

Neutrino Non-Standard Interactions (NSI)

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EuCAPT

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- **Lecture 1: NSI Basics**

- Why care about NSI?
- Review of SI and matter effect
- Wolfenstein parametrization of (vector) NSI
- NSI in propagation (NC), production and detection (CC)
- Current status and future prospects of NSI constraints
- Possible hint of NSI in oscillation data?

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- **Lecture 2: NSI Model Building and Phenomenology**

- **Lecture 3: Beyond ε – Scalar NSI, NSSI, Neutrino-DM interactions, ...**

References

- $\mathcal{O}(500)$ papers on NSI.
- Apologies if your favorite paper(s) not cited here.
- Reviews:
 - T. Ohlsson, Rept. Prog. Phys. **76**, 044201 (2013) [arXiv:[1209.2710](#)].
 - O. G. Miranda and H. Nunokawa, New J. Phys. **17**, no.9, 095002 (2015) [arXiv:[1505.06254](#)].
 - Y. Farzan and M. Tortola, Front. in Phys. **6**, 10 (2018) [arXiv:[1710.09360](#)].
 - P. S. B. Dev *et al.*, SciPost Phys. Proc. **2**, 001 (2019) [arXiv:[1907.00991](#)].
 - S. K. Agarwalla *et al.*, [Snowmass LOI](#) (2022).

Why Non-Standard Interactions?

Neutrino Oscillations \implies Nonzero Neutrino Mass \implies BSM Physics

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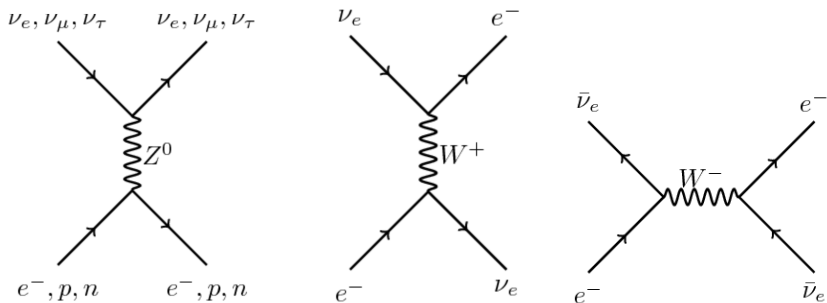
- Must introduce new fermions, scalars and/or gauge bosons – **messengers of neutrino mass physics**.
- New couplings involving neutrinos – **inevitably lead to NSI**.
- Potentially observable effects in neutrino production, propagation, and/or detection.
- Relevant for all kinds of neutrinos (accelerator, reactor, atmospheric, solar, supernova, astrophysical, cosmic).

Why Non-Standard Interactions?

Neutrino Oscillations \implies Nonzero Neutrino Mass \implies BSM Physics

- Must introduce new fermions, scalars and/or gauge bosons – **messengers of neutrino mass physics.**
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- Potentially observable effects in neutrino production, propagation, and/or detection.
- Relevant for all kinds of neutrinos (accelerator, reactor, atmospheric, solar, supernova, astrophysical, cosmic).
- **Complementary to direct search for new physics at the LHC.**
- At the very least, could serve as a foil for the standard 3-neutrino oscillation scheme.
- **Better understanding of NSI is crucial for correct interpretation of oscillation data.**
- **Potential hints of NSI in recent T2K/NO ν A data.**

Standard Neutrino Interactions with Matter



$$\mathcal{H}_Z = \frac{G_F}{\sqrt{2}} J_Z^\mu J_{Z\mu}^\dagger, \text{ where } J_Z^\mu = \sum_{i=\ell, \nu_\ell, u, d} \bar{\psi}_i \gamma^\mu [I_i^3 (1 - \gamma_5) - 2Q_i \sin^2 \theta_W] \psi_i,$$

$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} J_W^\mu J_{W\mu}^\dagger, \text{ where } J_W^\mu = \bar{e} \gamma^\mu (1 - \gamma_5) \nu_e.$$

Effective Matter Potential

Type of reaction	Matter potential
V_Z^n	$\mp G_F N_n / \sqrt{2}$
V_Z^p	$\pm G_F (1 - 4 \sin^2 \theta_W) N_p / \sqrt{2}$
V_Z^e	$\mp G_F (1 - 4 \sin^2 \theta_W) N_e / \sqrt{2}$
V_W^e	$\pm \sqrt{2} G_F N_e$

[For a derivation, see e.g., J. Linder, [hep-ph/0504264](https://arxiv.org/abs/hep-ph/0504264)]

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- Upper (Lower) sign is for neutrino (antineutrino).
- In an electrically neutral medium ($N_e = N_p$), $V_Z^e + V_Z^p = 0$.
- V_Z^n is diagonal in neutrino flavor, and gives an overall phase shift, which is of no physical significance in oscillations.
- Effective neutrino matter potential induced by Earth:

$$V_{CC} = V_W^e = \sqrt{2} G_F N_e \simeq 3.8 \times 10^{-14} \text{ eV} \left(\frac{\rho}{\text{gm/cm}^3} \right) \left(\frac{Y_e}{0.5} \right).$$

Oscillation Probability

- Time evolution governed by Schrödinger equation:

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[\frac{MM^\dagger}{2E} + V(t) \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix},$$

where E is the neutrino energy, $M = U \text{diag}(m_1, m_2, m_3)U^T$ is the neutrino mass matrix and $V = \text{diag}(V_{\text{CC}}, 0, 0)$.

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- Probability of oscillation over a length L (in the 2-flavor limit):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta | e^{-iHL} | \nu_\alpha \rangle \right|^2 \simeq \sin^2 2\theta_M \sin^2 \left(\frac{\Delta m_M^2 L}{4E} \right),$$

$$\text{where } \tan 2\theta_M = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - A},$$

$$\Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2},$$

$$A = 2EV_{\text{CC}}.$$

Neutral Current NSI

$$\mathcal{L}_{\text{NSI}}^{\text{NC}} = -2\sqrt{2}G_F \sum_{f,X,\alpha,\beta} \varepsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f),$$

with $X = L, R$, and $f \in \{e, u, d\}$. [L. Wolfenstein, [PRD '78](#)]

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- Only vector part is relevant (axial-vector part is spin-dependent):

$$\begin{aligned} \varepsilon_{\alpha\beta} &= \sum_{f \in \{e, u, d\}} \frac{N_f}{N_e} \varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{eV} + \frac{N_p}{N_e} (2\varepsilon_{\alpha\beta}^{uV} + \varepsilon_{\alpha\beta}^{dV}) + \frac{N_n}{N_e} (\varepsilon_{\alpha\beta}^{uV} + 2\varepsilon_{\alpha\beta}^{dV}) \\ &= \varepsilon_{\alpha\beta}^{eV} + (2 + Y_n) \varepsilon_{\alpha\beta}^{uV} + (1 + 2Y_n) \varepsilon_{\alpha\beta}^{dV} \end{aligned}$$

with $\varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR}$ and $Y_n = N_n/N_e \simeq 1$ for Earth.

Neutral Current NSI

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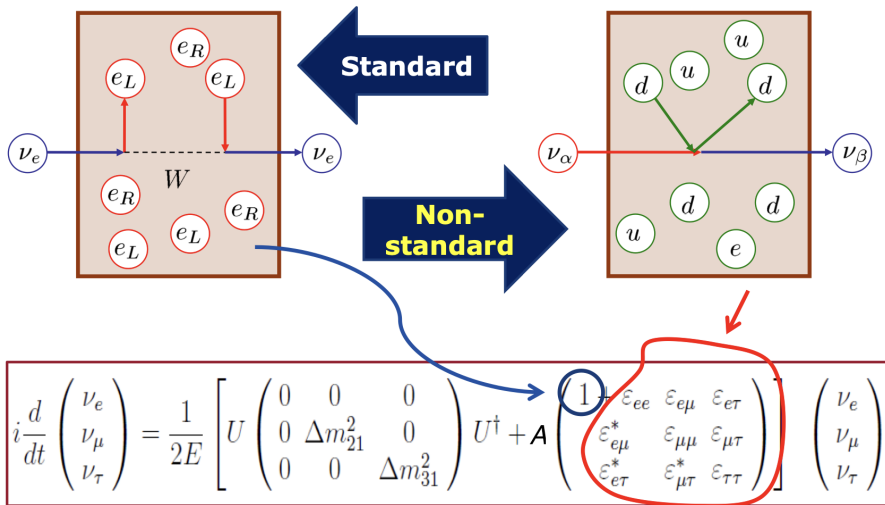
with $\varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR}$ and $Y_n = N_n/N_e \simeq 1$ for Earth.

- Leads to extra matter effect in propagation:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta | e^{-i(H+V_{\text{NSI}})L} | \nu_\alpha \rangle \right|^2,$$

$$\text{where } V_{\text{NSI}} = \sqrt{2}G_F N_e \begin{pmatrix} \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

What does NSI do?



[figure adapted from T. Ohlsson]

Induces non-standard oscillations during propagation

$$i \frac{d}{dL} \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix} = \left[\frac{1}{2E} U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger + A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\tau} \\ \epsilon_{e\tau} & \epsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix}$$



$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left(\frac{\Delta m_M^2 L}{4E} \right)$$

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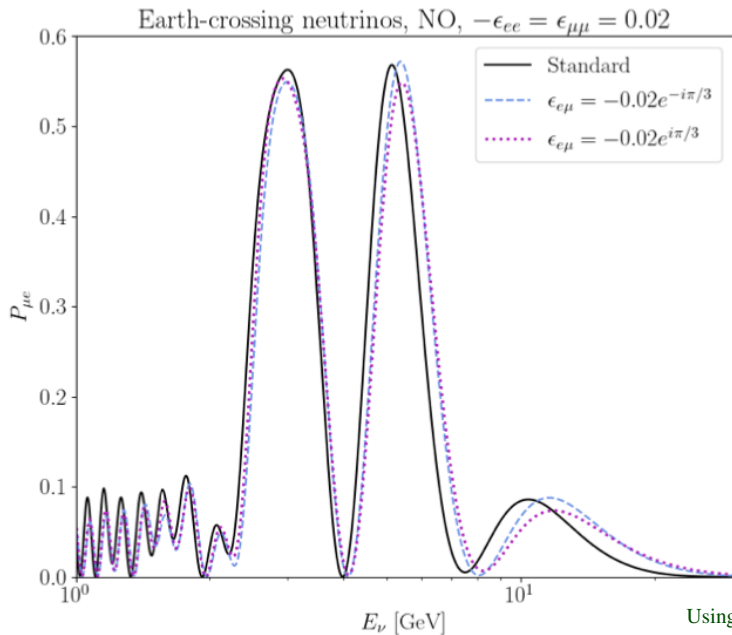


$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left(\frac{\Delta m_M^2 L}{4E} \right)$$

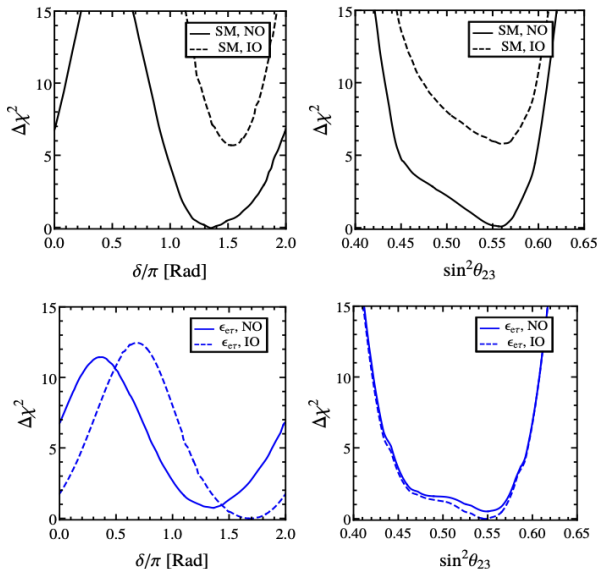
$$\left(\frac{\Delta m_M^2}{2EA} \right)^2 \equiv \left(\frac{\Delta m^2}{2EA} \cos 2\theta - (1 + \epsilon_{ee} - \epsilon_{\tau\tau}) \right)^2 + \left(\frac{\Delta m^2}{2EA} \sin 2\theta + 2\epsilon_{e\tau} \right)^2$$

$$\sin 2\theta_M \equiv \frac{\Delta m^2 \sin 2\theta + 4EA\epsilon_{e\tau}}{\Delta m_M^2}$$

Modifies standard oscillation probabilities

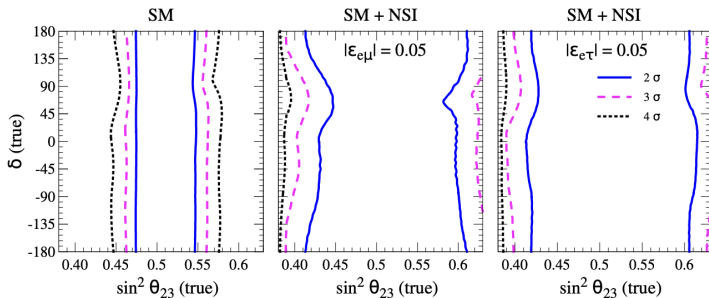


Can obscure mass-ordering determination

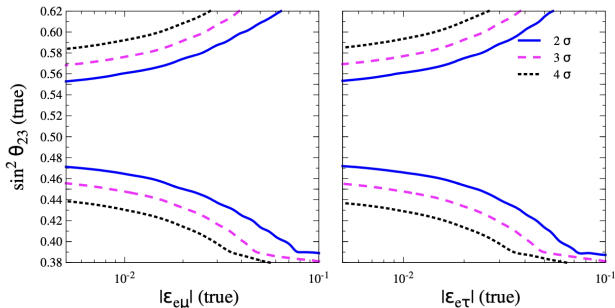
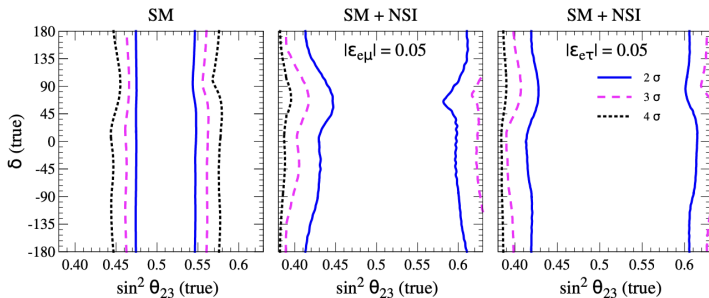


[Capozzi, Chatterjee, Palazzo 1908.06992 (PRL)]

Can degrade octant and δ_{CP} discovery potential



Can degrade octant and δ_{CP} discovery potential



$$\mathcal{L}_{\text{NSI}}^{\text{CC}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ff'X} (\bar{\nu}_\alpha \gamma^\mu P_L \ell_\beta) (\bar{f}' \gamma_\mu P_X f)$$

- Flavor mixture states at source and detection.

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta^{\text{d}} | e^{-iHL} | \nu_\alpha^{\text{s}} \rangle \right|^2$$

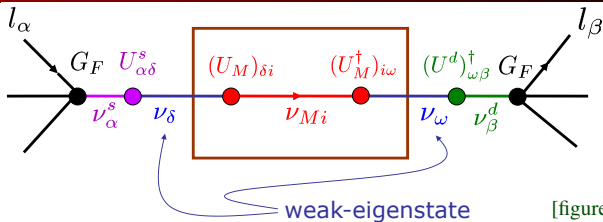
- Source NSI (e.g. in pion decay):

$$|\nu_\alpha^{\text{s}}\rangle = |\nu_\alpha\rangle + \sum_{\beta=e,\mu,\tau} \varepsilon_{\alpha\beta}^{\text{s}} |\nu_\beta\rangle, \quad \text{e.g. } \pi^+ \xrightarrow{\varepsilon_{e\mu}^{\text{s}}} \mu^+ \nu_e$$

- Detection NSI (e.g. in neutrino-nucleon scattering):

$$\langle \nu_\alpha^{\text{d}} | = \langle \nu_\alpha | + \sum_{\beta=e,\mu,\tau} \varepsilon_{\alpha\beta}^{\text{d}} \langle \nu_\beta |, \quad \text{e.g. } \nu_\tau n \xrightarrow{\varepsilon_{e\tau}^{\text{d}}} e^- p$$

Interesting Near-Detector Physics

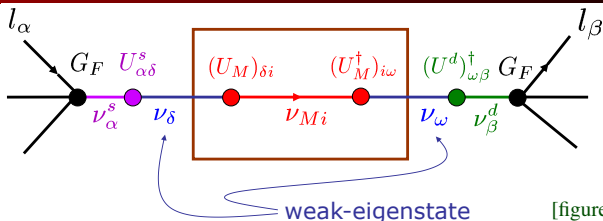


$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i [U^s U_M]_{\alpha i} \exp\left(i \frac{(\Delta m_M^2)_{i1} L}{4E}\right) [U^d U_M]_{i\beta}^\dagger \right|^2$$

Zero-distance effect

[Langacker, London (PRD '88)]

Interesting Near-Detector Physics



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Zero-distance effect

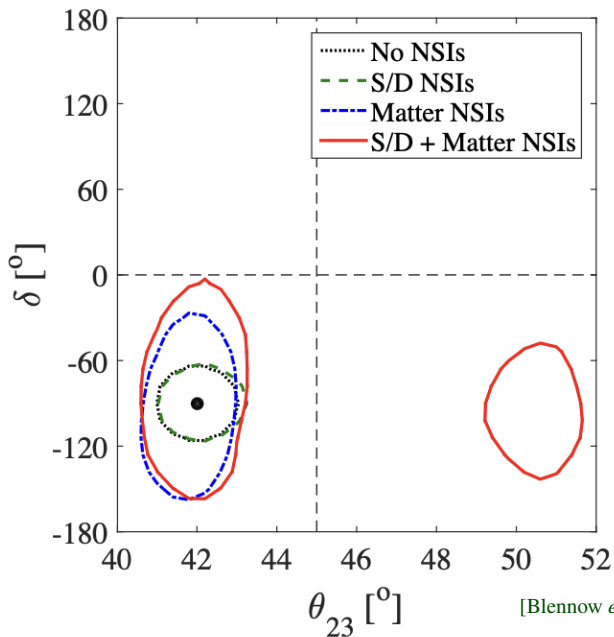
[Langacker, London (PRD '88)]

- In the 2-flavor case,

$$\frac{\Delta m^2 L}{4E} \rightarrow 0 \Rightarrow P(\nu_e \rightarrow \nu_\mu) \rightarrow (\epsilon_{e\mu}^s - \epsilon_{e\mu}^d)^2$$

- Can in principle be probed with a near detector close to the source (e.g., ESS ν SB), assuming that the neutrino fluxes at source are well-known.

CC+NC NSI can make things worse!



[Blennow *et al* 1606.08851]

Current Constraints* on NC NSI

[Farzan, Tortola [1710.09360](#)]

90% C.L. range	origin
NSI with quarks	
ϵ_{ee}^{dL}	[-0.3, 0.3] CHARM
ϵ_{ee}^{dR}	[-0.6, 0.5] CHARM
$\epsilon_{\mu\mu}^{dV}$	[-0.042, 0.042] atmospheric + accelerator
$\epsilon_{\mu\mu}^{uV}$	[-0.044, 0.044] atmospheric + accelerator
$\epsilon_{\mu\mu}^{dA}$	[-0.072, 0.057] atmospheric + accelerator
$\epsilon_{\mu\mu}^{uA}$	[-0.094, 0.14] atmospheric + accelerator
$\epsilon_{\tau\tau}^{dV}$	[-0.075, 0.33] oscillation data + COHERENT
$\epsilon_{\tau\tau}^{uV}$	[-0.09, 0.38] oscillation data + COHERENT
$\epsilon_{\tau\tau}^{dV}$	[-0.037, 0.037] atmospheric
NSI with electrons	
ϵ_{ee}^{eL}	[-0.021, 0.052] solar + KamLAND
ϵ_{ee}^{eR}	[-0.07, 0.08] TEXONO
$\epsilon_{\mu\mu}^{eL}, \epsilon_{\mu\mu}^{eR}$	[-0.03, 0.03] reactor + accelerator
$\epsilon_{\tau\tau}^{eL}$	[-0.12, 0.06] solar + KamLAND
$\epsilon_{\tau\tau}^{eR}$	[-0.98, 0.23] solar + KamLAND and Borexino [-0.25, 0.43] reactor + accelerator
$\epsilon_{\tau\tau}^{eV}$	[-0.11, 0.11] atmospheric

Current Constraints* on NC NSI

[Farzan, Tortola 1710.09360]

90% C.L. range	origin
NSI with quarks	
ϵ_{ee}^{dL} [-0.3, 0.3]	CHARM
ϵ_{ee}^{dR} [-0.6, 0.5]	CHARM
$\epsilon_{\mu\mu}^{dV}$ [-0.042, 0.042]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{uV}$ [-0.044, 0.044]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{dA}$ [-0.072, 0.057]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{uA}$ [-0.094, 0.14]	atmospheric + accelerator
$\epsilon_{\tau\tau}^{dV}$ [-0.075, 0.33]	oscillation data + COHERENT
$\epsilon_{\tau\tau}^{uV}$ [-0.09, 0.38]	oscillation data + COHERENT
$\epsilon_{\tau\tau}^{qV}$ [-0.037, 0.037]	atmospheric
NSI with electrons	
ϵ_{ee}^{eL} [-0.021, 0.052]	solar + KamLAND
ϵ_{ee}^{eR} [-0.07, 0.08]	TEXONO
$\epsilon_{\mu\mu}^{eL}, \epsilon_{\mu\mu}^{eR}$ [-0.03, 0.03]	reactor + accelerator
$\epsilon_{\tau\tau}^{eL}$ [-0.12, 0.06]	solar + KamLAND
$\epsilon_{\tau\tau}^{eR}$ [-0.98, 0.23] [-0.25, 0.43]	solar + KamLAND and Borexino reactor + accelerator
$\epsilon_{\tau\tau}^{eV}$ [-0.11, 0.11]	atmospheric

(Flavor-diagonal)

90% C.L. range	origin
NSI with quarks	
$\epsilon_{e\mu}^{qL}$ [-0.023, 0.023]	accelerator
$\epsilon_{e\mu}^{qR}$ [-0.036, 0.036]	accelerator
$\epsilon_{e\mu}^{uV}$ [-0.073, 0.044]	oscillation data + COHERENT
$\epsilon_{e\mu}^{dV}$ [-0.07, 0.04]	oscillation data + COHERENT
$\epsilon_{e\tau}^{qL}, \epsilon_{e\tau}^{qR}$ [-0.5, 0.5]	CHARM
$\epsilon_{e\tau}^{uV}$ [-0.15, 0.13]	oscillation data + COHERENT
$\epsilon_{e\tau}^{dV}$ [-0.13, 0.12]	oscillation data + COHERENT
$\epsilon_{\mu\tau}^{qL}$ [-0.023, 0.023]	accelerator
$\epsilon_{\mu\tau}^{qR}$ [-0.036, 0.036]	accelerator
$\epsilon_{\mu\tau}^{qV}$ [-0.006, 0.0054]	IceCube
$\epsilon_{\mu\tau}^{qA}$ [-0.039, 0.039]	atmospheric + accelerator
NSI with electrons	
$\epsilon_{e\mu}^{eL}, \epsilon_{e\mu}^{eR}$ [-0.13, 0.13]	reactor + accelerator
$\epsilon_{e\tau}^{eL}$ [-0.33, 0.33]	reactor + accelerator
$\epsilon_{e\tau}^{eR}$ [-0.28, -0.05] & [0.05, 0.28] [-0.19, 0.19]	reactor + accelerator TEXONO
$\epsilon_{\mu\tau}^{eL}, \epsilon_{\mu\tau}^{eR}$ [-0.10, 0.10]	reactor + accelerator
$\epsilon_{\mu\tau}^{eV}$ [-0.018, 0.016]	IceCube

(Flavor-changing)

*Conditions apply (one at a time, some constraints do not apply to light mediators)

Current Constraints* on CC NSI

[Farzan, Tortola [1710.09360](#)]

	90% C.L. range	origin
semileptonic NSI		
ϵ_{ee}^{udP}	$[-0.015, 0.015]$	Daya Bay
$\epsilon_{e\mu}^{udL}$	$[-0.026, 0.026]$	NOMAD
$\epsilon_{e\mu}^{udR}$	$[-0.037, 0.037]$	NOMAD
$\epsilon_{\tau e}^{udL}$	$[-0.087, 0.087]$	NOMAD
$\epsilon_{\tau e}^{udR}$	$[-0.12, 0.12]$	NOMAD
$\epsilon_{\tau\mu}^{udL}$	$[-0.013, 0.013]$	NOMAD
$\epsilon_{\tau\mu}^{udR}$	$[-0.018, 0.018]$	NOMAD
purely leptonic NSI		
$\epsilon_{\alpha e}^{\mu eL}, \epsilon_{\alpha e}^{\mu eR}$	$[-0.025, 0.025]$	KARMEN
$\epsilon_{\alpha\beta}^{\mu eL}, \epsilon_{\alpha\beta}^{\mu eR}$	$[-0.030, 0.030]$	kinematic G_F

Current Constraints* on CC NSI

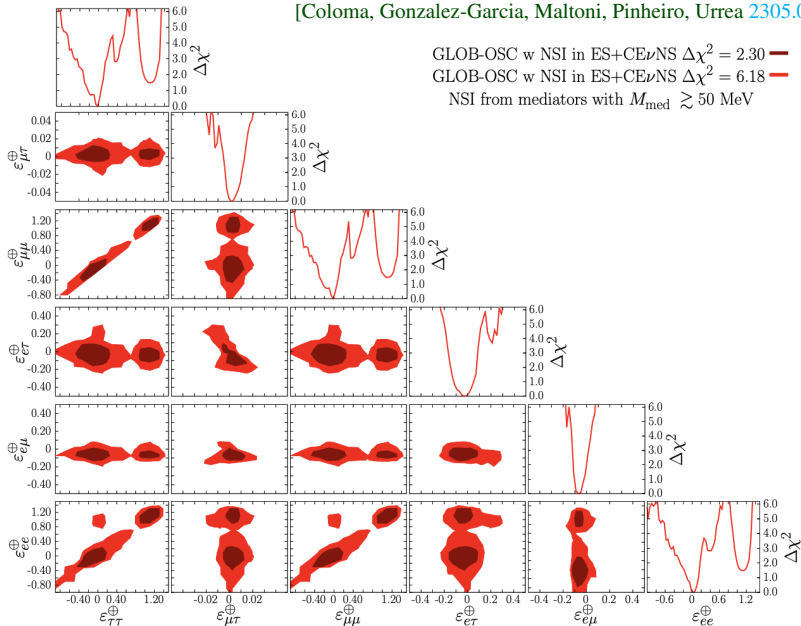
[Farzan, Tortola [1710.09360](#)]

	90% C.L. range	origin
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$\epsilon_{e\mu}^{udL}$	[-0.026, 0.026]	NOMAD
$\epsilon_{e\mu}^{udR}$	[-0.037, 0.037]	NOMAD
$\epsilon_{\tau e}^{udL}$	[-0.087, 0.087]	NOMAD
$\epsilon_{\tau e}^{udR}$	[-0.12, 0.12]	NOMAD
$\epsilon_{\tau\mu}^{udL}$	[-0.013, 0.013]	NOMAD
$\epsilon_{\tau\mu}^{udR}$	[-0.018, 0.018]	NOMAD
purely leptonic NSI		
$\epsilon_{\alpha e}^{\mu eL}, \epsilon_{\alpha e}^{\mu eR}$	[-0.025, 0.025]	KARMEN
$\epsilon_{\alpha\beta}^{\mu eL}, \epsilon_{\alpha\beta}^{\mu eR}$	[-0.030, 0.030]	kinematic G_F

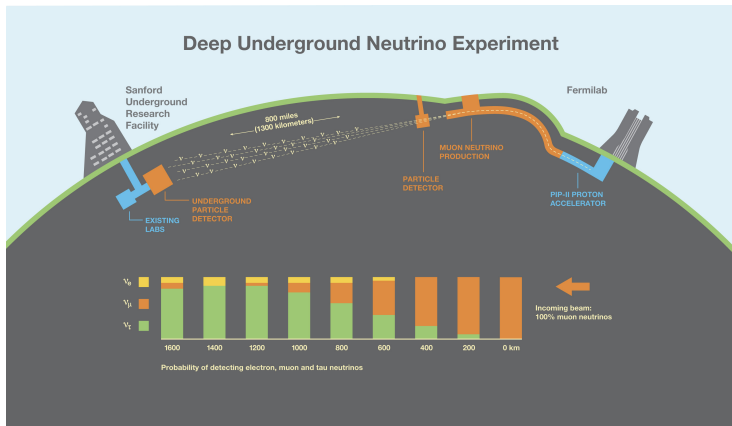
- From model-building perspective, getting ‘large’ CC NSI is more difficult than NC NSI.
- In some models (with purely leptonic NSI), CC and NC NSI are correlated by Fierz transformation.
- We will mostly focus on NC NSI (unless otherwise specified).

Global Fit

[Coloma, Gonzalez-Garcia, Maltoni, Pinheiro, Urrea [2305.07698](#)]



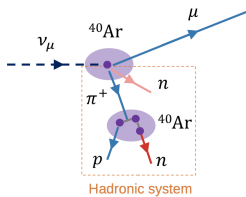
Future Prospects at DUNE



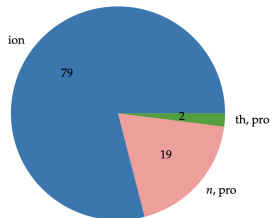
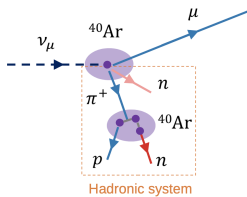
- Long baseline, huge statistics, intense & well-characterized beam.
- Excellent sensitivity to matter NSI.

[de Gouvêa, Kelly [1511.05562](#); Coloma [1511.06357](#); Blennow *et al.* [1606.08851](#); Liao, Marfatia, Whisnant [1612.01443](#); Chatterjee *et al* [1809.09313](#); Han *et al* [1910.03272](#)]

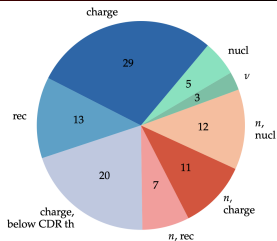
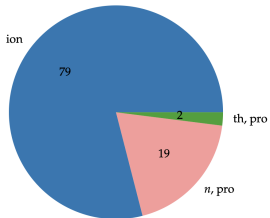
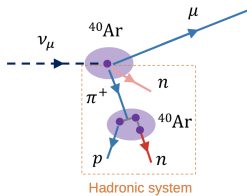
Improved Energy Resolution



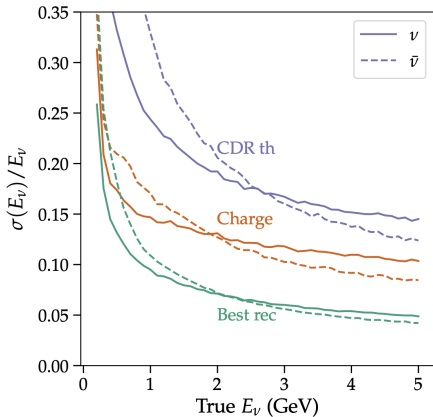
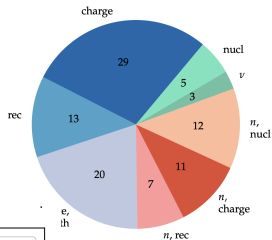
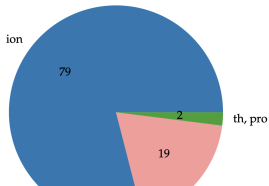
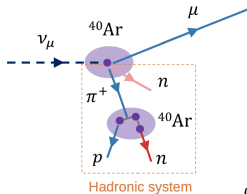
Improved Energy Resolution



Improved Energy Resolution

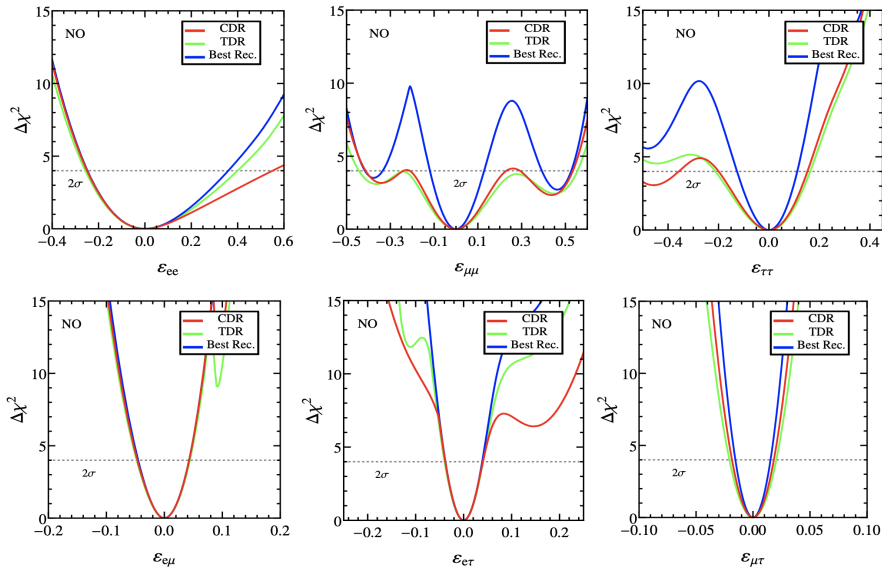


Improved Energy Resolution



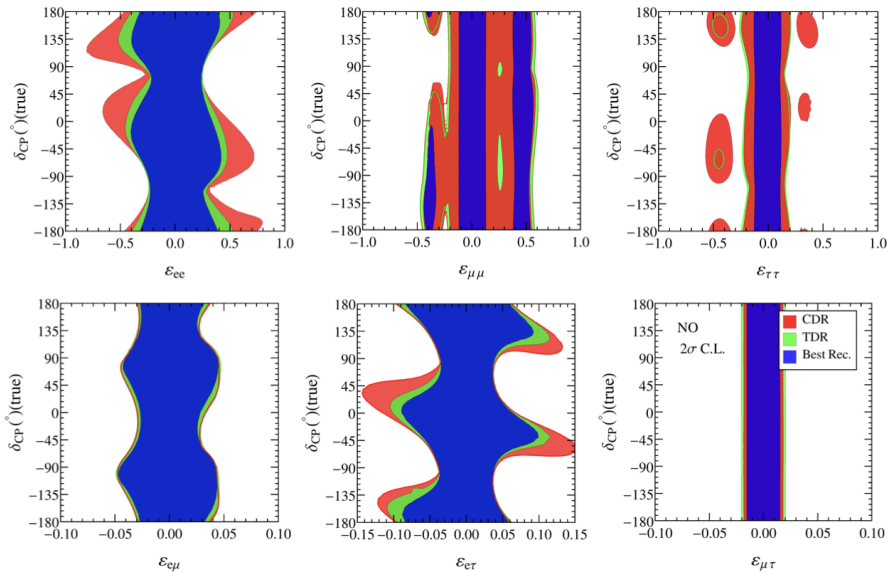
[Friedland, Li 1811.06159]

Improved DUNE Sensitivity to NSI



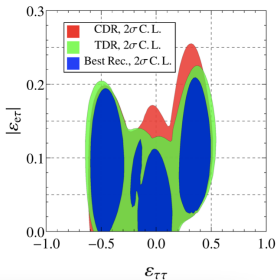
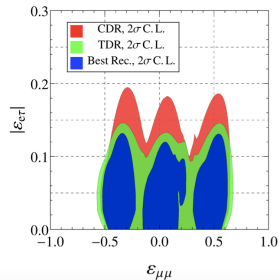
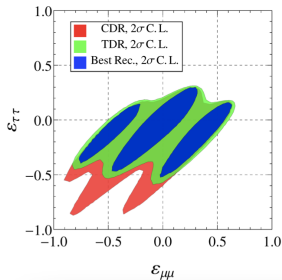
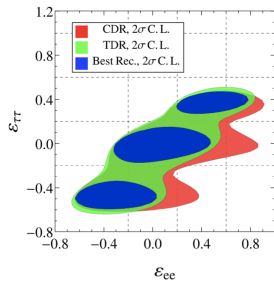
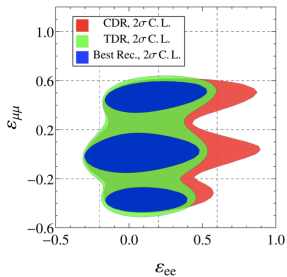
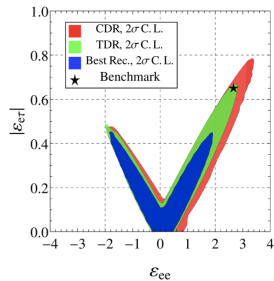
[Chatterjee, BD, Machado 2106.04597]

Dependence on δ_{CP}



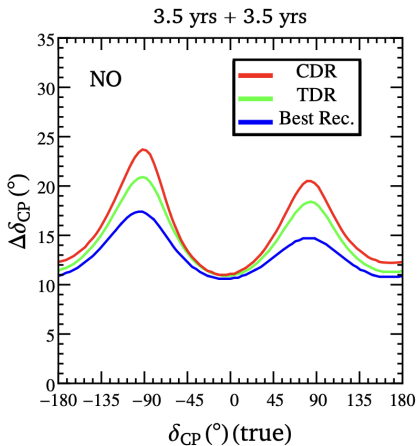
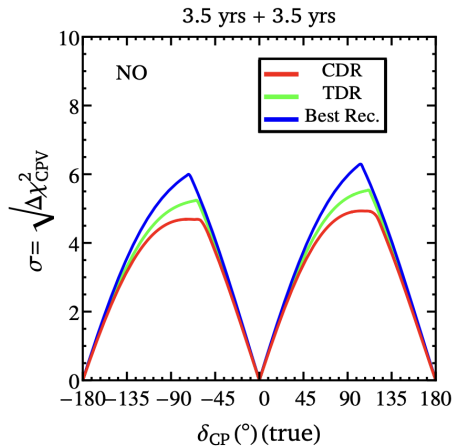
[Chatterjee, BD, Machado 2106.04597]

Breaking Degeneracies



[Chatterjee, BD, Machado 2106.04597]

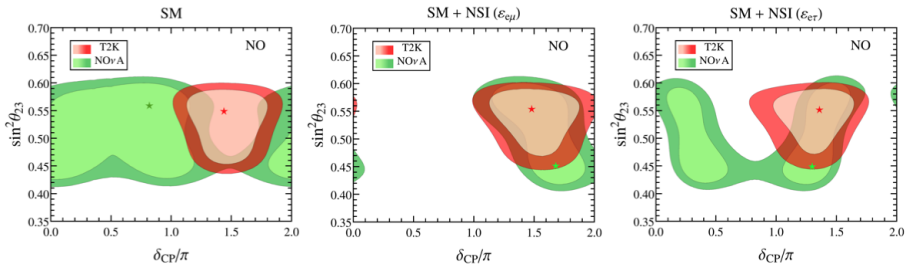
Improved δ_{CP} Sensitivity



[Chatterjee, BD, Machado [2106.04597](#);

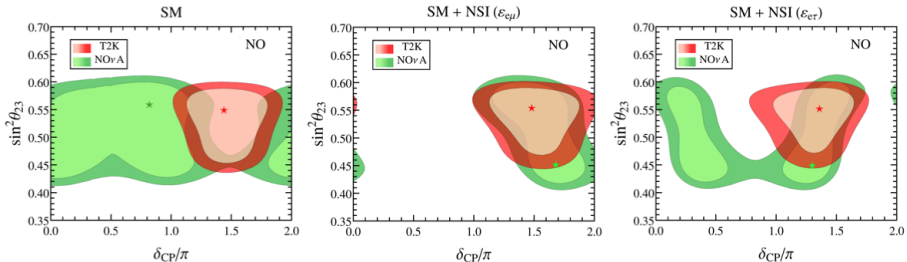
see also De Romeri, Fernandez-Martinez, Sorel, [1607.00293](#)]

Hint of NSI?



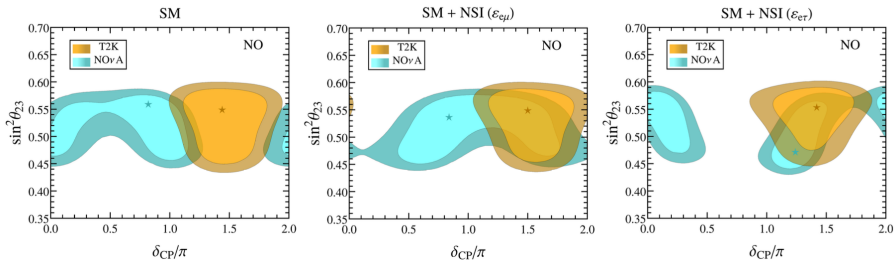
[Chatterjee, Palazzo [2008.04161](#) (PRL); see also Denton, Gehrlein, Pestes [2008.01110](#) (PRL)]

Hint of NSI?



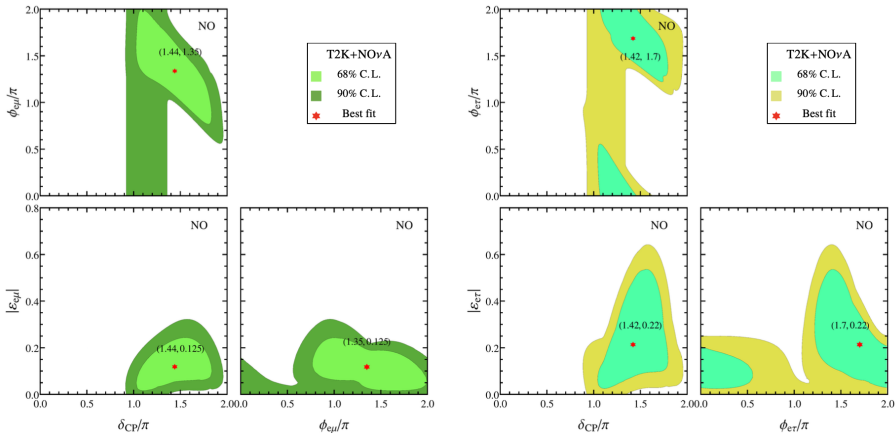
[Chatterjee, Palazzo 2008.04161 (PRL); see also Denton, Gehrlein, Pestes 2008.01110 (PRL)]

T2K-NO νA anomaly persists in 2024 data!



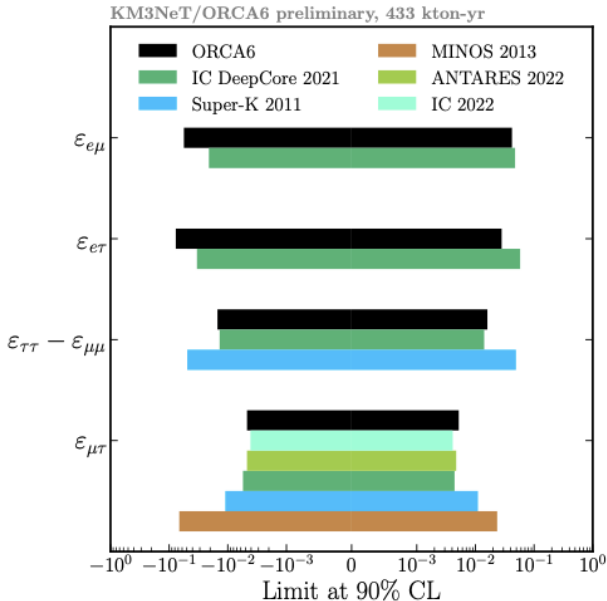
[Chatterjee, Palazzo 2409.10599]

But not conclusive yet!



[Chatterjee, Palazzo [2409.10599](https://arxiv.org/abs/2409.10599)]

Strong constraints from IceCube and KM3NeT



[KM3NeT, ICRC 2023; IceCube 2201.03566 (PRL)]

- **Lecture 1:** NSI Basics
- **Lecture 2:** NSI Model Building and Phenomenology
 - Main challenge
 - EFT approach
 - UV-completion
 - Heavy mediators
 - Light mediators
 - Loop-induced NSI
- **Lecture 3:** Beyond ε – Scalar NSI, NSSI, Neutrino-DM interactions, ...

NSI Model Building

- In the standard parametrization, NSI is a non-renormalizable, dimension-6 operator:

$$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F\varepsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha\gamma^\mu P_L\nu_\beta)(\bar{f}\gamma_\mu P_X f)$$

$$\varepsilon_{\alpha\beta} \sim \frac{g_\Lambda^2 m_W^2}{\Lambda^2}$$

- Two regimes to get ‘observable’ NSI, i.e. $\varepsilon_{\alpha\beta} \sim \mathcal{O}(1)$:
 - **Heavy mediator:** $g_\Lambda \sim \mathcal{O}(1)$ and $\Lambda \gtrsim m_W$.
 - **Light mediator:** $g_\Lambda \ll 1$ and $\Lambda \ll m_W$ such that $g_\Lambda^2/\Lambda^2 \sim \mathcal{O}(G_F)$.

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 - **Light mediator:** $g_\Lambda \ll 1$ and $\Lambda \ll m_W$ such that $g_\Lambda^2/\Lambda^2 \sim \mathcal{O}(G_F)$.
- EFT approach is valid in *both* cases as long as $q^2 \ll \Lambda^2$.
- Always true for matter NSI, since $q^2 \rightarrow 0$ for coherent forward scattering.

Main Challenge

- The NSI operator by itself is not $SU(2)_L$ gauge-invariant.
- Restoring gauge invariance in a renormalizable, UV-complete model will in general impose stringent constraints on NSI.

[Gavela, Hernandez, Ota, Winter [0809.3451](#); Biggio, Blenow, Fernandez-Martinez [0907.0097](#)]

Main Challenge

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[Gavela, Hernandez, Ota, Winter 0809.3451; Biggio, Blenow, Fernandez-Martinez 0907.0097]

- Consider the leptonic NSI operator of the form

$$\frac{1}{\Lambda^2} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{\ell}_\gamma \gamma_\mu P_L \ell_\delta).$$

- In a UV-complete theory, it must be part of the more general form

$$\frac{1}{\Lambda^2} (\bar{L}_\alpha \gamma^\mu L_\beta) (\bar{L}_\gamma \gamma_\mu L_\delta).$$

- Severely constrained by rare LFV processes like $\mu \rightarrow 3e$, viz.
 $\text{BR}(\mu \rightarrow 3e) < 10^{-12}$ implies $\varepsilon_{e\mu}^e \lesssim 10^{-6}$.
- Similar constraints for quark NSI from atomic parity violation, CKM unitarity, etc. [Antusch, Baumann, Fernandez-Martinez 0807.1003]

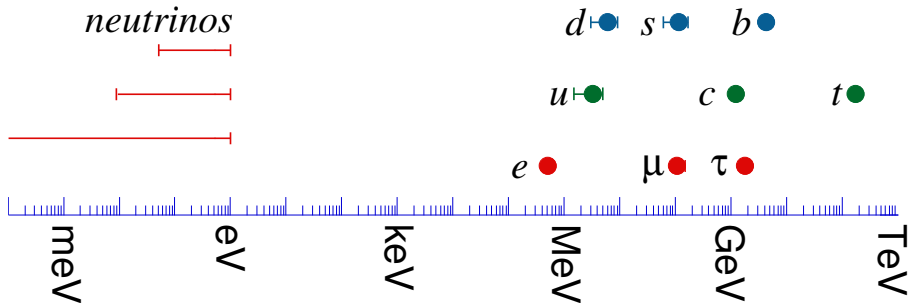
Why do we care?

- **Are there realistic UV-complete models having observable NSI?**
- Important in order to understand which sort of new physics the neutrino experimental program is actually probing when model-independent NSI constraints are presented.
- Complementarity of neutrino oscillation and scattering experiments with traditional low- and high-energy experiments searching for new physics.

Why do we care?

- **Are there realistic UV-complete models having observable NSI?**
- Important in order to understand which sort of new physics the neutrino experimental program is actually probing when model-independent NSI constraints are presented.
- Complementarity of neutrino oscillation and scattering experiments with traditional low- and high-energy experiments searching for new physics.
- After all, neutrinos are the first (and so far only) ones to give concrete laboratory evidence of new physics.
- **Can we use NSI to probe neutrino mass physics?**
- *Oscillation physics is insensitive to absolute neutrino mass, but not necessarily to the origin of neutrino mass.*

Neutrino Mass Mechanism



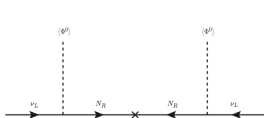
- Simplest solution: Add right-handed neutrinos N .
- Gives Dirac Yukawa term $Y_D \bar{L} H N + \text{H.c.}$
- After EWSB, gives Dirac neutrino mass $M_D = Y_D v$.
- But $m_\nu \lesssim 0.1 \text{ eV}$ implies $Y_D \lesssim 10^{-12}$. **Possible but ugly!**
- **Perhaps something (beautiful) beyond the SM Higgs mechanism?**

Seesaw Mechanism

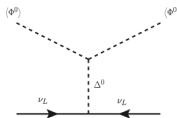
- One possibility is to break the accidental $B - L$ symmetry of the SM by higher-dimensional operators.
- Lowest-order is dimension-5 Weinberg operator: [Weinberg, PRL '79]

$$\mathcal{O}_1 = \frac{1}{\Lambda} L^i L^j H^k H^l \epsilon_{ik} \epsilon_{jl}.$$

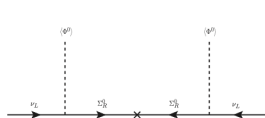
- Only 3 tree-level realizations: [Ma, hep-ph/9805219 (PRL)]



Type-I seesaw



Type-II seesaw



Type-III seesaw

Minkowski (PLB '77); Mohapatra, Senjanovic (PRL '80); Yanagida '79; Gell-Mann, Ramond, Slansky '79

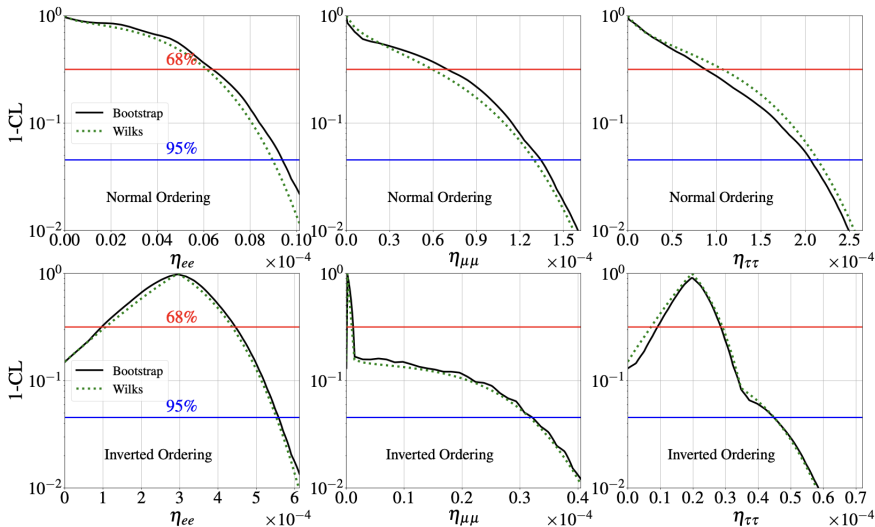
Schechter, Valle (PRD '80); Lazarides, Shafi, Wetterich '89) (NPB '81); Mohapatra, Senjanovic (PRD '81)

Foot, Lew, He, Joshi (ZPC '89)

NSI in Type-I Seesaw

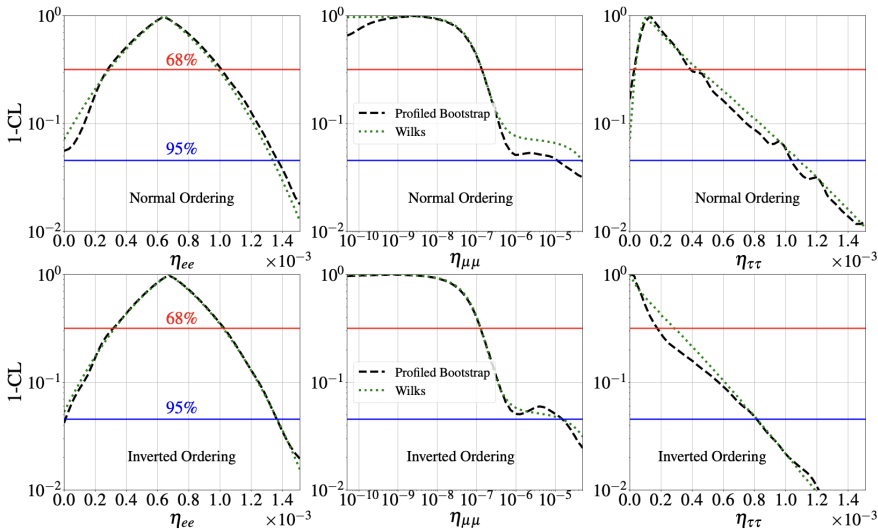
- Related to **non-unitarity** in the neutrino mixing matrix. [Blennow, Coloma, Fernandez-Martinez, Hernandez-Garcia, Lopez-Pavon [1609.08637](#)]
- The seesaw mass matrix $\mathcal{M}_\nu = \begin{pmatrix} 0 & M_D \\ M_D^T & M_N \end{pmatrix}$ is diagonalized by a $(3+n) \times (3+n)$ unitarity matrix $\mathcal{U} = \begin{pmatrix} U & R \\ S & V \end{pmatrix}$.
- $U \simeq (1 - \eta)U_{\text{PMNS}}$ with $\eta = RR^\dagger/2$ and $R \simeq M_D M_N^{-1}$.
- $\varepsilon_{\alpha\beta}$ are identical to $\eta_{\alpha\beta}$ (up to a factor of 2 for the off-diagonals).
- Can also be derived from ‘first principles’ using the canonical diagonalization of the kinetic term. [Broncano, Gavela, Jenkins [hep-ph/0210271](#)]
- **Stringent constraints on non-unitarity from EWPD, LFV, CKM unitarity, etc.** [Antusch, Biggio, Fernandez-Martinez, Gavela, Lopez-Pavon, [hep-ph/0607020](#); Antusch, Fischer [1407.6607](#)]; Blennow, Fernández-Martínez, Hernández-García, López-Pavón, Marcano, Naredo-Tuero, [2306.01040](#)]

Global Analysis of NSI with 2 RHNs



[Blennow, Fernández-Martínez, Hernández-García, López-Pavón, Marciano, Naredo-Tuero, [2306.01040](#)]

Global Analysis of NSI with 3 RHNs



[Blennow, Fernández-Martínez, Hernández-García, López-Pavón, Marciano, Naredo-Tuero, [2306.01040](#)]

Mild preference for non-zero NSI at 10^{-4} level (in ee and $\tau\tau$) for NO.

NSI in Type-II Seesaw

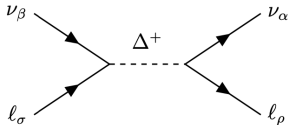
- SM+ a scalar triplet $\Delta = \begin{pmatrix} \frac{\Delta^+}{\sqrt{2}} & \Delta^{++} \\ \Delta^0 & -\frac{\Delta^+}{\sqrt{2}} \end{pmatrix}$ [Schechter, Valle '80]

$$\mathcal{L}_Y = Y_{\alpha\beta} \left[\Delta^0 \overline{\nu_{\alpha R}^C} \nu_{\beta L} - \frac{1}{\sqrt{2}} \Delta^+ \left(\overline{\ell_{\alpha R}^C} \nu_{\beta L} + \overline{\nu_{\alpha R}^C} \ell_{\beta L} \right) - \Delta^{++} \overline{\ell_{\alpha R}^C} \ell_{\beta L} \right] + \text{h.c.}$$

- Integrating out the triplet scalars (with mass M_Δ),

$$\mathcal{L}_\nu^m = \frac{Y_{\alpha\beta} \lambda_\phi v^2}{M_\Delta^2} \left(\overline{\nu_{\alpha R}^C} \nu_{\beta L} \right) = -\frac{1}{2} (m_\nu)_{\alpha\beta} \overline{\nu_{\alpha R}^C} \nu_{\beta L},$$

$$\mathcal{L}_{\text{NSI}} = \frac{Y_{\sigma\beta} Y_{\alpha\rho}^\dagger}{M_\Delta^2} \left(\overline{\nu_{\alpha L}} \gamma_\mu \nu_{\beta L} \right) \left(\overline{\ell_{\rho L}} \gamma^\mu \ell_{\sigma L} \right),$$



- Leads to the NSI parameters

$$\varepsilon_{\alpha\beta}^{\rho\sigma} = -\frac{M_\Delta^2}{8\sqrt{2} G_F v^4 \lambda_\phi^2} (m_\nu)_{\sigma\beta} (m_\nu^\dagger)_{\alpha\rho},$$

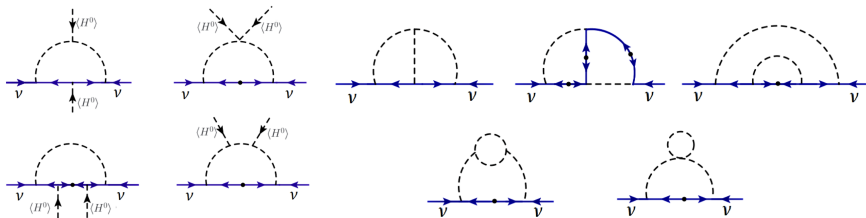
Strongly constrained by cLFV, neutrino mass and lab constraints on Δ^+ .

Decay	Constraint on	Bound
$\mu^- \rightarrow e^- e^+ e^-$	$ \varepsilon_{ee}^{e\mu} $	3.5×10^{-7}
$\tau^- \rightarrow e^- e^+ e^-$	$ \varepsilon_{ee}^{e\tau} $	1.4×10^{-4}
$\tau^- \rightarrow \mu^- \mu^+ \mu^-$	$ \varepsilon_{\mu\mu}^{\mu\tau} $	1.2×10^{-4}
$\tau^- \rightarrow e^- \mu^+ e^-$	$ \varepsilon_{e\mu}^{e\tau} $	1.0×10^{-4}
$\tau^- \rightarrow \mu^- e^+ \mu^-$	$ \varepsilon_{\mu e}^{\mu\tau} $	1.0×10^{-4}
$\tau^- \rightarrow e^- \mu^+ \mu^-$	$ \varepsilon_{\mu\mu}^{e\tau} $	1.0×10^{-4}
$\tau^- \rightarrow e^- e^+ \mu^-$	$ \varepsilon_{\mu e}^{e\tau} $	9.9×10^{-5}
$\mu^- \rightarrow e^- \gamma$	$ \sum_{\alpha} \varepsilon_{\alpha\alpha}^{e\mu} $	2.6×10^{-5}
$\tau^- \rightarrow e^- \gamma$	$ \sum_{\alpha} \varepsilon_{\alpha\alpha}^{e\tau} $	1.8×10^{-2}
$\tau^- \rightarrow \mu^- \gamma$	$ \sum_{\alpha} \varepsilon_{\alpha\alpha}^{\mu\tau} $	2.0×10^{-4}
$\mu^+ e^- \rightarrow \mu^- e^+$	$ \varepsilon_{\mu e}^{\mu e} $	3.0×10^{-3}

[Huitu, Karkkainen, Maalampi, Vihonen [1711.02971](#)]

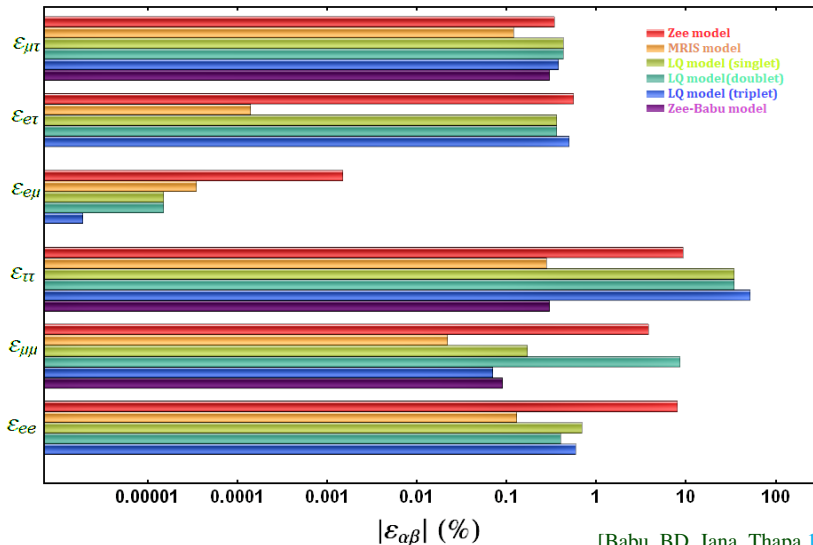
Radiative Neutrino Mass Models

- Attractive alternative to tree-level seesaw.
- In-built loop (and chiral) suppression factors naturally allows for lower new physics scale.



[Zee (PLB '80; NPB '86); Babu (PLB '88); Cai, Herrero-Garcia, Schmidt, Vicente, Volkas [1706.08524](#)]

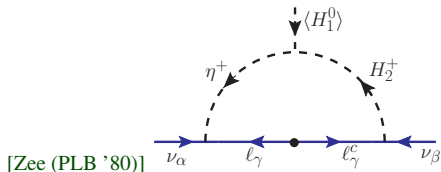
NSI in Radiative Neutrino Models



[Babu, BD, Jana, Thapa 1907.09498]

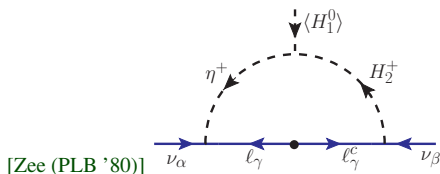
Essentially covers all NSI possibilities with heavy mediators.

An Example: Zee Model

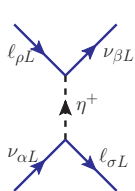


$$M_\nu = \kappa (f M_\ell Y + Y^T M_\ell f^T)$$

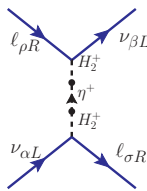
An Example: Zee Model



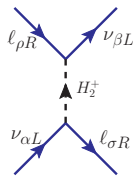
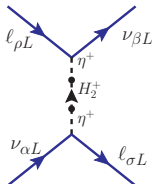
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(small)



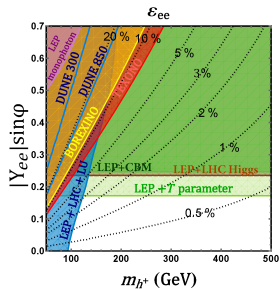
(small)



$$\varepsilon_{\alpha\beta} \equiv \varepsilon_{\alpha\beta}^{(h^+)} + \varepsilon_{\alpha\beta}^{(H^+)} = \frac{1}{4\sqrt{2}G_F} Y_{\alpha e} Y_{\beta e}^* \left(\frac{\sin^2 \varphi}{m_{h^+}^2} + \frac{\cos^2 \varphi}{m_{H^+}^2} \right)$$

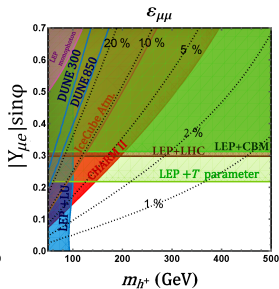
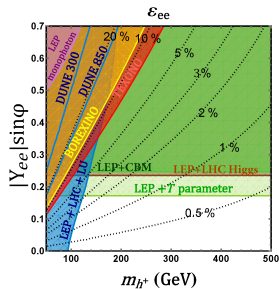
NSI Predictions in the Zee Model

[Babu, BD, Jana, Thapa 1907.09498]



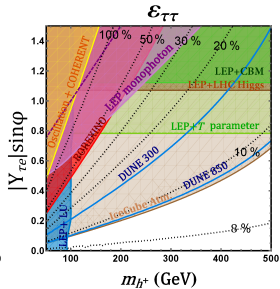
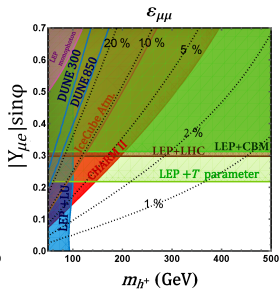
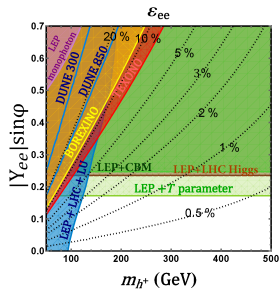
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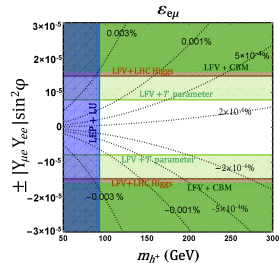
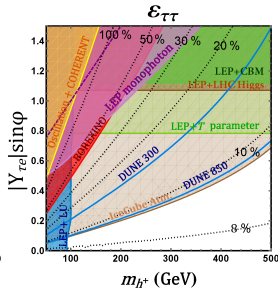
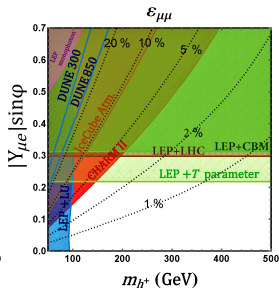
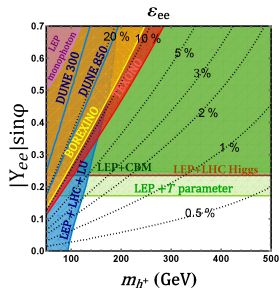
NSI Predictions in the Zee Model

[Babu, BD, Jana, Thapa 1907.09498]



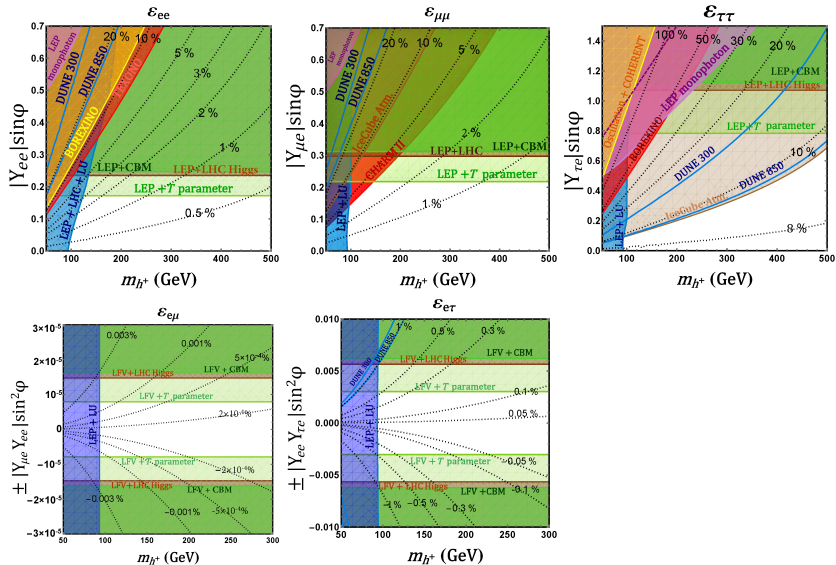
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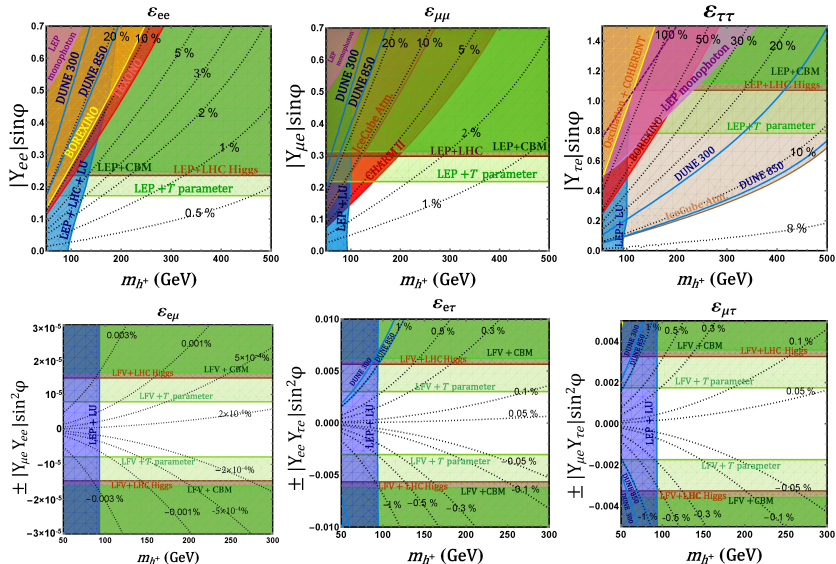
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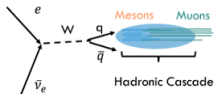


NSI Predictions in the Zee Model

[Babu, BD, Jana, Thapa 1907.09498]



A New Resonance for High Energy Neutrinos



[Glashow (PR '60)]

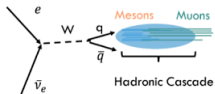
Glashow resonance

$$E_\nu = \frac{m_W^2}{2m_e} = 6.3 \text{ PeV}$$

Observed by IceCube

[Nature **591**, 220 (2021)]

A New Resonance for High Energy Neutrinos



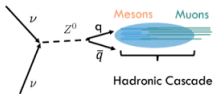
[Glashow (PR '60)]

Glashow resonance

$$E_\nu = \frac{m_W^2}{2m_e} = 6.3 \text{ PeV}$$

Observed by IceCube

[Nature **591**, 220 (2021)]



[Weiler (PRL '82)]

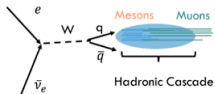
Z-burst

$$E_\nu = \frac{m_Z^2}{2m_\nu} > 10^{14} \text{ GeV}$$

Beyond GZK cutoff

Unlikely to be seen

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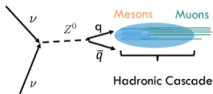
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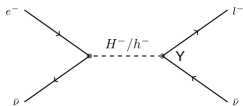
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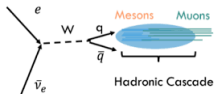
[Babu, BD, Jana, Sui (PRL '20)]

Zee-burst

$$E_\nu = \frac{m_{h^-/H^-}^2}{2m_e} \gtrsim 10 \text{ PeV}$$

Observable (soon!)

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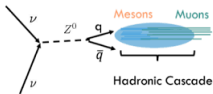
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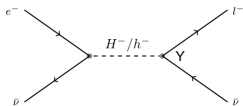
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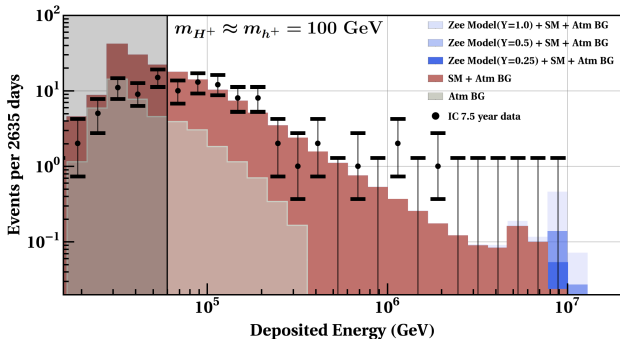


[Babu, BD, Jana, Sui (PRL '20)]

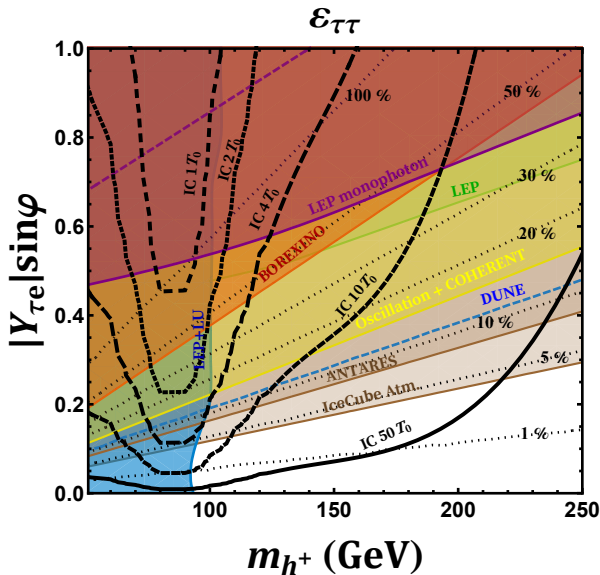
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A New Probe of NSI using UHE Neutrinos



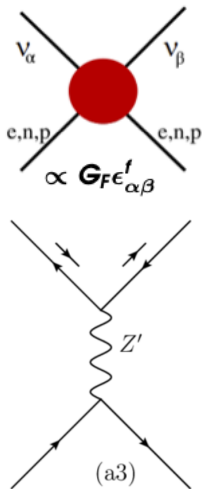
[Babu, BD, Jana, Sui [1908.02779](#); Babu, BD, Jana [2202.06975](#)]

NSI with Light Mediators

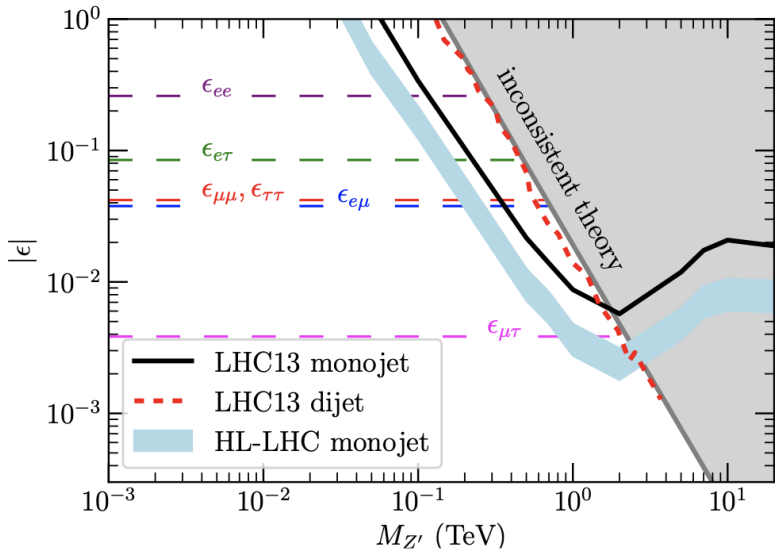
- Still lacking a comprehensive UV-complete exploration.
- Possible to avoid cLFV constraints with flavored light mediators.
- An explicit example with $(B - L)_3$ flavored light Z' . [Babu, Friedland, Machado, Mocioiu 1705.01822]
- Large diagonal $\varepsilon_{\tau\tau}$ up to $\sim 50\%$.
- **How about large off-diagonal NSI?**
- In general, for light Z' ,

$$\varepsilon_{\alpha\beta}^f = \frac{g_f (g_\nu)_{\alpha\beta}}{2\sqrt{2}G_F m_{Z'}^2}$$

- An explicit example violating the Schwartz inequality, i.e. $|\varepsilon_{\alpha\beta}^f| > |\varepsilon_{\alpha\alpha}^f \varepsilon_{\beta\beta}^f|^{1/2}$, with $U(1)' \times Z_2$. [Farzan 1912.09408]

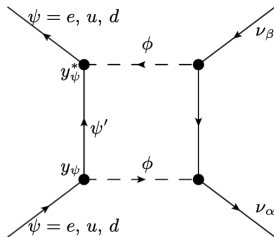
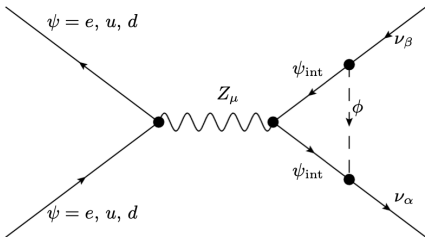


LHC Probe of NSI



[Babu, Gonçalves, Jana, Machado 2003.03383]

Loop-induced NSI



$$\mathcal{L}_{\text{NSI}} = \left(\epsilon_{\alpha\beta}^{\triangleright} + \epsilon_{\alpha\beta}^{\square} \right) \frac{G_F}{\sqrt{2}} \bar{\psi} \gamma^{\mu} \psi \bar{\nu}_{\alpha} \gamma_{\mu} P_L \nu_{\beta}, \quad (\psi = e_L, e_R, u_L, u_R, \dots),$$

$$\epsilon_{\alpha\beta}^{\triangleright} = -\frac{8g_{\alpha\beta}^{(1)}}{g} Q_Z^{(\psi)} c_W, \quad \epsilon_{\alpha\beta}^{\square} = \frac{1}{16\pi^2} \frac{\sqrt{2} y_{\alpha}^* y_{\beta} |y_{\psi}|^2}{4m_{\phi}^2 G_F}.$$

	ϵ_e^F	$\epsilon_e^{\triangleright}$	$\epsilon_n^{\triangleright}$	$\epsilon_p^{\triangleright}$	ϵ_e^{\square}	ϵ_n^{\square}	ϵ_p^{\square}
model A	$\mathcal{O}(10^{-3})$	$\mathcal{O}(10^{-5})$	$\mathcal{O}(10^{-4})$	$\mathcal{O}(10^{-5})$	$\mathcal{O}(10^{-3})$	0	0
model B	0	$\mathcal{O}(10^{-1})$	$\mathcal{O}(1)$	$\mathcal{O}(10^{-1})$	0	0	0
model C	0	0	0	0	$\mathcal{O}(10^{-2})$	$\mathcal{O}(10^{-2})$	$\mathcal{O}(10^{-2})$

[Bischer, Rodejohann, Xu 1807.08102]

- Lecture 1: NSI Basics
- Lecture 2: NSI Model Building and Phenomenology
- **Lecture 3: Beyond ε**
 - Scalar NSI
 - Neutrino Self Interactions
 - Neutrino-DM interactions