2\pi \delta(p^2 - m^2) & \Delta_{+-} &= (f_B(|p_0|) + \theta(-p_0)) 2\pi \delta(p^2 - m^2) \\ \Delta_{-+} &= (f_B(|p_0|) + \theta(p_0)) 2\pi \delta(p^2 - m^2) & \Delta_{--}(p) &= (\Delta_{++}(p))^* \end{aligned}$$

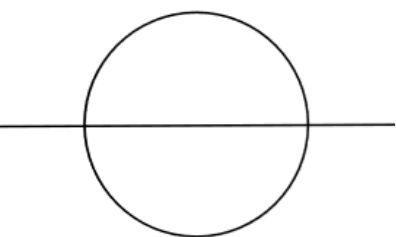
$$M^2 \simeq m^2 + \frac{\lambda}{2} \varphi^2 + \frac{\lambda}{24} T^2$$

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# Mean Free Path

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$$\mathcal{L} = \frac{1}{2} \partial^\mu \Phi \partial_\mu \Phi - \frac{1}{2} m^2 \Phi^2 - \frac{\lambda}{4!} \Phi^4$$

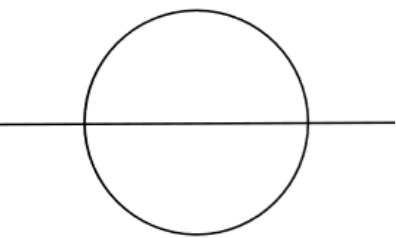

$$\Pi_{ab}(p) = -ab \frac{\lambda^2}{6} \int \frac{d^4 q d^4 k d^4 l}{(2\pi)^9} \Delta_{ab}(q) \Delta_{ba}(k) \Delta_{ab}(l) \delta(p - q + k - l).$$

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# Mean Free Path

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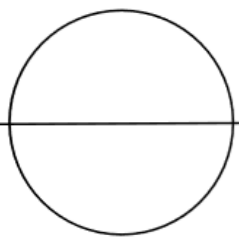
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$$\Gamma_{\mathbf{p}} = -\frac{\mathcal{Z}}{\Omega_{\mathbf{p}}} \text{Im} \Pi^R(p) \Big|_{p_0 = \Omega_{\mathbf{p}}} \quad \text{Im} \Pi^R(p) = \frac{1}{2i} \Pi^-(p) = \frac{1}{2i} f_B^{-1}(p_0) \Pi^<(p)$$

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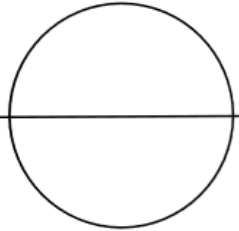
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# Mean Free Path

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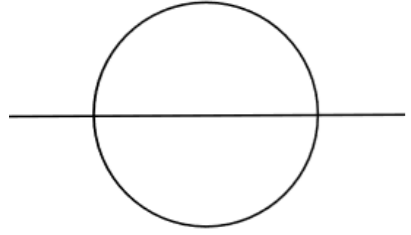
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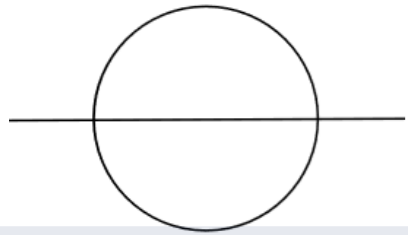
# Cutting Rules



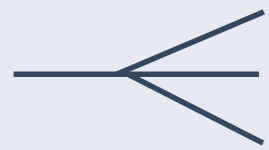
$$\begin{aligned}
 \text{Im}\Pi^R(p) = & \frac{\lambda^2}{6} \int \frac{d^3\mathbf{q}d^3\mathbf{k}d^3\mathbf{l}}{(2\pi)^9} (2\pi)^3 \delta^{(3)}(\mathbf{p} + \mathbf{k} + \mathbf{l} - \mathbf{q}) \frac{1}{8\omega_{\mathbf{q}}\omega_{\mathbf{k}}\omega_{\mathbf{l}}} \\
 & \times \left[ ((1 + f_{\mathbf{q}})(1 + f_{\mathbf{k}})(1 + f_{\mathbf{l}}) - f_{\mathbf{q}}f_{\mathbf{k}}f_{\mathbf{l}}) \right. \\
 & \quad (\delta(p_0 - \omega_{\mathbf{q}} - \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 + \omega_{\mathbf{q}} + \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \\
 & \quad + (f_{\mathbf{q}}(1 + f_{\mathbf{k}})(1 + f_{\mathbf{l}}) - (1 + f_{\mathbf{q}})f_{\mathbf{k}}f_{\mathbf{l}}) \\
 & \quad (\delta(p_0 + \omega_{\mathbf{q}} - \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 - \omega_{\mathbf{q}} + \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \\
 & \quad + ((1 + f_{\mathbf{q}})f_{\mathbf{k}}(1 + f_{\mathbf{l}}) - f_{\mathbf{q}}(1 + f_{\mathbf{k}})f_{\mathbf{l}}) \\
 & \quad (\delta(p_0 - \omega_{\mathbf{q}} + \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 + \omega_{\mathbf{q}} - \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \\
 & \quad + (f_{\mathbf{q}}f_{\mathbf{k}}(1 + f_{\mathbf{l}}) - (1 + f_{\mathbf{q}})(1 + f_{\mathbf{k}})f_{\mathbf{l}}) \\
 & \quad \left. (\delta(p_0 + \omega_{\mathbf{q}} + \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(\omega - \omega_{\mathbf{q}} - \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \right]
 \end{aligned}$$

$$\begin{aligned}
 \Delta_{++}(p) &= \frac{i}{p^2 - m^2 + i\epsilon} + f_B(|p_0|)2\pi\delta(p^2 - m^2) & \Delta_{+-} &= (f_B(|p_0|) + \theta(-p_0))2\pi\delta(p^2 - m^2) \\
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# Cutting Rules



$$\text{Im}\Pi^R(p) = \frac{\lambda^2}{6} \int \frac{d^3\mathbf{q}d^3\mathbf{k}d^3\mathbf{l}}{(2\pi)^9} (2\pi)^3 \delta^{(3)}(\mathbf{p} + \mathbf{k} + \mathbf{l} - \mathbf{q}) \frac{1}{8\omega_{\mathbf{q}}\omega_{\mathbf{k}}\omega_{\mathbf{l}}}$$



Decay an inverse decay

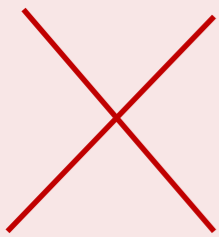
$$\times \left[ ((1 + f_{\mathbf{q}})(1 + f_{\mathbf{k}})(1 + f_{\mathbf{l}}) - f_{\mathbf{q}}f_{\mathbf{k}}f_{\mathbf{l}}) \right. \\ \left. (\delta(p_0 - \omega_{\mathbf{q}} - \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 + \omega_{\mathbf{q}} + \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \right]$$

$$+ (f_{\mathbf{q}}(1 + f_{\mathbf{k}})(1 + f_{\mathbf{l}}) - (1 + f_{\mathbf{q}})f_{\mathbf{k}}f_{\mathbf{l}}) \\ (\delta(p_0 + \omega_{\mathbf{q}} - \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 - \omega_{\mathbf{q}} + \omega_{\mathbf{k}} + \omega_{\mathbf{l}}))$$

$$+ ((1 + f_{\mathbf{q}})f_{\mathbf{k}}(1 + f_{\mathbf{l}}) - f_{\mathbf{q}}(1 + f_{\mathbf{k}})f_{\mathbf{l}}) \\ (\delta(p_0 - \omega_{\mathbf{q}} + \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(p_0 + \omega_{\mathbf{q}} - \omega_{\mathbf{k}} + \omega_{\mathbf{l}}))$$

$$+ (f_{\mathbf{q}}f_{\mathbf{k}}(1 + f_{\mathbf{l}}) - (1 + f_{\mathbf{q}})(1 + f_{\mathbf{k}})f_{\mathbf{l}}) \\ (\delta(p_0 + \omega_{\mathbf{q}} + \omega_{\mathbf{k}} - \omega_{\mathbf{l}}) - \delta(\omega - \omega_{\mathbf{q}} - \omega_{\mathbf{k}} + \omega_{\mathbf{l}})) \left. \right]$$

Various scatterings



$$\Delta_{++}(p) = \frac{i}{p^2 - m^2 + i\epsilon} + f_B(|p_0|)2\pi\delta(p^2 - m^2) \quad \Delta_{+-} = (f_B(|p_0|) + \theta(-p_0))2\pi\delta(p^2 - m^2) \\ \Delta_{-+} = (f_B(|p_0|) + \theta(p_0))2\pi\delta(p^2 - m^2) \quad \Delta_{--}(p) = (\Delta_{++}(p))^*$$

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# Fermions

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- Define Wightman functions as

$$iS_{\alpha\beta}^>(x_1, x_2) = \langle \Psi_\alpha(x_1) \bar{\Psi}_\beta(x_2) \rangle, \quad iS_{\alpha\beta}^<(x_1, x_2) = -\langle \bar{\Psi}_\beta(x_2) \Psi_\alpha(x_1) \rangle$$

- From those obtain retarded and advanced functions

$$\begin{aligned} iS^R(x_1, x_2) &= 2\theta(t_1 - t_2)S^-(x_1, x_2), \\ iS^A(x_1, x_2) &= -2\theta(t_2 - t_1)S^-(x_1, x_2), \\ S^H(x_1, x_2) &= \frac{1}{2}(S^R(x_1, x_2) + S^A(x_1, x_2)) = -i \operatorname{sign}(t_1 - t_2)S^-(x_1, x_2). \end{aligned}$$

- As well as spectral and statistical propagators

$$\begin{aligned} S^-(x_1, x_2) &\equiv \frac{i}{2}(S^>(x_1, x_2) - S^<(x_1, x_2)) \\ S^+(x_1, x_2) &\equiv \frac{1}{2}(S^>(x_1, x_2) + S^<(x_1, x_2)) \end{aligned}$$

- Which fulfil the Kadanoff-Baym equations

$$\begin{aligned} (i\partial_{x_1} - M)S^-(x_1, x_2) &= 2i \int_{t_1}^{t_2} dt' \int d^3\mathbf{x}' \Sigma^-(x_1, x')S^-(x', x_2) \\ (i\partial_{x_1} - M)S^+(x_1, x_2) &= 2i \int_{t_i}^{t_2} dt' \int d^3\mathbf{x}' \Sigma^+(x_1, x')S^-(x', x_2) \\ &\quad - 2i \int_{t_i}^{t_1} dt' \int d^3\mathbf{x}' \Sigma^-(x_1, x')S^+(x', x_2). \end{aligned}$$

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# Fermion Propagators

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- We can again find the free spectral function

$$\rho(p) = 2\pi(\not{p} + m)\text{sign}(p_0)\delta(p^2 - m^2)$$

- The KMS relations this time read

$$S^<(p) = -e^{-p_0/T}S^>(p_0) \quad S^+(p) = (1 - 2f_F(p_0))\rho(p)$$

$$S^>(\omega) = (1 - f_F(p_0))\rho(p) , \quad S^<(p) = -f_F(p_0)\rho(p)$$

- Yielding propagators

$$iS^F(p) = \frac{i(\not{p} + M)}{p^2 - M^2 + i\epsilon} - 2\pi\delta(p^2 - M^2)(\not{p} + M)f_F(|p_0|) = \gamma^0(iS^{\bar{F}}(p))^\dagger\gamma^0$$

$$iS^<(p) = -2\pi\delta(p^2 - M^2)(\not{p} + M)[f_F(|p_0|) - \theta(-p_0)] ,$$

$$iS^>(p) = -2\pi\delta(p^2 - M^2)(\not{p} + M)[f_F(|p_0|) - \theta(p_0)] .$$

# Fermion Propagators

- We can rewrite this as

$$\begin{aligned}iS^<(p) &= -2\pi\delta(p^2 - M^2)(\not{p} + M) [\theta(p_0)f_{\mathbf{p}} - \theta(-p_0)(1 - \bar{f}_{\mathbf{p}})] , \\iS^>(p) &= -2\pi\delta(p^2 - M^2)(\not{p} + M) [-\theta(p_0)(1 - f_{\mathbf{p}}) + \theta(-p_0)\bar{f}_{\mathbf{p}}] , \\iS^F(p) &= \frac{i(\not{p} + M)}{p^2 - M^2 + i\epsilon} - 2\pi\delta(p^2 - M^2)(\not{p} + M) [\theta(p_0)f_{\mathbf{p}} + \theta(-p_0)\bar{f}_{\mathbf{p}}] , \\iS^{\bar{F}}(p) &= -\frac{i(\not{p} + M)}{p^2 - M^2 - i\epsilon} - 2\pi\delta(p^2 - M^2)(\not{p} + M) [\theta(p_0)f_{\mathbf{p}} + \theta(-p_0)\bar{f}_{\mathbf{p}}] \end{aligned}$$

- And define the number of particles and antiparticles with given helicity as

$$f_{\mathbf{p}h} = \int_0^\infty \frac{dp_0}{2\pi} \text{tr}[\gamma^0 P_h S^+(p)] , \quad \bar{f}_{\mathbf{p}h} = - \int_{-\infty}^0 \frac{dp_0}{2\pi} \text{tr}[\gamma^0 P_h S^+(p)]$$

- Where the helicity projectors are

$$P_h \equiv \frac{1}{2} (1 + h\hat{k}\gamma^0\boldsymbol{\gamma}\gamma^5)$$

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# Neutrino Matter Potential

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$$\mathcal{L}_{NC}^{(\nu\nu)} = -\frac{G_F}{\sqrt{2}} [\bar{\nu}_\alpha \gamma_\mu (1 - \gamma_5) \nu_\alpha] [\bar{\nu}_\beta \gamma^\mu (1 - \gamma_5) \nu_\beta].$$

- Resummed spectral function in analogy to scalar case

$$\rho(p) = \left( \frac{i}{\not{p} - M - \Sigma^R(p) + i\epsilon\gamma_0} - \frac{i}{\not{p} - M - \Sigma^A(p) - i\epsilon\gamma_0} \right).$$

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- Poles given by

$$\det(\not{p} - m - \Sigma^{\text{loc}} - \text{Re} \Sigma^R(p)) = 0.$$

- Self-energy structure in general (but at one loop no tensor in homogeneous universe)

$$\Sigma = (a_L \not{p} + b_L \not{\psi} + c_L [\not{p}, \not{\psi}]) P_L.$$

- In the relativistic limit the dispersion relation reads  $p_0^2 - \mathbf{p}^2 - m^2 + 2b_L p_0 = 0,$



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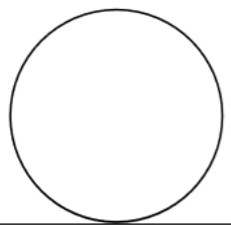
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- In the relativistic limit the dispersion relation reads  $p_0^2 - \mathbf{p}^2 - m^2 + 2b_L p_0 = 0,$



$$\Sigma^{\text{loc}} \supset -\frac{G_F}{2\sqrt{2}} \gamma^\mu (1 - \gamma_5) \int \frac{d^4 k}{(2\pi)^4} \text{tr} [\gamma_\mu (1 - \gamma_5) iS^F(k)]$$

- Evaluating the integral gives

$$b_L = \pm \sqrt{2} G_F (n_\nu - n_{\bar{\nu}}) \quad n_\nu = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} f(\omega_{\mathbf{k}}), \quad n_{\bar{\nu}} = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \bar{f}(\omega_{\mathbf{k}})$$

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# Density Matrix Equation

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- So far we worked in equilibrium. Out of equilibrium we need to solve the KBE.

$$\begin{aligned}(\mathbf{i}\not{\partial}_{x_1} - M)S^-(x_1, x_2) &= \int d^4x' (\Sigma^H(x_1, x')S^-(x', x_2) + \Sigma^-(x_1, x')S^H(x', x_2)), \\(\mathbf{i}\not{\partial}_{x_1} - M)S^+(x_1, x_2) &= \int d^4x' (\Sigma^+(x_1, x')S^H(x', x_2) + \Sigma^H(x_1, x')S^+(x', x_2)) \\ &\quad + \frac{1}{2} \int d^4x' (\Sigma^>(x_1, x')S^<(x', x_2) - \Sigma^<(x_1, x')S^>(x', x_2)).\end{aligned}$$

- Note that all quantities are matrices in flavour space now
- We use four-spinors throughout, for Majorana neutrinos one simply has an extra condition

$$S^{\cong}(x_1, x_2) = CS^{\cong}(x_2, x_1)^t C^\dagger,$$

# Density Matrix Equation

- We slightly rewrite this

$$\begin{aligned}
 (i\not{\partial}_{x_1} - M)S^-(x_1, x_2) &= \int d^4x' (\Sigma^H(x_1, x')S^-(x', x_2) + \Sigma^-(x_1, x')S^H(x', x_2)), \\
 (i\not{\partial}_{x_1} - M)S^+(x_1, x_2) &= \int d^4x' (\Sigma^+(x_1, x')S^H(x', x_2) + \Sigma^H(x_1, x')S^+(x', x_2)) \\
 &\quad + \frac{1}{2} \int d^4x' (\Sigma^>(x_1, x')S^<(x', x_2) - \Sigma^<(x_1, x')S^>(x', x_2)).
 \end{aligned}$$

- Analytic solution is impossible... we will perform a gradient expansion
- First we Fourier transform all quantities in the relative coordinate to go to “Wigner space”

$$G(x; k) = \int d^4r e^{ikr} G(x + r/2, x - r/2) \quad x = (x_1 + x_2)/2$$

- The convolutions become very ugly, symbolically

$$\int d^4(x_1 - x_2) e^{ik \cdot (x_1 - x_2)} \int d^4y A(x_1, y) B(y, x_1) = e^{-i\diamond} \{A(x; k)\} \{B(x; k)\}$$

$$\diamond\{A\}\{B\} = \frac{1}{2} (\partial_x A \cdot \partial_k B - \partial_k A \cdot \partial_x B)$$

- Luckily the system during neutrino decoupling is very close to equilibrium and adiabatic, so we only need the leading term...

# Density Matrix Equation

- We slightly rewrite this

$$\begin{aligned} (i\not{\partial}_{x_1} - M)S^-(x_1, x_2) &= \int d^4x' (\Sigma^H(x_1, x')S^-(x', x_2) + \Sigma^-(x_1, x')S^H(x', x_2)), \\ (i\not{\partial}_{x_1} - M)S^+(x_1, x_2) &= \int d^4x' (\Sigma^+(x_1, x')S^H(x', x_2) + \Sigma^H(x_1, x')S^+(x', x_2)) \\ &\quad + \frac{1}{2} \int d^4x' (\Sigma^>(x_1, x')S^<(x', x_2) - \Sigma^<(x_1, x')S^>(x', x_2)). \end{aligned}$$

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- Luckily the system during neutrino decoupling is very close to equilibrium and adiabatic...

$$\begin{aligned} \left(\not{p} + \frac{i}{2}\gamma_0\partial_t - M\right)S^- - \left(\not{Z}^H S^- + \not{Z}^- S^H\right) &= 0, \\ \left(\not{p} + \frac{i}{2}\gamma_0\partial_t - M\right)S^+ - \not{Z}^H S^+ - \not{Z}^+ S^H &= \frac{1}{2}(\not{Z}^> S^< - \not{Z}^< S^>). \end{aligned}$$

# Density Matrix Equation

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- We define

$$\begin{aligned} \mathcal{S}^+ &\equiv i\gamma^0 S^+, \quad \mathcal{S}^H \equiv i\gamma^0 S^H, \quad \mathcal{H} \equiv (\not{p} - \not{Z}^H - M)\gamma^0, \\ \mathcal{G}^> &\equiv \not{Z}^> \gamma^0, \quad \mathcal{G}^< \equiv \not{Z}^< \gamma^0, \quad \mathcal{G} \equiv \frac{i}{2} (\mathcal{G}^> - \mathcal{G}^<), \quad \mathcal{N} \equiv \not{Z}^+ \gamma^0 \end{aligned}$$

- Then add and subtract the KBE from their conjugates to obtain “constrained equations” and “kinetic equations”

$$\begin{aligned} \{\mathcal{H}, \mathcal{S}^-\} - \{\mathcal{G}, \mathcal{S}^H\} &= 0, \\ i\partial_t \mathcal{S}^- + [\mathcal{H}, \mathcal{S}^-] - [\mathcal{G}, \mathcal{S}^H] &= 0 \\ \{\mathcal{H}, \mathcal{S}^+\} - \{\mathcal{N}, \mathcal{S}^H\} &= \frac{1}{2} ([\mathcal{G}^>, \mathcal{S}^<] - [\mathcal{G}^<, \mathcal{S}^>]), \\ i\partial_t \mathcal{S}^+ + [\mathcal{H}, \mathcal{S}^+] - [\mathcal{N}, \mathcal{S}^H] &= \frac{1}{2} (\{\mathcal{G}^>, \mathcal{S}^<\} - \{\mathcal{G}^<, \mathcal{S}^>\}) \end{aligned}$$

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# Density Matrix Equation

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- From the kinetic equation we will get the density matrix equation:

$$i\partial_t \mathcal{S}^+ + [\mathcal{H}, \mathcal{S}^+] - [\mathcal{N}, \mathcal{S}^H] = \frac{1}{2}(\{\mathcal{G}^>, \mathcal{S}^<\} - \{\mathcal{G}^<, \mathcal{S}^>\})$$

- But we want an equation for on-shell distribution functions, while the above are matrices in spinor space that also exist off-shell. We Lorentz-decompose the propagators

$$\mathcal{S} = \sum_h \frac{1}{2} P_h (g_{0h} + \gamma^0 g_{1h} - i\gamma^0 \gamma^5 g_{2h} - \gamma^5 g_{3h})$$

- Now we consider the constrained equation

$$\{\mathcal{H}, \mathcal{S}^+\} - \{\mathcal{N}, \mathcal{S}^H\} = \frac{1}{2}([\mathcal{G}^>, \mathcal{S}^<] - [\mathcal{G}^<, \mathcal{S}^>])$$

- We multiply it with different combinations of gamma-matrices and take the trace to obtain relations between the Lorentz components

# Density Matrix Equation

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- We multiply it with different combinations of gamma-matrices and take the trace to obtain relations between the Lorentz components

$$4p_0 g_{0h} = 4hp g_{3h} + 2\{g_{1h}, M\} - \{g_{3h} - g_{0h}, b_L + a_L(p_0 + hp)\}$$

$$4p_0 g_{1h} = 2\{g_{0h}, M\} + \{g_{1h}, b_L + a_L(p_0 + hp)\} + i[g_{2h}, b_L + a_L(p_0 + hp)]$$

$$4p_0 g_{3h} = 4hp g_{0h} + 2i[g_{0h}, M] + \{g_{3h} - g_{0h}, b_L + a_L(p_0 + hp)\}$$

$$4p_0 g_{2h} = 2i[g_{3h}, M] + \{g_{2h}, b_L + a_L(p_0 + hp)\} + i[g_{1h}, b_L + a_L(p_0 + hp)]$$

- OK, let's expand in small parameters  $(p_0 + hp) \ll |p_0|, p$   $M, a_L p_0, b_L \ll |p_0|, p$ .

# Density Matrix Equation

- From the kinetic equation we will get the density matrix equation:

$$i\partial_t \mathcal{S}^+ + [\mathcal{H}, \mathcal{S}^+] - [\mathcal{N}, \mathcal{S}^H] = \frac{1}{2}(\{\mathcal{G}^>, \mathcal{S}^<\} - \{\mathcal{G}^<, \mathcal{S}^>\})$$

- But we want an equation for on-shell distribution functions, while the above are matrices in spinor space that also exist off-shell. We Lorentz-decompose the propagators

$$\mathcal{S} = \sum_h \frac{1}{2} P_h (g_{0h} + \gamma^0 g_{1h} - i\gamma^0 \gamma^5 g_{2h} - \gamma^5 g_{3h})$$

- Now we consider the constrained equation

$$\{\mathcal{H}, \mathcal{S}^+\} - \{\mathcal{N}, \mathcal{S}^H\} = \frac{1}{2}([\mathcal{G}^>, \mathcal{S}^<] - [\mathcal{G}^<, \mathcal{S}^>])$$

- We multiply it with different combinations of gamma-matrices and take the trace to obtain relations between the Lorentz components

$$g_{1h} = \frac{1}{2p_0} \{g_{0h}, M\}, \quad g_{2h} = \frac{i}{2p_0} [g_{3h}, M], \quad g_{3h} = h \frac{p_0}{p} g_{0h}$$

- Let's plug that into the propagator, and then insert the propagator back into the kinetic equation



# Density Matrix Equation

- We find

$$\begin{aligned}
 \text{tr}(P_s \mathcal{S}^+) &= g_{0s}^+ \\
 \text{tr}[\mathcal{H}, P_s \mathcal{S}^+] &= \left[ \frac{1}{2p_0} M^2 + \frac{1}{2p} (b_L(sp_0 - p) + a_L s(p_0^2 - p^2)), g_{0s}^+ \right] \\
 &\simeq \left[ \frac{b_L}{2} \left(1 - s \frac{p_0}{p}\right) + \frac{1}{2p_0} M^2, g_{0s}^+ \right] \\
 \text{tr}\{\mathcal{G}^{\gtrless}, P_s \mathcal{S}^{\gtrless}\} &= \left\{ \frac{1}{2p_0} M^2 + \frac{1}{2p} (b_{\gtrless}(sp_0 - p) + a_L^{\gtrless} s(p_0^2 - p^2)), g_{0s}^{\gtrless} \right\} \\
 &\simeq \left\{ -\frac{b_L^{\gtrless}}{2} \left(1 - s \frac{p_0}{p}\right), g_{0s}^{\gtrless} \right\}
 \end{aligned}$$

- Comparing this to

$$\begin{aligned}
 \text{tr}(\not{p}\Sigma) &= 2(b_L p_0 + a_L(p_0^2 - \mathbf{p}^2)), \\
 \text{tr}(\not{p}P_h \Sigma) &= b_L(p_0 - hp) + a_L(p_0^2 - \mathbf{p}^2)
 \end{aligned}$$

- We find

$$\begin{aligned}
 \text{tr}[\mathcal{H}, P_s \mathcal{S}^+] &= \left[ \frac{1}{2p_0} (\text{tr}(\not{p}P_s \Sigma^{\text{loc}}) + M^2), g_{0s}^+ \right] \\
 \text{tr}\{\mathcal{G}^{\gtrless}, P_s \mathcal{S}^{\gtrless}\} &= \left\{ -\frac{1}{2p_0} \text{tr}(\not{p}P_s \Sigma^{\gtrless}), g_{0s}^{\gtrless} \right\}
 \end{aligned}$$

Now we just need to put this on-shell!

# Density Matrix Equation

- The neutrino masses and matter potentials are kinematically completely negligible, they only matter in the (anti)commutators in the numerator of the propagator. Hence, we may use the pole structure of free spectral function in the relativistic limit for all propagators
- We split all quantities into an equilibrium part and a deviation

$$\mathcal{S}^{\dots} + \bar{\mathcal{S}}^{\dots} + \delta\mathcal{S}^{\dots}$$

- Since the spectral function does not directly depend on the occupation numbers, we can neglect the deviation from equilibrium here. Since only two of the two-point functions are independent, this means

$$; \delta\mathcal{S}^> = \delta\mathcal{S}^< = \delta\mathcal{S}^+$$

- The equilibrium pieces must fulfil the KMS relation
- Altogether this yields

$$(g_{0h}^+)_{\alpha\beta} = 2\pi 2p_0 \delta(p^2) \left[ \left( \frac{1}{2} - f_F(p_0) \right) \delta_{\alpha\beta} - (\delta\rho(p))_{\alpha\beta} \right]$$

$$(g_{0h}^-)_{\alpha\beta} = 2\pi i 2p_0 \delta(p^2) \frac{1}{2} \delta_{\alpha\beta}$$

$$(g_{0h}^<)_{\alpha\beta} = 2\pi 2p_0 \delta(p^2) [(-f_F(p_0)) \delta_{\alpha\beta} - (\delta\rho(p))_{\alpha\beta}]$$

$$(g_{0h}^>)_{\alpha\beta} = 2\pi 2p_0 \delta(p^2) [(1 - f_F(p_0)) \delta_{\alpha\beta} - (\delta\rho(p))_{\alpha\beta}]$$

# Density Matrix Equation

- Plugging this back into the kinetic equation ...

$$i\partial_t \mathcal{S}^+ + [\mathcal{H}, \mathcal{S}^+] - [\mathcal{N}, \mathcal{S}^H] = \frac{1}{2}(\{\mathcal{G}^>, \mathcal{S}^<\} - \{\mathcal{G}^<, \mathcal{S}^>\})$$

- ...and integrating over  $p_0$   $\rho = \int \frac{dp_0}{2\pi} \text{tr} \mathcal{S}^+$ . gives

$$\partial_t \rho = -i[\mathbb{H}, \rho] + \mathcal{I}[\rho]$$

$$\mathcal{I}[\rho] = \frac{1}{2}(\{\Gamma^>, -\rho\} + \{\Gamma^<, 1 - \rho\}) = \frac{1}{2}((1 - \rho)\Gamma^< - \rho\Gamma^>) + \text{h.c.}$$

- with

$$\mathbb{H} = \frac{1}{2p_0} (\text{tr}(\not{p} P_s \Sigma^{\text{loc}}) + M^2)|_{p_0=\Omega_p} \quad \Gamma^{\gtrless} = \frac{\mp 1}{2p_0} \text{tr}(\not{p} \Sigma^{\gtrless})|_{p_0=\Omega_p}$$

- The expansion of the universe is finally included by interpreting this equation as one in conformal time and comoving coordinates

# Connecting to Boltzmann

- We found for the gain and loss rates

$$\Gamma^{\gtrless} = \frac{\mp 1}{2p_0} \text{tr}(\not{p} \Sigma^{\gtrless}) \Big|_{p_0 = \Omega_{\mathbf{p}}}$$

- Can we somehow connect that to the collision term in the Boltzmann equation?

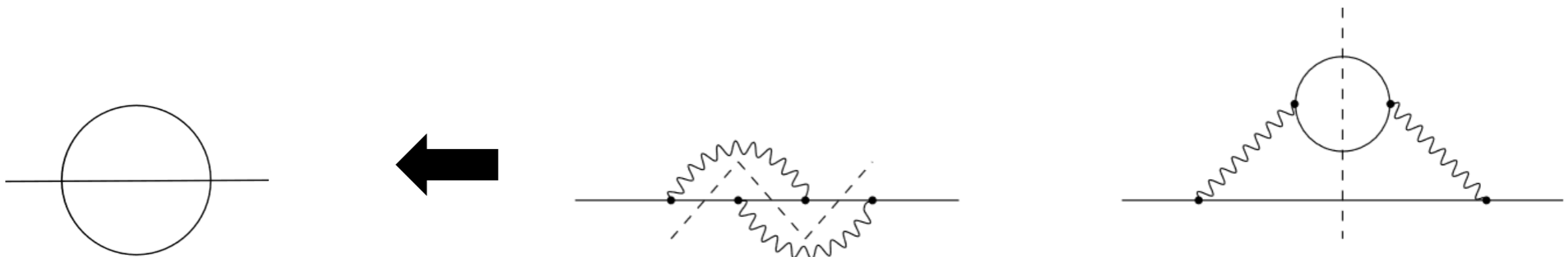
- We consider the total damping rate

$$\Gamma_{\mathbf{p}} = \Gamma_{\mathbf{p}}^> + \Gamma_{\mathbf{p}}^< = \frac{1}{p_0} \text{Im} \text{tr}(\not{p} \Sigma^R(p)) \Big|_{p_0 = \Omega_{\mathbf{p}}}$$

- And a generic interaction like

$$\mathcal{L}_{\text{Fermi}} = 2\sqrt{2}G_F(\bar{\nu}\gamma^\mu P_L\Psi_3)(\bar{\Psi}_1\gamma_\mu(c'_V + c'_A\gamma^5)\Psi_2) + \text{h.c.},$$

- There can be two types of fermion flow in “setting sun” diagrams, depending on where they come from

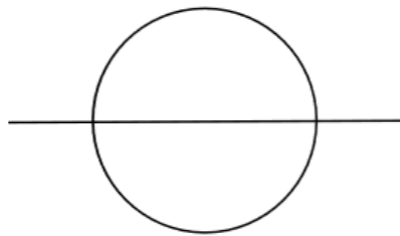


# Connecting to Boltzmann

- They read

$$\text{Im } \hat{\mathcal{Z}}^{R,1}(q) = 4G_F^2 \left[ \int \frac{d^4 p_1}{(2\pi)^4} \frac{d^4 p_2}{(2\pi)^4} \frac{d^4 p_3}{(2\pi)^4} (2\pi)^4 \delta^4(p_1 + p_2 + p_3 - q) \right. \\ \left. \gamma^\mu \text{Tr} \left[ \gamma_\mu (a + b\gamma^5) S^>(p_1) \gamma_\nu (a + b\gamma^5) S^<(-p_2) \right] S^>(p_3) \gamma^\nu \right) - S^> \leftrightarrow S^< \Big]$$

$$\text{Im } \hat{\Sigma}^{R,2}(q) = 4G_F^2 \left[ \int \frac{d^4 p_1}{(2\pi)^4} \frac{d^4 p_2}{(2\pi)^4} \frac{d^4 p_3}{(2\pi)^4} (2\pi)^4 \delta^4(p_1 + p_2 + p_3 - q) \right. \\ \left. \left( \gamma^\mu S^>(p_3) \gamma^\nu (a + b\gamma^5) S^<(-p_2) \gamma_\mu (c + d\gamma^5) S^>(p_1) \gamma_\nu \right) - S^> \leftrightarrow S^< \right].$$

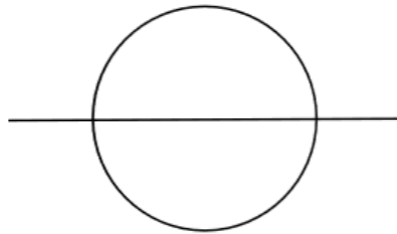


# Connecting to Boltzmann

- Inerting the propagators gives

$$\text{Im } \hat{\mathcal{Z}}^{R,1}(q) = 4G_F^2 \int \frac{d^4 p_1}{(2\pi)^4} \frac{d^4 p_2}{(2\pi)^4} \frac{d^4 p_3}{(2\pi)^4} (2\pi)^4 \delta^4(p_1 + p_2 + p_3 - q) \left[ (1 - f_1)(1 - f_2)(1 - f_3) \right. \\ \left. + f_1 f_2 f_3 \right] \gamma^\mu \text{Tr} \left[ \gamma_\mu (a + b\gamma^5) \rho(p_1) \gamma_\nu (a + b\gamma^5) \rho(-p_2) \right] \rho(p_3) \gamma^\nu$$

$$\text{Im } \hat{\Sigma}^{R,2}(q) = 4G_F^2 \int \frac{d^4 p_1}{(2\pi)^4} \frac{d^4 p_2}{(2\pi)^4} \frac{d^4 p_3}{(2\pi)^4} (2\pi)^4 \delta^4(p_1 + p_2 + p_3 - q) \left[ (1 - f_1)(1 - f_2)(1 - f_3) \right. \\ \left. + f_1 f_2 f_3 \right] \left( \gamma^\mu \rho(p_3) \gamma^\nu (a + b\gamma^5) \rho(-p_2) \gamma_\mu (c + d\gamma^5) \rho(p_1) \gamma_\nu \right).$$



# Connecting to Boltzmann

- And yes, this exactly gives back the Boltzmann integral!

$$\begin{aligned}
 \text{Tr} \left[ \cancel{K} \text{Im} \hat{\mathcal{L}}_{L/R}^{\text{ret},s}(q) \right] &= (2\pi)^4 \int \frac{d^3 \mathbf{p}_1}{(2\pi)^3 2E_1} \int \frac{d^3 \mathbf{p}_2}{(2\pi)^3 2E_2} \int \frac{d^3 \mathbf{p}_3}{(2\pi)^3 2E_3} \times \\
 &\times \left[ \delta^4(p_1 + p_2 + p_3 - q) |\mathcal{M}_K^s(m_1, -m_2, m_3)|^2 [(1-f_1)(1-f_2)(1-f_3) + f_1 f_2 f_3] \right. \\
 &+ \delta^4(p_1 + p_2 - p_3 - q) |\mathcal{M}_K^s(m_1, -m_2, -m_3)|^2 [(1-f_1)(1-f_2)f_3 + f_1 f_2(1-f_3)] \\
 &+ \delta^4(p_1 + p_3 - p_2 - q) |\mathcal{M}_K^s(m_1, m_2, m_3)|^2 [(1-f_1)f_2(1-f_3) + f_1(1-f_2)f_3] \\
 &+ \delta^4(p_2 + p_3 - p_1 - q) |\mathcal{M}_K^s(-m_1, -m_2, m_3)|^2 [f_1(1-f_2)(1-f_3) + (1-f_1)f_2 f_3] \\
 &+ \delta^4(p_3 - p_1 - p_2 - q) |\mathcal{M}_K^s(-m_1, m_2, m_3)|^2 [f_1 f_2(1-f_3) + (1-f_1)(1-f_2)f_3] \\
 &+ \delta^4(p_2 - p_1 - p_3 - q) |\mathcal{M}_K^s(-m_1, -m_2, -m_3)|^2 [f_1(1-f_2)f_3 + (1-f_1)f_2(1-f_3)] \\
 &+ \delta^4(p_1 - p_2 - p_3 - q) |\mathcal{M}_K^s(m_1, m_2, -m_3)|^2 [(1-f_1)f_2 f_3 + f_1(1-f_2)(1-f_3)] \\
 &\left. + \delta^4(-p_1 - p_2 - p_3 - q) |\mathcal{M}_K^s(-m_1, m_2, -m_3)|^2 [f_1 f_2 f_3 + (1-f_1)(1-f_2)(1-f_3)] \right]
 \end{aligned}$$

Kinematics
Matrix element
Quantum statistics

$$|\mathcal{M}_K^s(m_1, m_2, m_3; p_1, p_2, p_3, q)|^2 \equiv |\mathcal{M}_K^s(m_1, m_2, m_3)|^2 = 4G_F^2 \mathcal{T}_K^s(m_1, m_2, m_3)$$

# $N_{\text{eff}}$ in the Standard Model

- $N_{\text{eff}}$  is the “effective number of neutrino species”; parameterises expansion rate during BBN and CMB decoupling

$$\frac{\rho_\nu}{\rho_\gamma} \Big|_{T/m_e \rightarrow 0} \equiv \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}}^{\text{SM}}$$

- In the SM it is defined as

- $N_{\text{eff}} = 3$  in the SM if

- i) primordial plasma is ideal gas,
- ii) neutrinos decouple instantaneously,
- iii) neutrinos decoupled at temperature  $T \gg m_e$

None of this is really true, leading to deviations from  $N_{\text{eff}} = 3$

- BSM phenomena also lead to deviations from  $N_{\text{eff}} = 3$  (extra light particles, modified expansion history, non-standard neutrino interactions...), making  $N_{\text{eff}}$  a powerful probe of BSM physics
- CMB S4 can potentially measure  $N_{\text{eff}}$  with sub-percent accuracy

- Computed the current state-of-the art value in the SM

$$N_{\text{eff}}^{\text{SM}} = 3.0440 \pm 0.0002$$

Froustey/Pitrou et al [2008.01074](#)

Akita/Yamaguchi et al [2005.07047](#)

Bennet et al [2012.02726](#)

(used by PDG, in CAMB and CLASS codes, major collaborations like DES, DESI...)



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None of this is really true, leading to deviations from  $N_{\text{eff}} = 3$

$$\rho_\gamma + \rho_\nu + [\text{new physics}] \equiv \rho_\gamma + N_{\text{eff}} \rho_\nu = \frac{\pi^2}{15} T_\gamma^4 \left[ 1 + N_{\text{eff}} \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} \right]$$

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# QED Equation of State

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QED equation of state can be computed from partition function  $Z$

In practice  $\ln Z$  is expanded in powers of  $e$

$$\ln Z = \ln Z^{(0)} + \ln Z^{(2)} + \ln Z^{(3)} + \dots$$

From this, contributions to energy, pressure and entropy are computed

$$P^{(n)} = \frac{T}{V} \ln Z^{(n)},$$

$$\rho^{(n)} = \frac{T^2}{V} \frac{\partial \ln Z^{(n)}}{\partial T} = -P^{(n)} + T \frac{\partial P^{(n)}}{\partial T},$$

$$s^{(n)} = \frac{1}{V} \frac{\partial [T \ln Z^{(n)}]}{\partial T} = \frac{\rho^{(n)} + P^{(n)}}{T},$$

---

# QED Equation of State

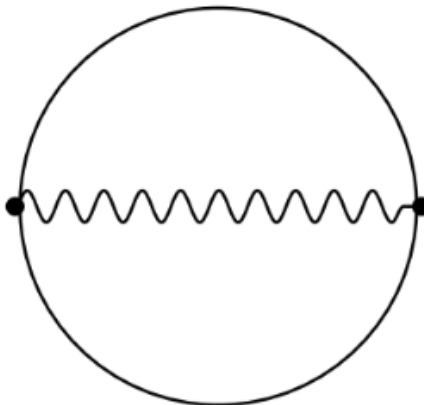
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At zeroth order one finds ideal gas

$$P^{(0)} = \frac{T}{\pi^2} \int_0^\infty dp p^2 \ln \left[ \frac{(1 + e^{-E_e/T})^2}{(1 - e^{-E_\gamma/T})} \right],$$
$$\rho^{(0)} = \frac{1}{\pi^2} \int_0^\infty dp p^2 \left[ \frac{2E_e}{e^{E_e/T} + 1} + \frac{E_\gamma}{e^{E_\gamma/T} - 1} \right],$$

# QED Equation of State $O(e^2)$

The first correction comes from

$$\ln Z^{(2)} = -\frac{1}{2} \text{ (diagram) }$$
A Feynman diagram representing a fermion loop. It consists of a circle with a wavy line (representing a photon) connecting two points on the circle. The wavy line is horizontal and has two dots at its ends where it meets the circle.

$$P^{(2)} = \frac{T}{V} \ln Z^{(2)} = -\frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} n_D - \frac{e^2}{8\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2 + \frac{e^2 m_e^2}{16\pi^4} \iint_0^\infty dp d\tilde{p} \frac{p\tilde{p}}{E_p E_{\tilde{p}}} \ln \left| \frac{p + \tilde{p}}{p - \tilde{p}} \right| n_D \tilde{n}_D,$$

Usually the log-dependent term is neglected

---

# QED Equation of State $O(e^2)$ [no log]

---

Neglecting the log term yields

$$P^{(2)} = \frac{T}{V} \ln Z^{(2)} = -\frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} n_D - \frac{e^2}{8\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2$$

$$\begin{aligned} \rho^{(2)\hbar} = & -\frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} (n_D + T \partial_T n_D) + \frac{e^2}{8\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2 \\ & - \frac{e^2}{4\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right) \left( \int_0^\infty dp \frac{p^2}{E_p} T \partial_T n_D \right), \end{aligned}$$

# QED Equation of State $O(e^2)$

Adding the log term yields

$$P^{(2)} = \frac{T}{V} \ln Z^{(2)} = - \frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} n_D - \frac{e^2}{8\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2 + \frac{e^2 m_e^2}{16\pi^4} \iint_0^\infty dp d\tilde{p} \frac{p\tilde{p}}{E_p E_{\tilde{p}}} \ln \left| \frac{p + \tilde{p}}{p - \tilde{p}} \right| n_D \tilde{n}_D,$$

$$\rho^{(2)\ln} = - \frac{e^2 T^2}{12\pi^2} \int_0^\infty dp \frac{p^2}{E_p} (n_D + T \partial_T n_D) + \frac{e^2}{8\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right)^2 - \frac{e^2}{4\pi^4} \left( \int_0^\infty dp \frac{p^2}{E_p} n_D \right) \left( \int_0^\infty dp \frac{p^2}{E_p} T \partial_T n_D \right),$$

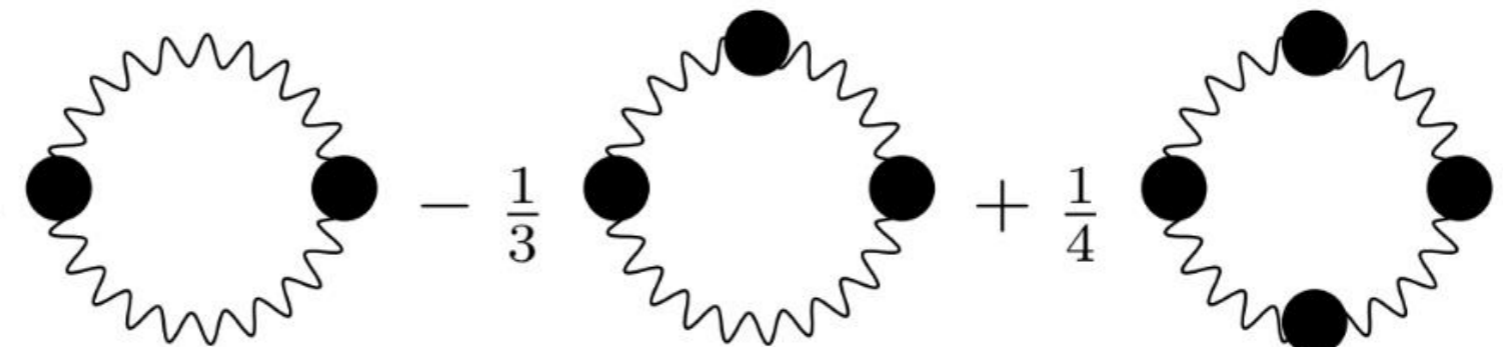
$$\rho^{(2)\ln} = \frac{e^2 m_e^2}{16\pi^4} \iint_0^\infty dp d\tilde{p} \frac{p\tilde{p}}{E_p E_{\tilde{p}}} \ln \left| \frac{p + \tilde{p}}{p - \tilde{p}} \right| n_D (2T \partial_T \tilde{n}_D - \tilde{n}_D)$$

---

# QED Equation of State $O(e^3)$

---

The next correction comes from

$$\ln Z^{(3)} = \frac{1}{2} \left[ \frac{1}{2} \text{diagram}_1 - \frac{1}{3} \text{diagram}_2 + \frac{1}{4} \text{diagram}_3 + \dots \right]$$


It reads

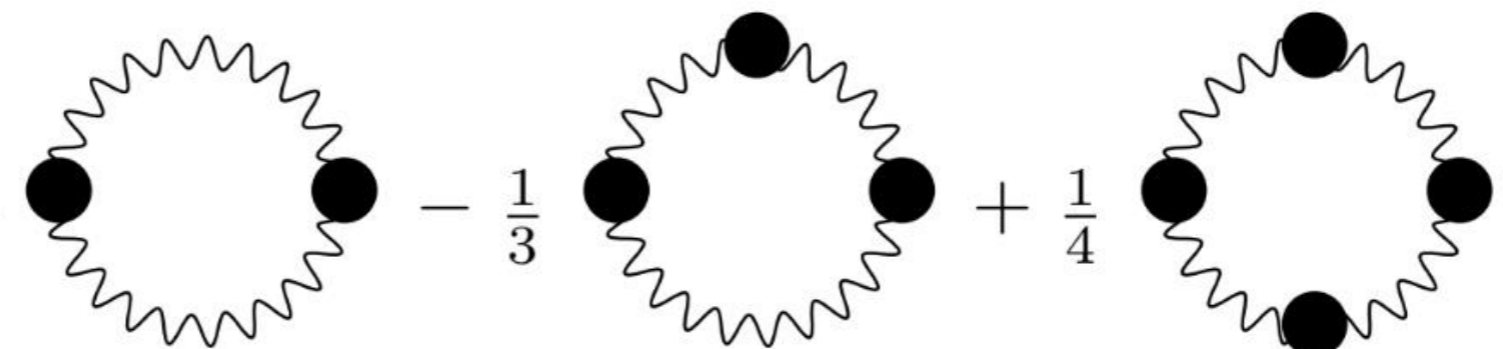
$$P^{(3)} = \frac{T}{V} \ln Z^{(3)} = \frac{e^3 T}{12\pi^4} I^{3/2}(T) \quad \rho^{(3)} = \frac{e^3 T^2}{8\pi^4} I^{1/2} \partial_T I$$

with

$$I(T) = \int_0^\infty dp \left( \frac{p^2 + E_p^2}{E_p} \right) n_D$$

# QED Equation of State $O(e^3)$

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- This correction was previously neglected
- It turns out to be important



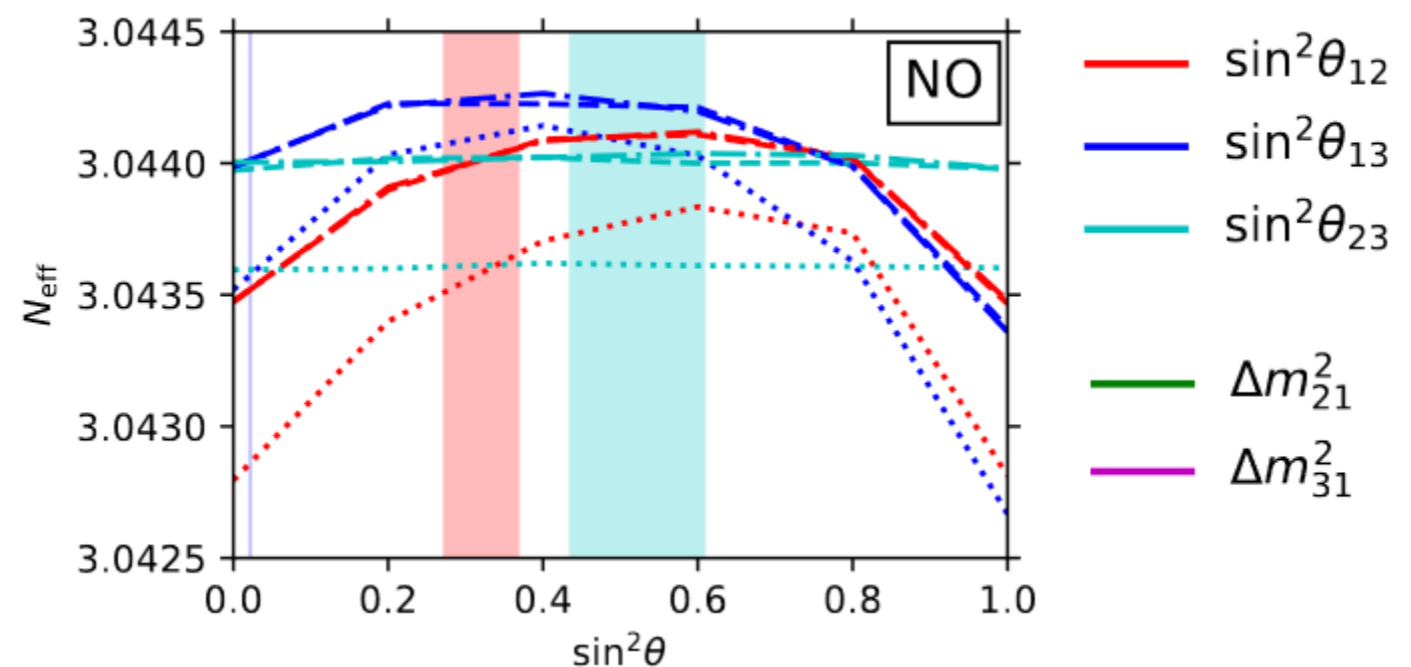
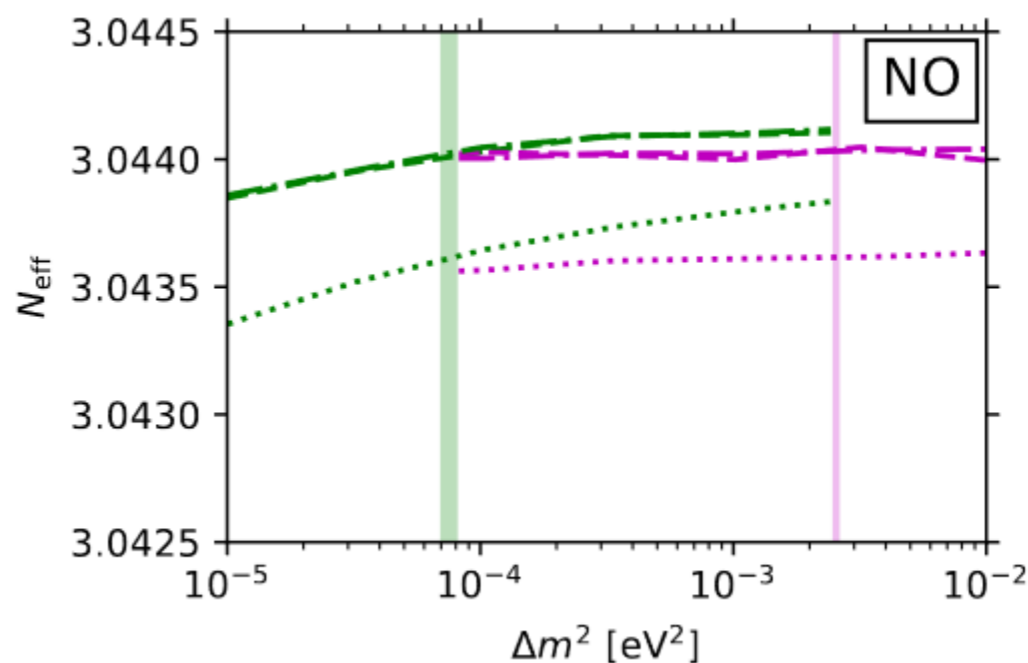
# Impact of NLO QED Corrections

- $N_{\text{eff}}$  in the SM is found by solving

$$(d/dt)\rho_{\text{tot}} + 3H(\rho_{\text{tot}} + P_{\text{tot}}) = 0, \quad \partial_t \varrho - pH \partial_p = -i[\mathbb{H}, \varrho] + \mathcal{I}[\varrho],$$

- $\mathcal{O}(e^3)$  correction to equation of state is more important than neutrino oscillations!

Standard-model corrections to $N_{\text{eff}}^{\text{SM}}$	Leading-digit contribution
$m_e/T_d$ correction	+0.04
$\mathcal{O}(e^2)$ FTQED correction to the QED EoS	+0.01
Non-instantaneous decoupling + spectral distortion	-0.005
$\mathcal{O}(e^3)$ FTQED correction to the QED EoS	-0.001
Flavour oscillations	+0.0005
Type (a) FTQED corrections to the weak rates	$\lesssim 10^{-4}$



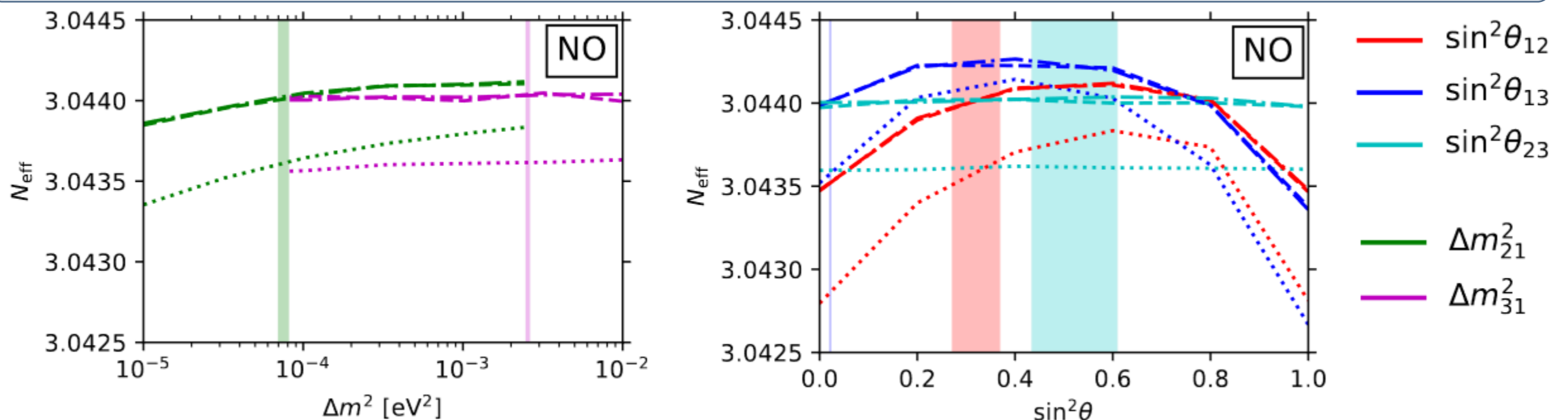
# Impact of NLO QED Corrections

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$$(d/dt)\rho_{\text{tot}} + 3H(\rho_{\text{tot}} + P_{\text{tot}}) = 0, \quad \partial_t \varrho - pH \partial_p = -i[\mathbb{H}, \varrho] + \mathcal{I}[\varrho],$$

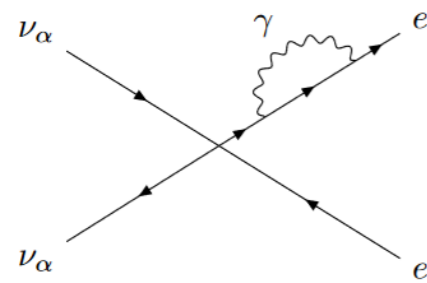
- First inclusion of NLO correction to equation of state at  $O(e^3)$   
→ is **more important than neutrino oscillations!**
- Estimate of NLO correction to collision term  
→ is **negligible (in contrast to claims in the literature)**.
- First inclusion of experimental error in  $\nu$ -oscillation parameters  
→ is **subdominant**

Bennet et al [1911.04504](#)  
 Bennet et al [2012.02726](#)  
 Drewes et al [2402.18481](#)

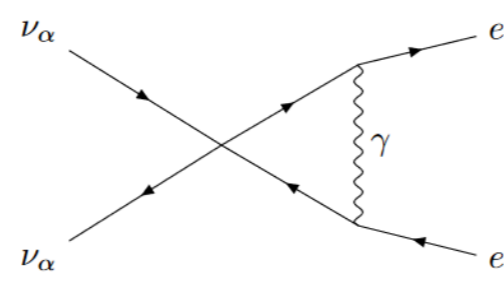


# QED Corrections to Collision Term

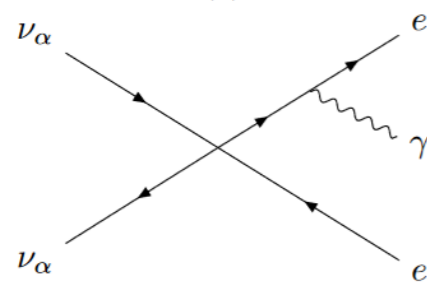
The following corrections to the collision term were not included



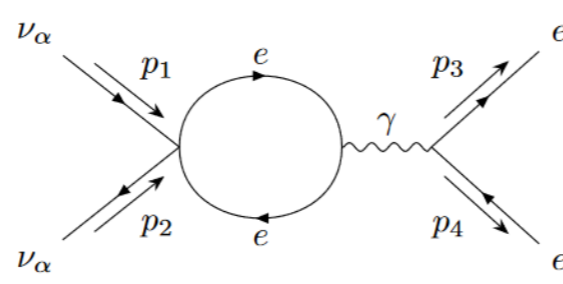
(a)



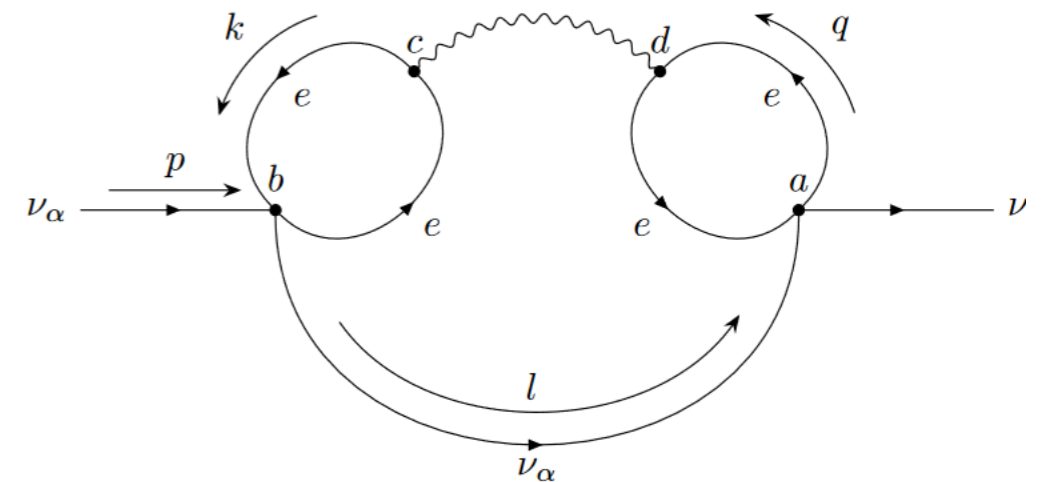
(b)



(c)



(d)

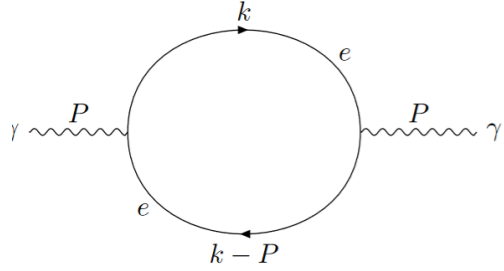


There is controversy about their impact

Cielo et al [2306.05460](#), Jackson/laine [2312.07015](#), Drewes et al [2402.18481](#)

Diagram (d) is IR divergent in the t-channel, requires usage of resummed finite temperature photon propagator

# Resummed Photon Propagator



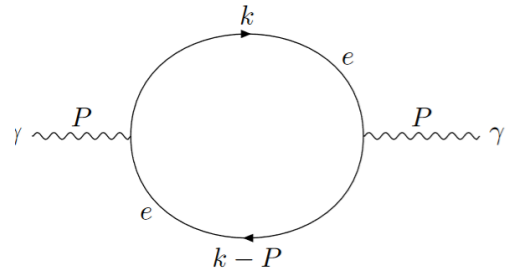
$$\Pi_{ab}^{\mu\nu}(P) = (-1)^{a+b} i e^2 \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[ i S_e^{ab}(k) \gamma^\mu i S_e^{ba}(k-P) \gamma^\nu \right]$$

$$\begin{aligned} \text{Re } \Pi_{11, T \neq 0}^T &= \frac{\alpha_{\text{em}}}{\pi |\mathbf{P}|^3} \int_{m_e}^{\infty} d\omega \left[ 2\ell_2(\omega, P) P_0 P^2 \omega - 4|\mathbf{k}||\mathbf{P}|(P_0^2 + |\mathbf{P}|^2) \right. \\ &\quad \left. + \ell_1(\omega, P)(|\mathbf{P}|^2 P^2 + 2P_0^2 \omega^2 - 2|\mathbf{k}|^2 |\mathbf{P}|^2 + \frac{1}{2} P^4) \right] f_F(\omega) \\ &\stackrel{\text{HTL}}{\approx} -\frac{3}{2} m_\gamma^2 \frac{P_0^2}{|\mathbf{P}|^2} \left[ 1 - \left( 1 - \frac{|\mathbf{P}|^2}{P_0^2} \right) \frac{P_0}{2|\mathbf{P}|} \ln \left| \frac{P_0 + |\mathbf{P}|}{P_0 - |\mathbf{P}|} \right| \right] \longrightarrow 0, \quad \text{for } P_0 = 0 \end{aligned}$$

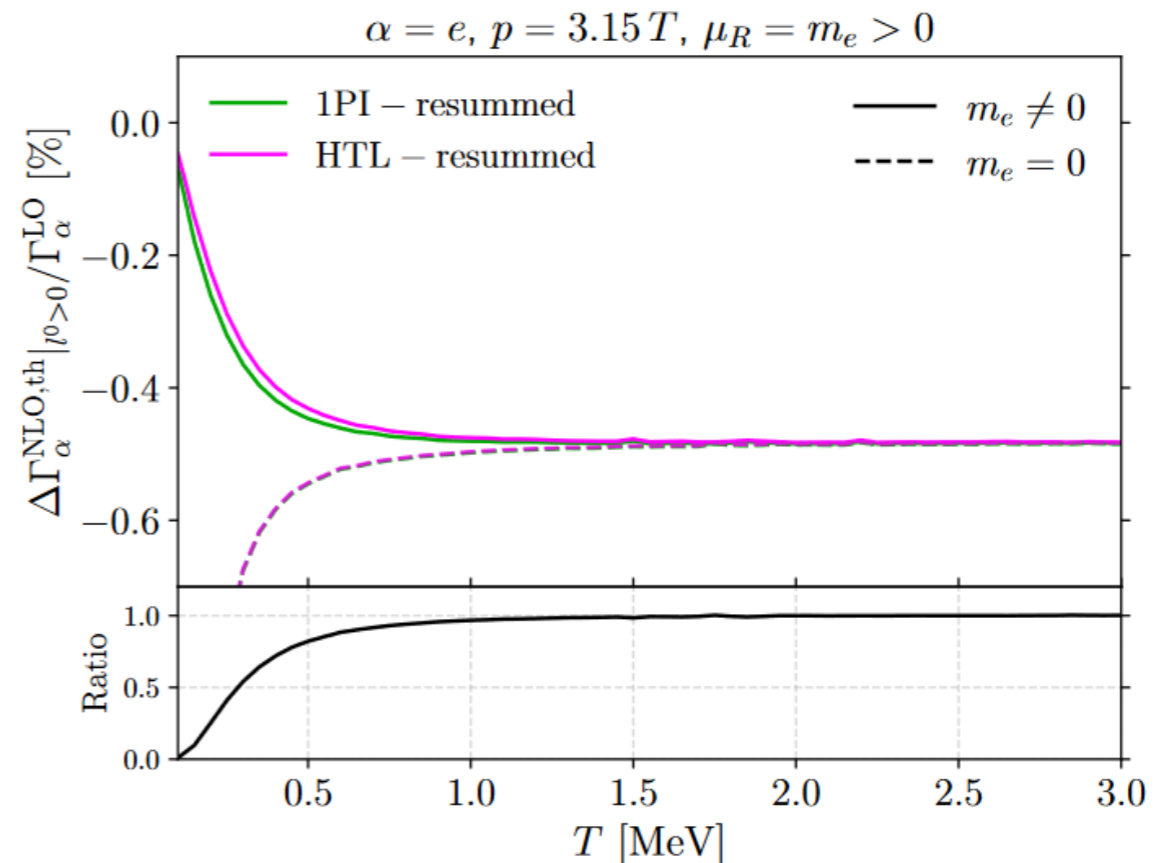
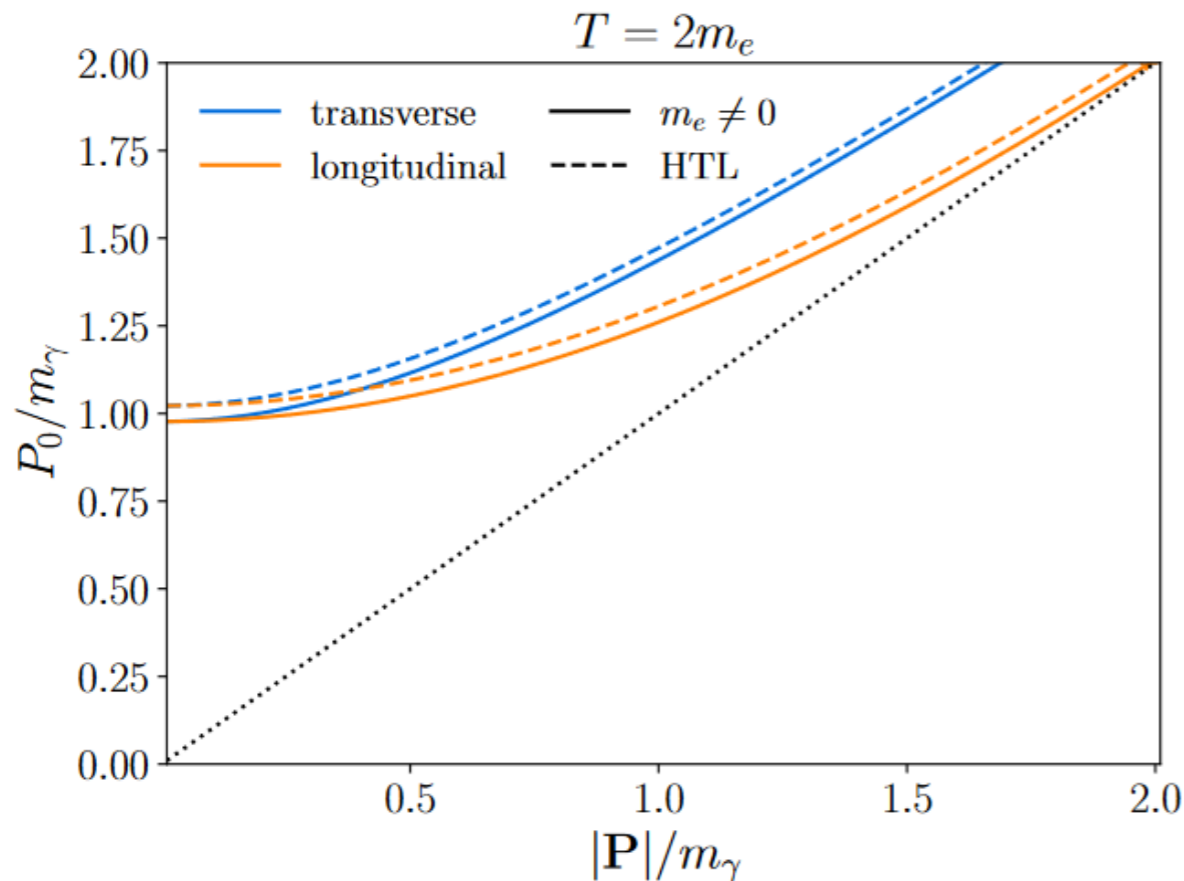
$$\begin{aligned} \text{Re } \Pi_{11, T \neq 0}^L &= \frac{\alpha_{\text{em}} P^2}{\pi |\mathbf{P}|^3} \int_{m_e}^{\infty} d\omega \left[ 8|\mathbf{k}||\mathbf{P}| - \ell_1(\omega, P)(P^2 + 4\omega^2) - 4\ell_2(\omega, P) P_0 \omega \right] f_F(\omega) \\ &\stackrel{\text{HTL}}{\approx} -3m_\gamma^2 \left( 1 - \frac{P_0^2}{|\mathbf{P}|^2} \right) \left[ 1 - \frac{P_0}{2|\mathbf{P}|} \ln \left| \frac{P_0 + |\mathbf{P}|}{P_0 - |\mathbf{P}|} \right| \right] \longrightarrow -3m_\gamma^2 \quad \text{for } P_0 = 0, \end{aligned}$$

Resummation introduces momentum-dependent photon mass and longitudinal photon mode

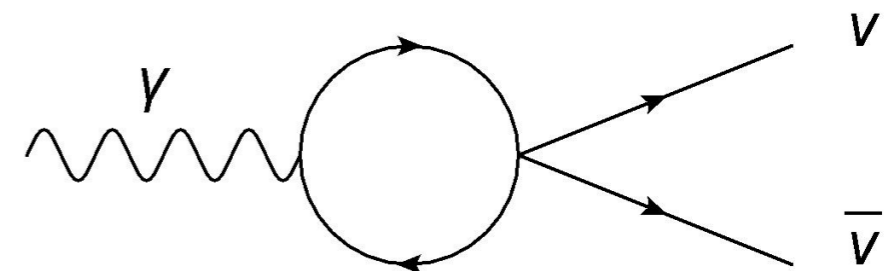
# Resummed Photon Propagator



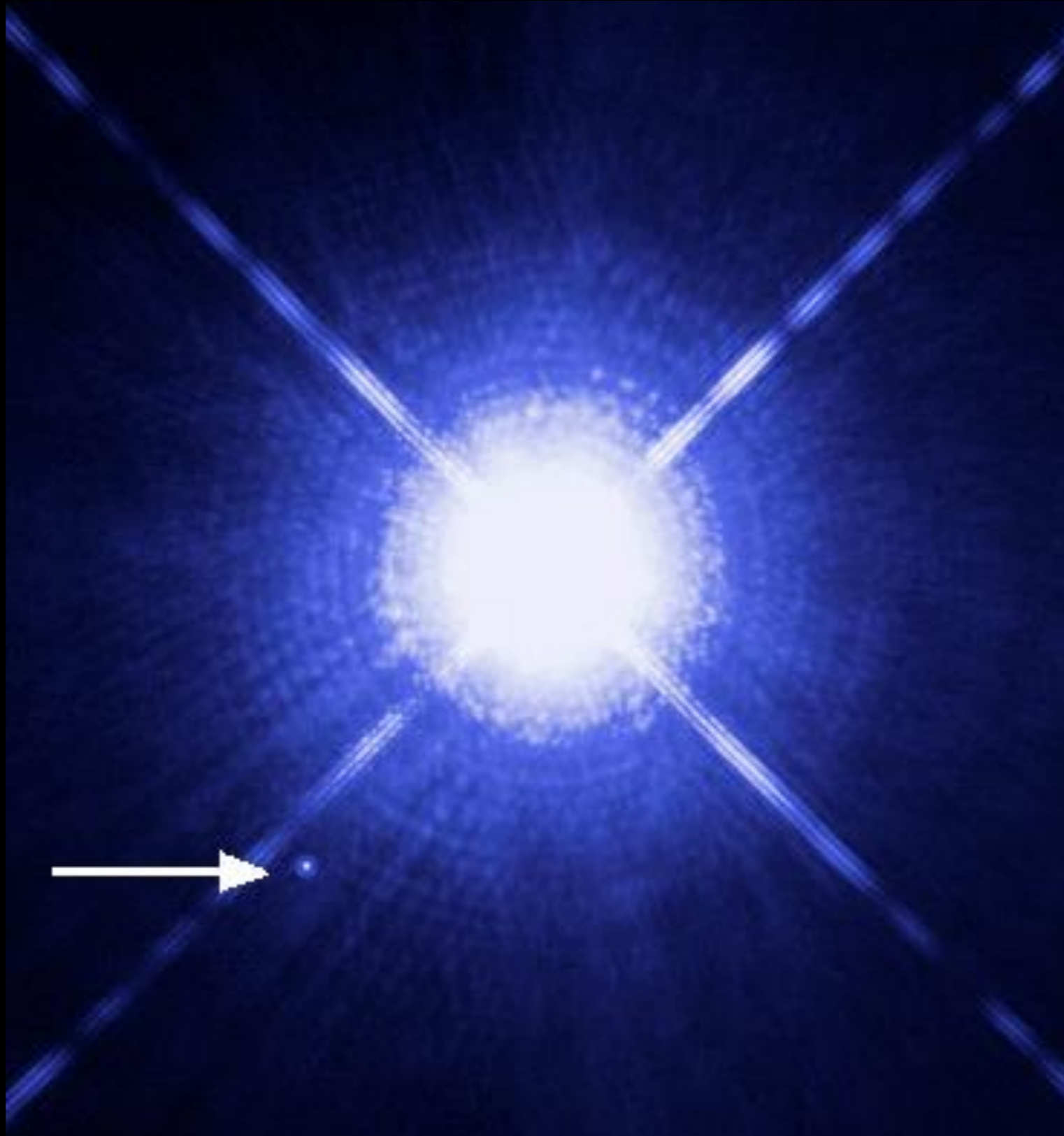
$$\Pi_{ab}^{\mu\nu}(P) = (-1)^{a+b} i e^2 \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[ i S_e^{ab}(k) \gamma^\mu i S_e^{ba}(k-P) \gamma^\nu \right]$$



Impact on  $N_{\text{eff}}$  is subdominant, but it gives rise to well-known plasmon process that is relevant for White Dwarf coolings

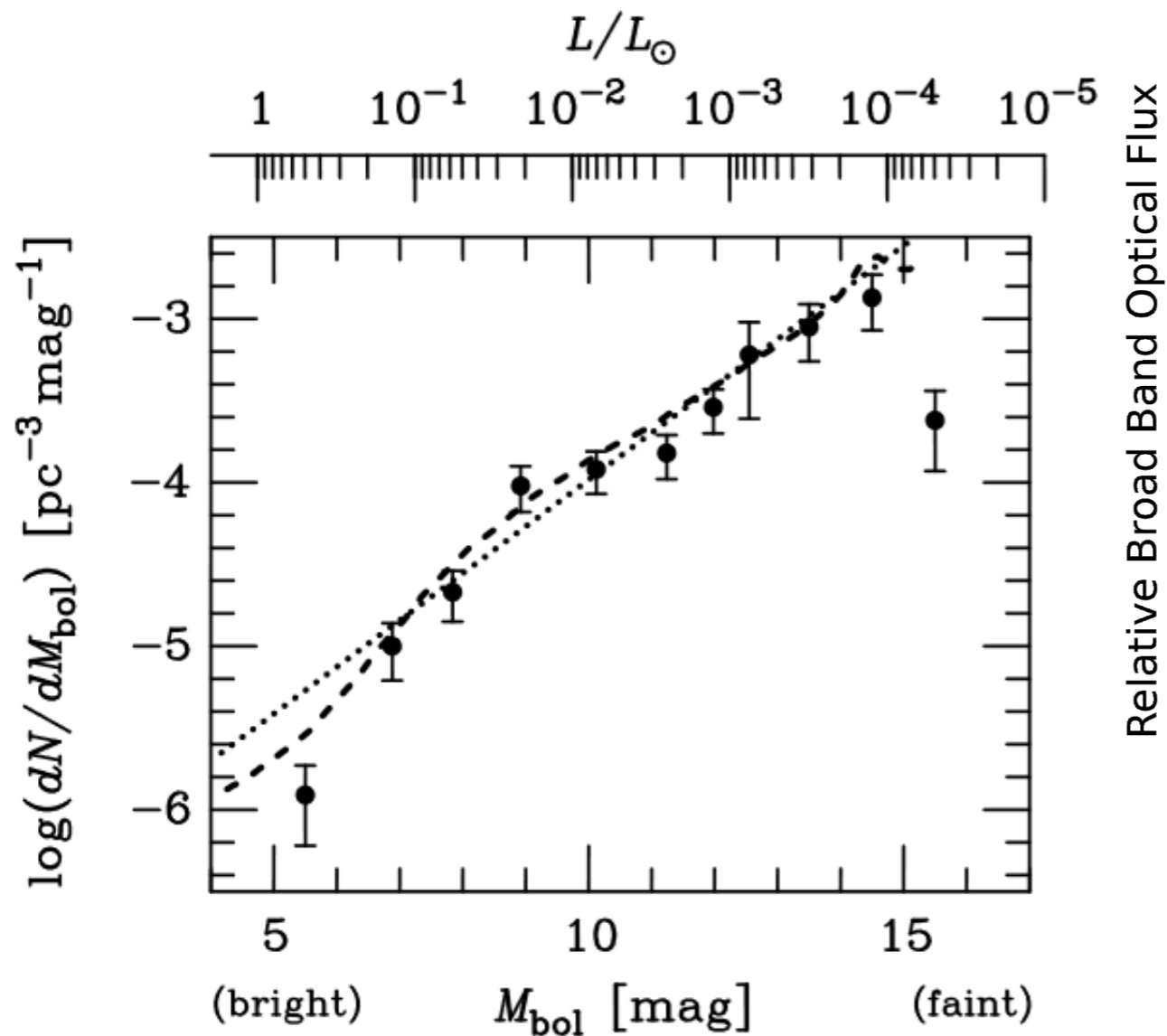


# White Dwarf Cooling

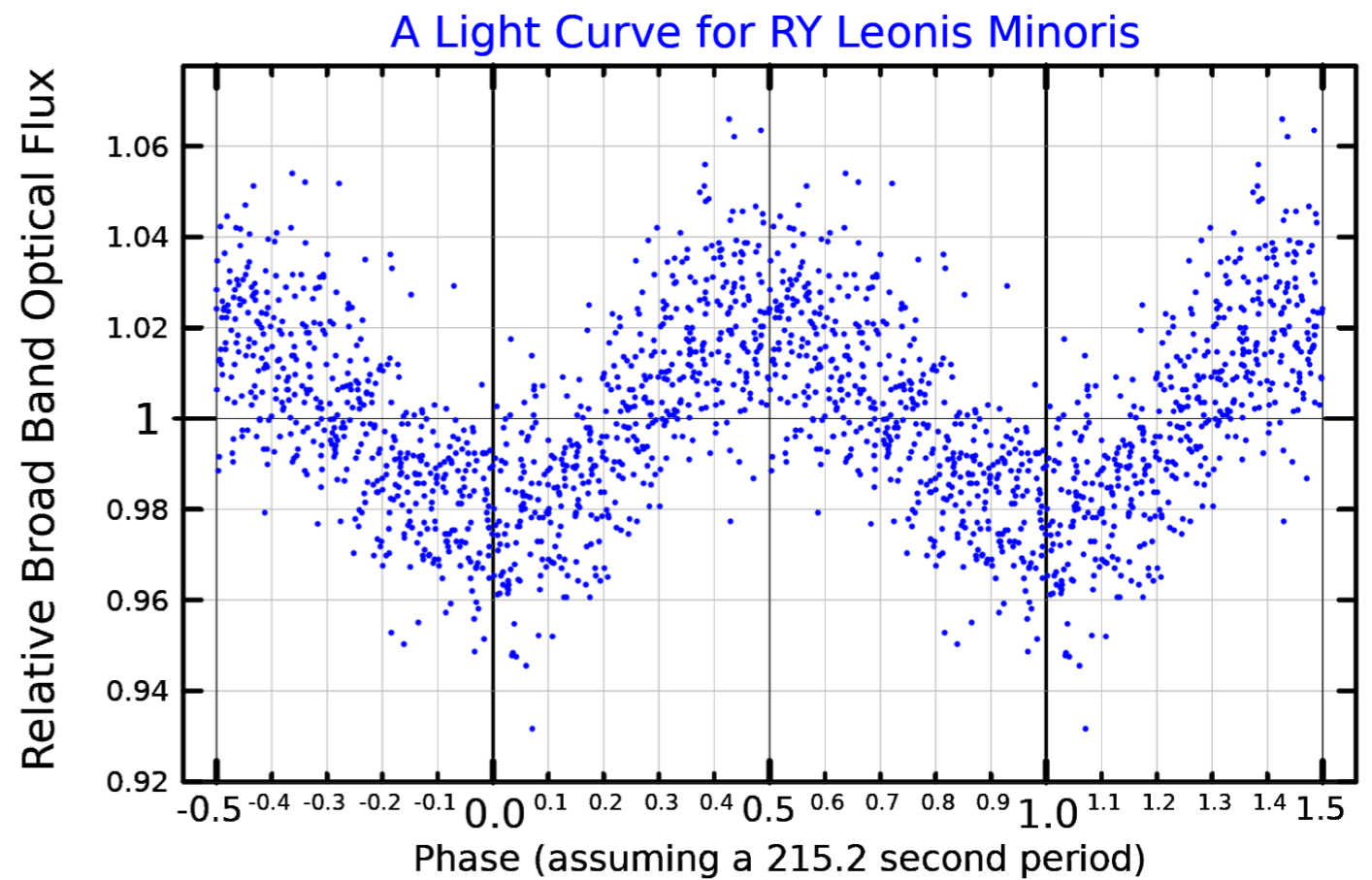


# Observing WD Cooling

White Dwarf Luminosity Function  
(WDLF):  
Population



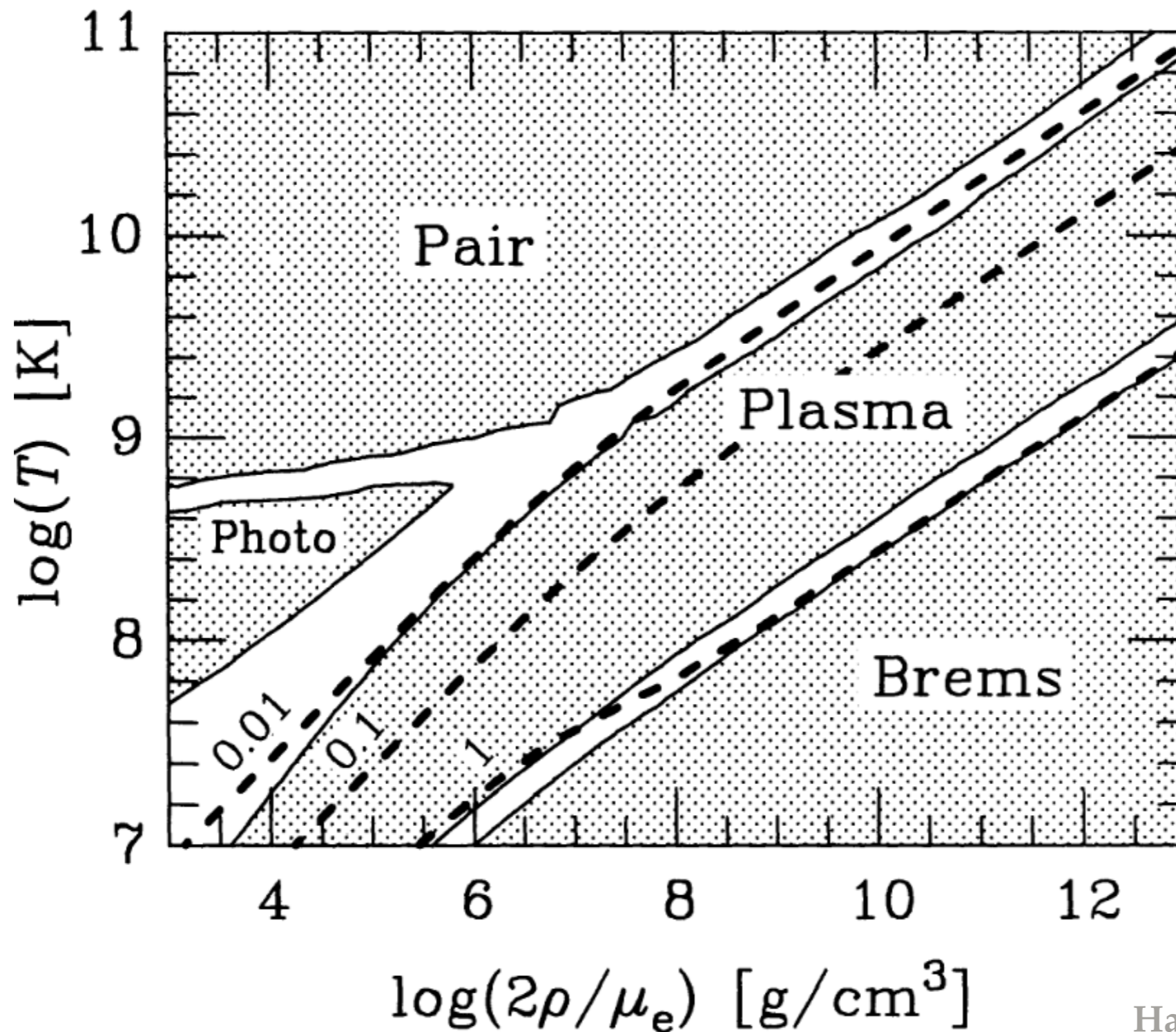
Pulsating WDs:  
Individual stars



G117-B15A

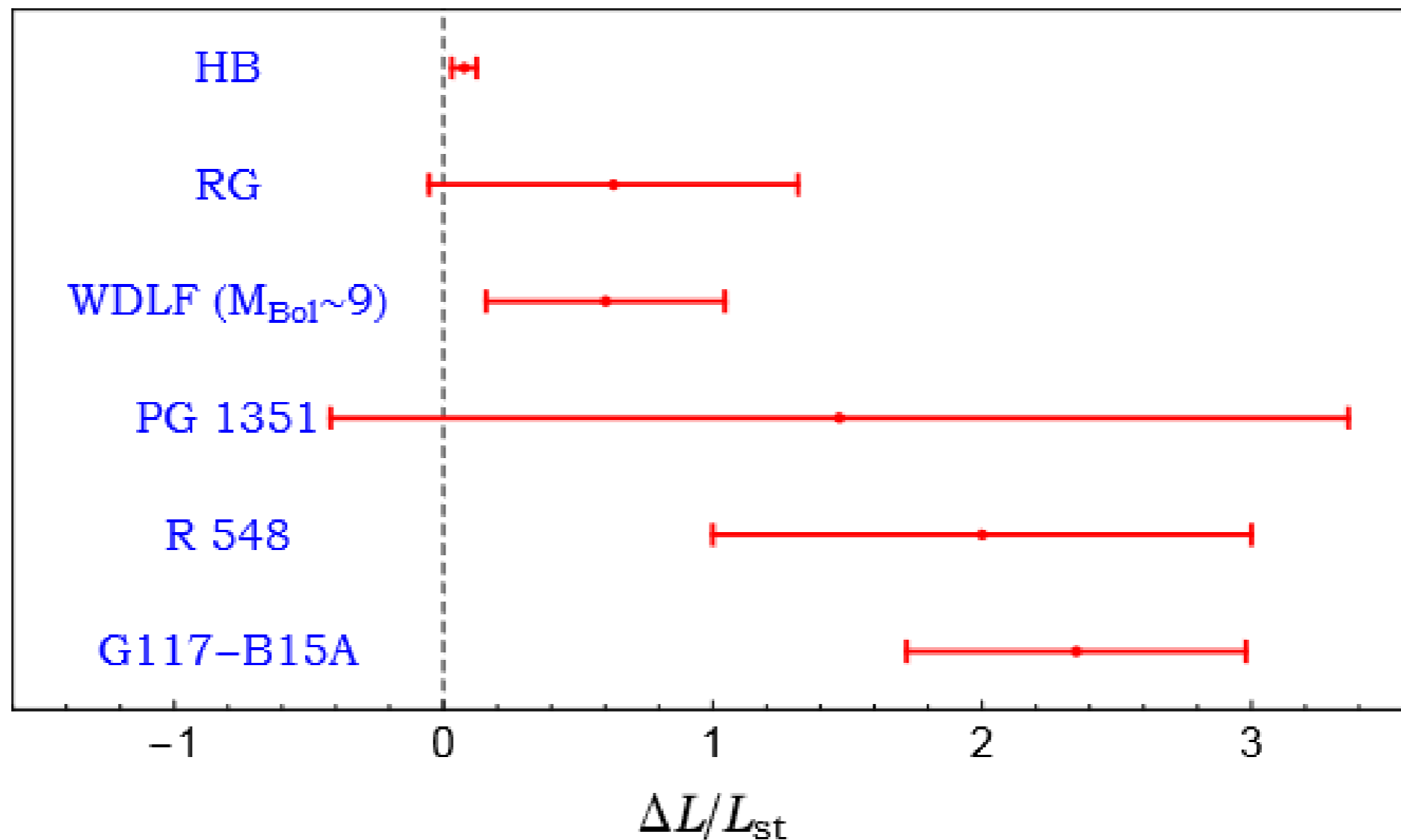
$$T = 1.2 \times 10^7 \text{ K} \quad Y_e \rho \simeq 10^6 \text{ g/cm}^3$$

# Theory of WD Cooling





# Cooling Anomaly



- Some WDs appear to be cooling too fast....
- Do they emit LLPs (axions, ALPs, ...)?

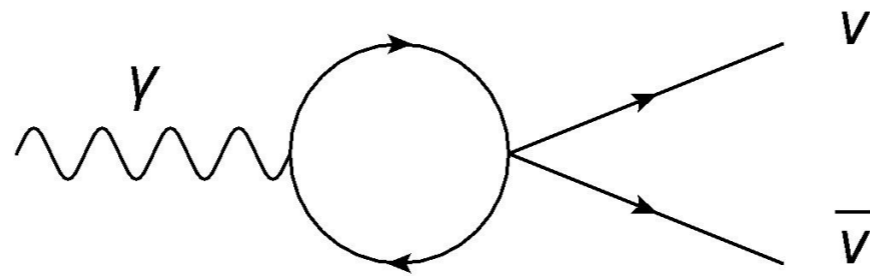
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# Impact of B-fields

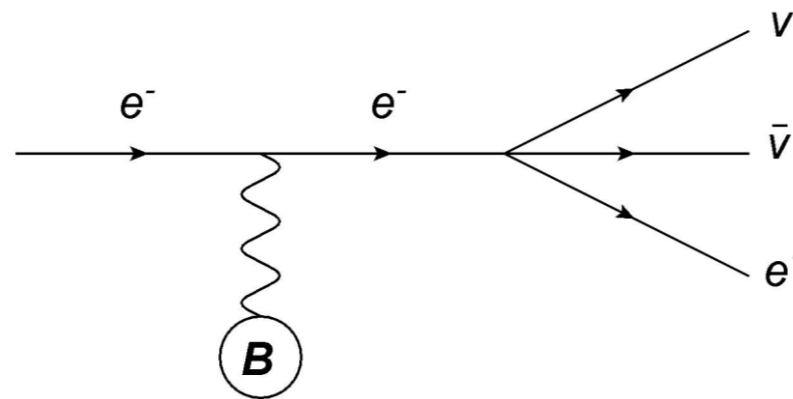
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Can internal magnetic fields explain this within the SM?

- Modify plasma processes  $\gamma \rightarrow \nu\nu$



- Enable synchrotron radiation  $e \rightarrow e\nu\nu$



- Heating through Ohmic decay

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# Plasma Processes

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- $\gamma \rightarrow \nu\nu$  possible in dense medium due to modified photon and plasmon dispersion relations, roughly characterised by the plasma frequency

$$\omega_p^2 = \frac{4\pi\alpha n_e}{m_e} \left[ 1 + \frac{1}{m_e^2} (3\pi^2 n_e)^{2/3} \right]^{-1/2} \simeq \left( 20 \text{ keV } \rho_6^{1/2} \right)^2 \quad \text{cf. e.g. Braaten/Segel [9302213](#)}$$

- Refractive index (“thermal mass”) is determined by electron density, relevant scale is the frequency

cf. e.g. Kennet/Melrose [astro-ph/9901156](#)

$$\omega_B = \frac{eB}{m_e} = m_e \frac{B}{B_c} \simeq 11.5 B_{12} \text{ keV} \quad B_c = m_e^2/e = 4.41 \times 10^{13} \text{ G}$$

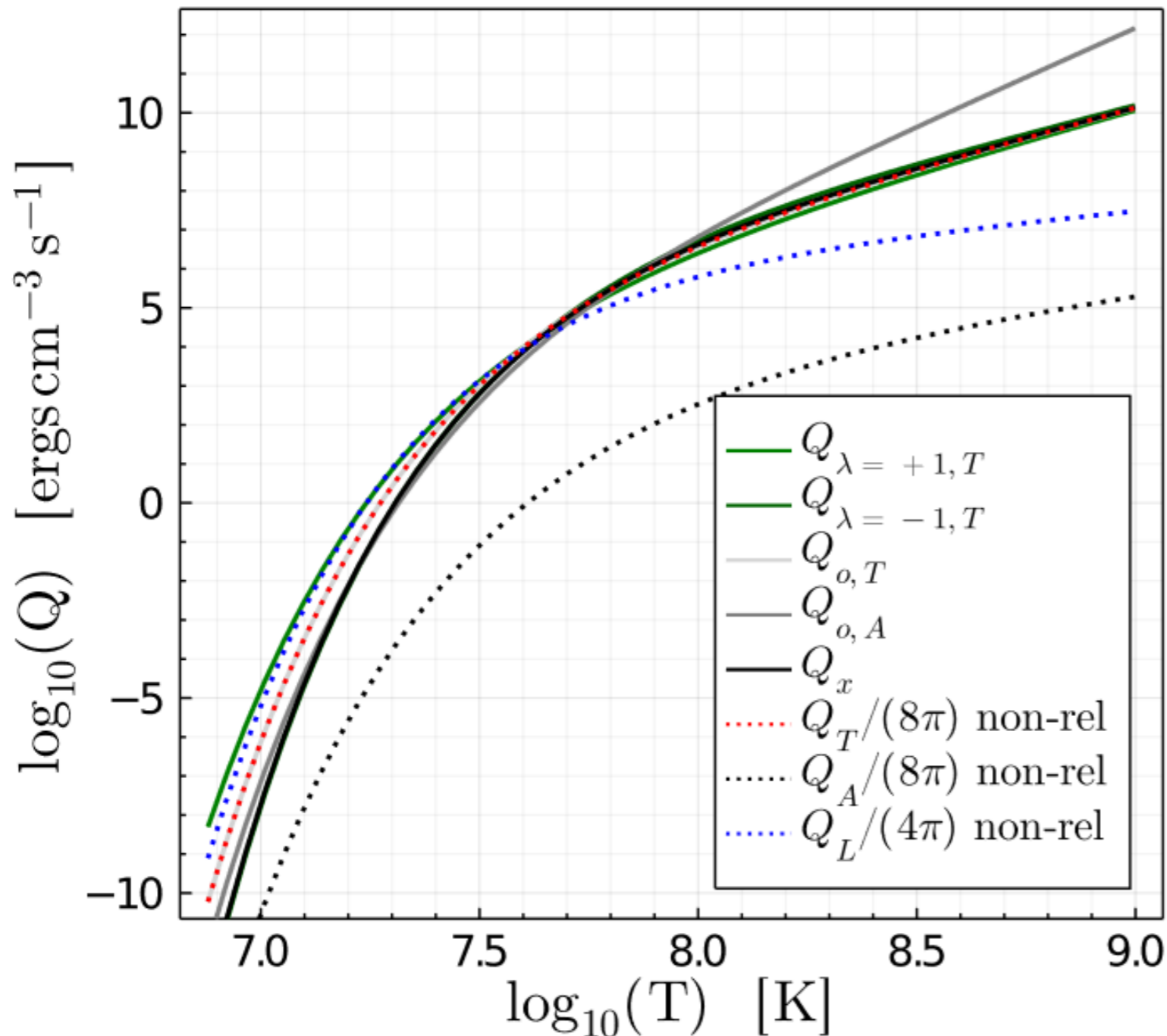
- Magnetic fields force electrons on Landau levels, modify refractive index

$$E_\nu = \sqrt{p_{\parallel}^2 + m_e^2 \left( 1 + 2\nu \frac{B}{B_c} \right)}$$

- Other effects (Schwinger-like pair creation, modification of wave function...) are negligible or sub-dominant

# Plasma Processes

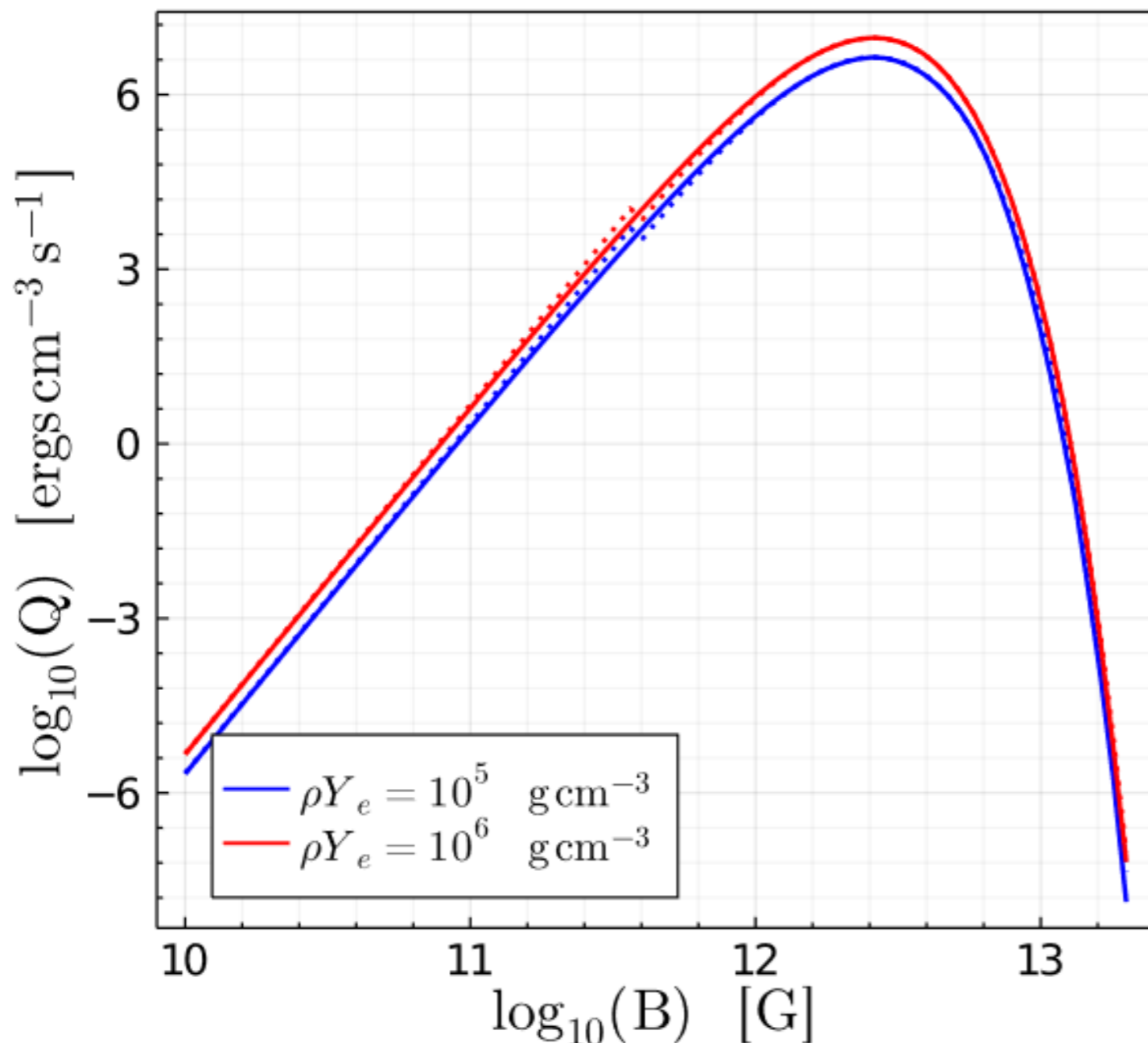
$$\rho Y_e = 10^6 \text{ g cm}^{-3}$$



- For typical WD parameters, impact of B fields significant...
- ...but only at temperature where other processes are more important

# Synchrotron Radiation

$$T = 5.0 \times 10^7 \text{ K}$$

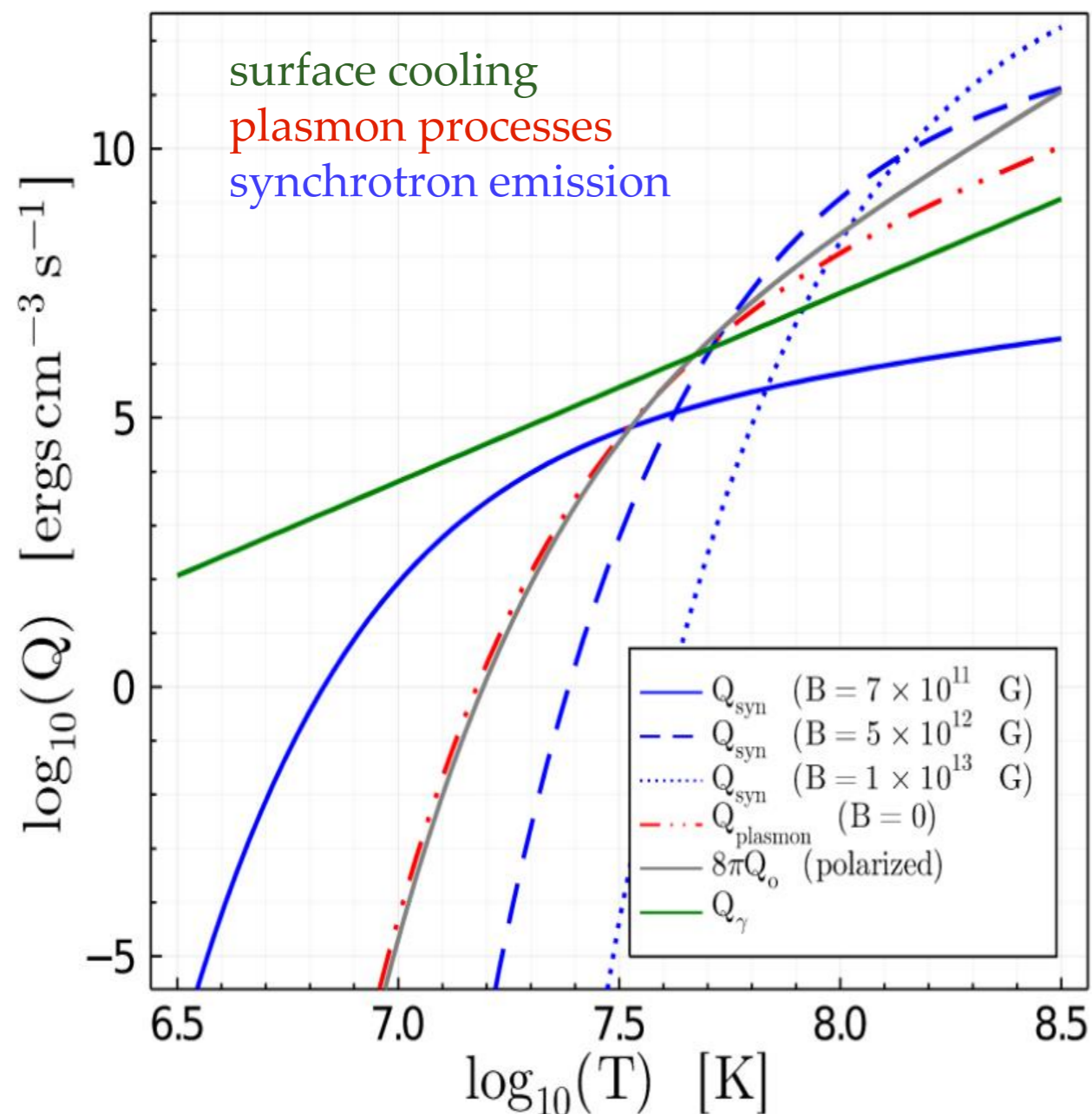


- B-fields open up new cooling channel  $e \rightarrow e\nu\nu$
- In relevant regime the effect grows with B
- For very large B: suppression because next Landau level becomes inaccessible

# Comparing Mechanisms

$$\rho Y_e = 10^6 \text{ g cm}^{-3}$$

7



- Synchrotron emission can dominate for large temperatures
- Requires comparably large B fields
- Can potentially solve the anomaly for

$$B \sim 3 \times 10^{11} \text{ G}$$

- But how to generate these fields?
- Non-observation of stronger anomaly imposes upper bound

$$B < 6 \times 10^{11} \text{ G}$$