

# Neutrino Non-Standard Interactions (NSI)

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- **Lecture 1: NSI Basics**

- Why care about NSI?
- Review of SI and matter effect
- Wolfenstein parametrization of (vector) NSI
- NSI in propagation (NC), production and detection (CC)
- Current status and future prospects of NSI constraints
- Possible hint of NSI in oscillation data?

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- **Lecture 2: NSI Model Building and Phenomenology**
- **Lecture 3: Beyond  $\varepsilon$  – Scalar NSI, NSSI, Neutrino-DM interactions, ...**

# References

- $\mathcal{O}(500)$  papers on NSI.
- Apologies if your favorite paper(s) not cited here.
- Reviews:
  - T. Ohlsson, Rept. Prog. Phys. **76**, 044201 (2013) [arXiv:[1209.2710](#)].
  - O. G. Miranda and H. Nunokawa, New J. Phys. **17**, no.9, 095002 (2015) [arXiv:[1505.06254](#)].
  - Y. Farzan and M. Tortola, Front. in Phys. **6**, 10 (2018) [arXiv:[1710.09360](#)].
  - P. S. B. Dev *et al.*, SciPost Phys. Proc. **2**, 001 (2019) [arXiv:[1907.00991](#)].
  - S. K. Agarwalla *et al.*, [Snowmass LOI](#) (2022).

# Why Non-Standard Interactions?

**Neutrino Oscillations  $\implies$  Nonzero Neutrino Mass  $\implies$  BSM Physics**

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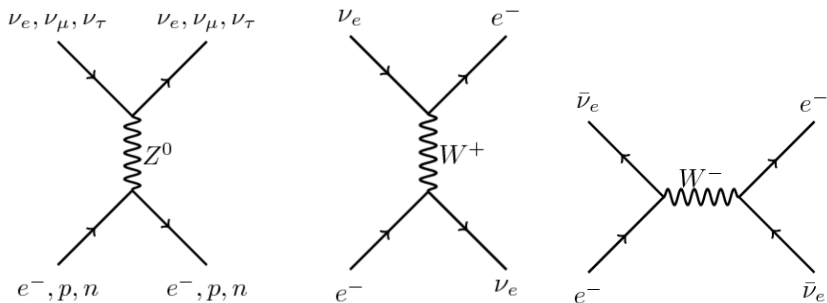
- Must introduce new fermions, scalars and/or gauge bosons – **messengers of neutrino mass physics.**
- New couplings involving neutrinos – **inevitably lead to NSI.**
- Potentially observable effects in neutrino production, propagation, and/or detection.
- Relevant for all kinds of neutrinos (accelerator, reactor, atmospheric, solar, supernova, astrophysical, cosmic).

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- Relevant for all kinds of neutrinos (accelerator, reactor, atmospheric, solar, supernova, astrophysical, cosmic).
- **Complementary to direct search for new physics at the LHC.**
- At the very least, could serve as a foil for the standard 3-neutrino oscillation scheme.
- **Better understanding of NSI is crucial for correct interpretation of oscillation data.**
- **Potential hints of NSI in recent T2K/NO $\nu$ A data.**

# Standard Neutrino Interactions with Matter



$$\mathcal{H}_Z = \frac{G_F}{\sqrt{2}} J_Z^\mu J_{Z\mu}^\dagger, \text{ where } J_Z^\mu = \sum_{i=\ell, \nu_\ell, u, d} \bar{\psi}_i \gamma^\mu [I_i^3 (1 - \gamma_5) - 2Q_i \sin^2 \theta_W] \psi_i,$$

$$\mathcal{H}_W = \frac{G_F}{\sqrt{2}} J_W^\mu J_{W\mu}^\dagger, \text{ where } J_W^\mu = \bar{e} \gamma^\mu (1 - \gamma_5) \nu_e.$$



# Effective Matter Potential

Type of reaction	Matter potential
$V_Z^n$	$\mp G_F N_n / \sqrt{2}$
$V_Z^p$	$\pm G_F (1 - 4 \sin^2 \theta_W) N_p / \sqrt{2}$
$V_Z^e$	$\mp G_F (1 - 4 \sin^2 \theta_W) N_e / \sqrt{2}$
$V_W^e$	$\pm \sqrt{2} G_F N_e$

[For a derivation, see e.g., J. Linder, [hep-ph/0504264](https://arxiv.org/abs/hep-ph/0504264)]

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- Upper (Lower) sign is for neutrino (antineutrino).
- In an electrically neutral medium ( $N_e = N_p$ ),  $V_Z^e + V_Z^p = 0$ .
- $V_Z^n$  is diagonal in neutrino flavor, and gives an overall phase shift, which is of no physical significance in oscillations.
- Effective neutrino matter potential induced by Earth:

$$V_{CC} = V_W^e = \sqrt{2} G_F N_e \simeq 3.8 \times 10^{-14} \text{ eV} \left( \frac{\rho}{\text{gm/cm}^3} \right) \left( \frac{Y_e}{0.5} \right).$$

# Oscillation Probability

- Time evolution governed by Schrödinger equation:

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left[ \frac{MM^\dagger}{2E} + V(t) \right] \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix},$$

where  $E$  is the neutrino energy,  $M = U \text{diag}(m_1, m_2, m_3)U^T$  is the neutrino mass matrix and  $V = \text{diag}(V_{\text{CC}}, 0, 0)$ .

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- Probability of oscillation over a length  $L$  (in the 2-flavor limit):

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta | e^{-iHL} | \nu_\alpha \rangle \right|^2 \simeq \sin^2 2\theta_M \sin^2 \left( \frac{\Delta m_M^2 L}{4E} \right),$$

$$\text{where } \tan 2\theta_M = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - A},$$

$$\Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2},$$

$$A = 2EV_{\text{CC}}.$$

## Neutral Current NSI

$$\mathcal{L}_{\text{NSI}}^{\text{NC}} = -2\sqrt{2}G_F \sum_{f,X,\alpha,\beta} \varepsilon_{\alpha\beta}^{fX} (\bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta) (\bar{f} \gamma_\mu P_X f),$$

with  $X = L, R$ , and  $f \in \{e, u, d\}$ . [L. Wolfenstein, [PRD '78](#)]

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- Only vector part is relevant (axial-vector part is spin-dependent):

$$\begin{aligned} \varepsilon_{\alpha\beta} &= \sum_{f \in \{e, u, d\}} \frac{N_f}{N_e} \varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{eV} + \frac{N_p}{N_e} (2\varepsilon_{\alpha\beta}^{uV} + \varepsilon_{\alpha\beta}^{dV}) + \frac{N_n}{N_e} (\varepsilon_{\alpha\beta}^{uV} + 2\varepsilon_{\alpha\beta}^{dV}) \\ &= \varepsilon_{\alpha\beta}^{eV} + (2 + Y_n) \varepsilon_{\alpha\beta}^{uV} + (1 + 2Y_n) \varepsilon_{\alpha\beta}^{dV} \end{aligned}$$

with  $\varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR}$  and  $Y_n = N_n/N_e \simeq 1$  for Earth.

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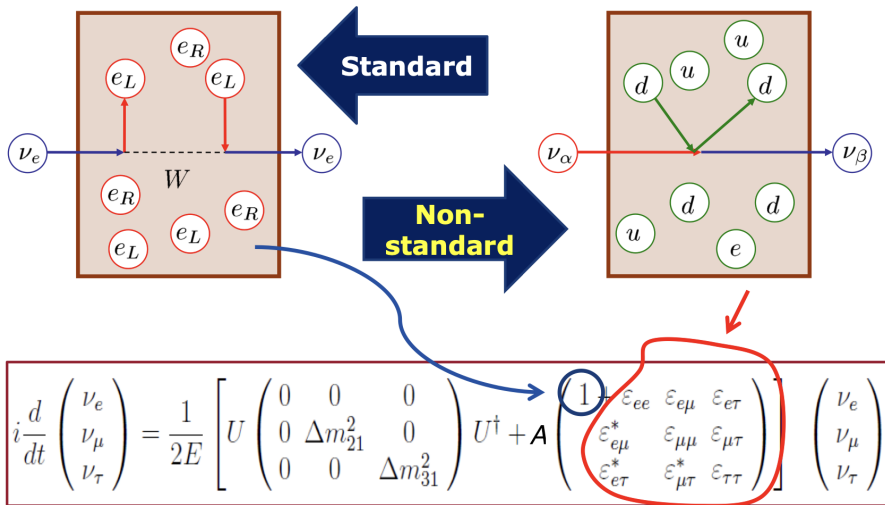
with  $\varepsilon_{\alpha\beta}^{fV} = \varepsilon_{\alpha\beta}^{fL} + \varepsilon_{\alpha\beta}^{fR}$  and  $Y_n = N_n/N_e \simeq 1$  for Earth.

- Leads to extra matter effect in propagation:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta | e^{-i(H+V_{\text{NSI}})L} | \nu_\alpha \rangle \right|^2,$$

$$\text{where } V_{\text{NSI}} = \sqrt{2}G_F N_e \begin{pmatrix} \varepsilon_{ee} & \varepsilon_{e\mu} & \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* & \varepsilon_{\mu\mu} & \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* & \varepsilon_{\mu\tau}^* & \varepsilon_{\tau\tau} \end{pmatrix}$$

# What does NSI do?



[figure adapted from T. Ohlsson]



## Induces non-standard oscillations during propagation

$$i \frac{d}{dL} \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix} = \left[ \frac{1}{2E} U \begin{pmatrix} 0 & 0 \\ 0 & \Delta m^2 \end{pmatrix} U^\dagger + A \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\tau} \\ \epsilon_{e\tau} & \epsilon_{\tau\tau} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\tau \end{pmatrix}$$



$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left( \frac{\Delta m_M^2 L}{4E} \right)$$

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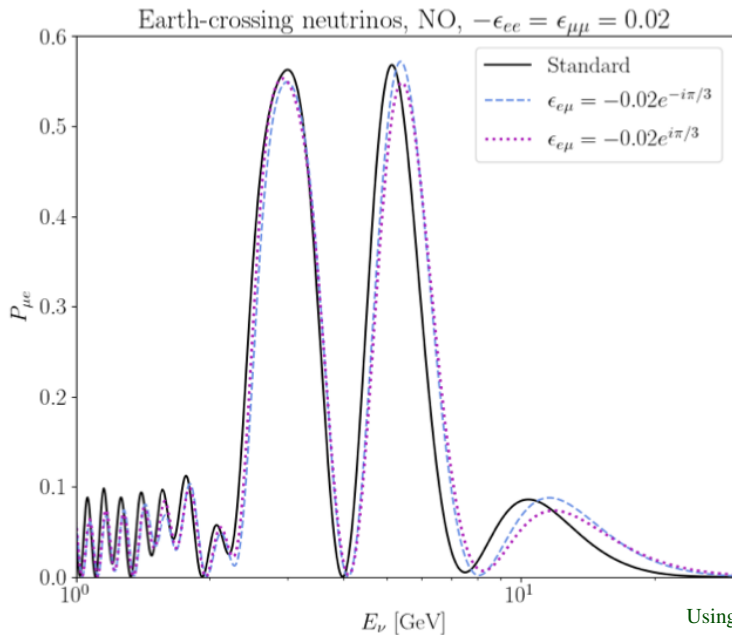


$$P(\nu_e \rightarrow \nu_\tau) = \sin^2 2\theta_M \sin^2 \left( \frac{\Delta m_M^2 L}{4E} \right)$$

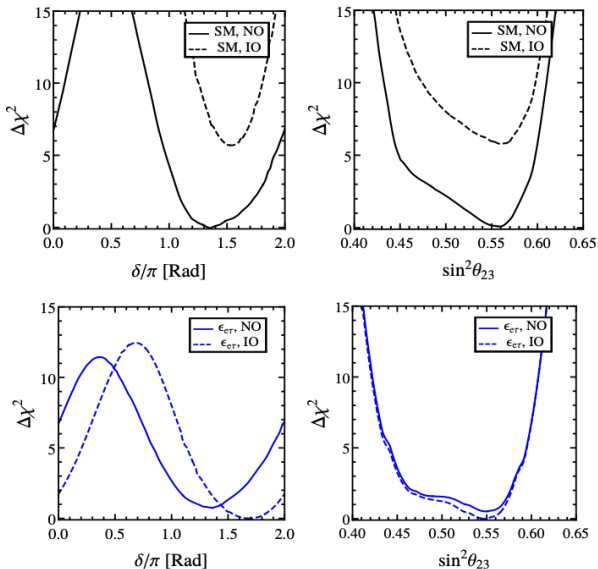
$$\left( \frac{\Delta m_M^2}{2EA} \right)^2 \equiv \left( \frac{\Delta m^2}{2EA} \cos 2\theta - (1 + \epsilon_{ee} - \epsilon_{\tau\tau}) \right)^2 + \left( \frac{\Delta m^2}{2EA} \sin 2\theta + 2\epsilon_{e\tau} \right)^2$$

$$\sin 2\theta_M \equiv \frac{\Delta m^2 \sin 2\theta + 4EA\epsilon_{e\tau}}{\Delta m_M^2}$$

# Modifies standard oscillation probabilities

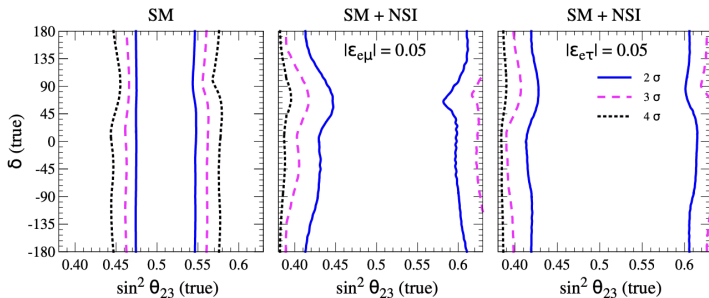


# Can obscure mass-ordering determination

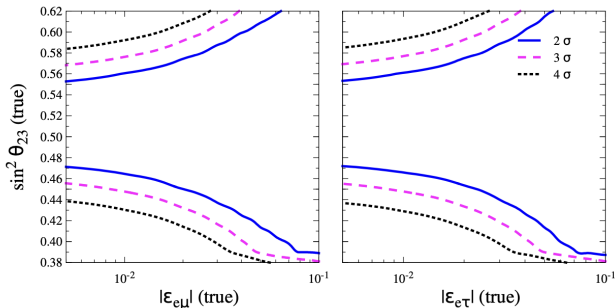
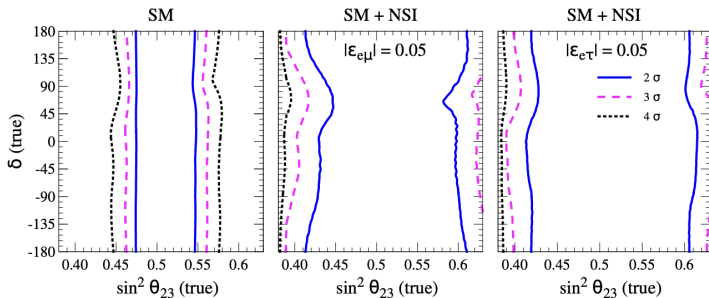


[Capozzi, Chatterjee, Palazzo 1908.06992 (PRL)]

# Can degrade octant and $\delta_{\text{CP}}$ discovery potential



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$$\mathcal{L}_{\text{NSI}}^{\text{CC}} = -2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ff'X} (\bar{\nu}_\alpha \gamma^\mu P_L \ell_\beta) (\bar{f}' \gamma_\mu P_X f)$$

- Flavor mixture states at source and detection.

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \langle \nu_\beta^{\text{d}} | e^{-iHL} | \nu_\alpha^{\text{s}} \rangle \right|^2$$

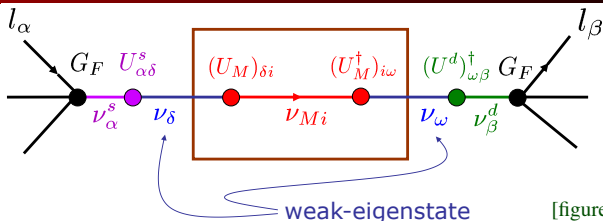
- Source NSI (e.g. in pion decay):

$$|\nu_\alpha^{\text{s}}\rangle = |\nu_\alpha\rangle + \sum_{\beta=e,\mu,\tau} \varepsilon_{\alpha\beta}^{\text{s}} |\nu_\beta\rangle, \quad \text{e.g. } \pi^+ \xrightarrow{\varepsilon_{e\mu}^{\text{s}}} \mu^+ \nu_e$$

- Detection NSI (e.g. in neutrino-nucleon scattering):

$$\langle \nu_\alpha^{\text{d}} | = \langle \nu_\alpha | + \sum_{\beta=e,\mu,\tau} \varepsilon_{\alpha\beta}^{\text{d}} \langle \nu_\beta |, \quad \text{e.g. } \nu_\tau n \xrightarrow{\varepsilon_{e\tau}^{\text{d}}} e^- p$$

# Interesting Near-Detector Physics



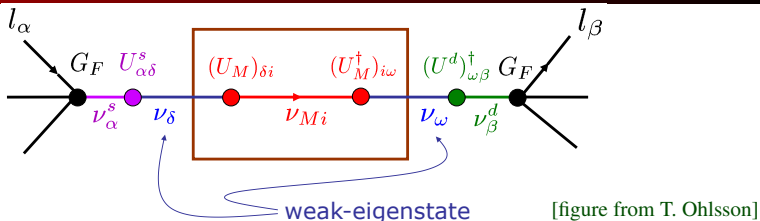
$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i [U^s U_M]_{\alpha i} \exp\left(i \frac{(\Delta m_M^2)_{i1} L}{4E}\right) [U^d U_M]_{i\beta}^\dagger \right|^2$$

**Zero-distance effect**

[Langacker, London (PRD '88)]



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**Zero-distance effect**

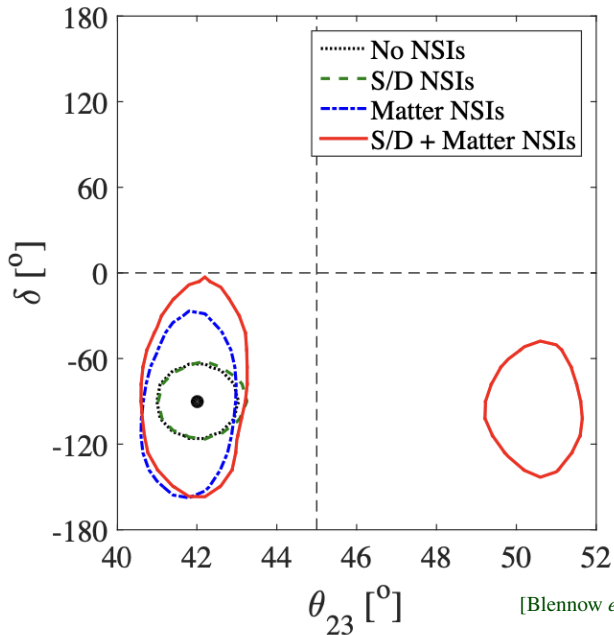
[Langacker, London (PRD '88)]

- In the 2-flavor case,

$$\frac{\Delta m^2 L}{4E} \rightarrow 0 \Rightarrow P(\nu_e \rightarrow \nu_\mu) \rightarrow (\epsilon_{e\mu}^s - \epsilon_{e\mu}^d)^2$$

- Can in principle be probed with a near detector close to the source (e.g., ESS $\nu$ SB), assuming that the neutrino fluxes at source are well-known.

# CC+NC NSI can make things worse!



[Blennow *et al* 1606.08851]

# Current Constraints\* on NC NSI

[Farzan, Tortola 1710.09360]

90% C.L. range	origin
NSI with quarks	
$\epsilon_{ee}^{dL}$	[-0.3, 0.3] CHARM
$\epsilon_{ee}^{dR}$	[-0.6, 0.5] CHARM
$\epsilon_{\mu\mu}^{dV}$	[-0.042, 0.042] atmospheric + accelerator
$\epsilon_{\mu\mu}^{uV}$	[-0.044, 0.044] atmospheric + accelerator
$\epsilon_{\mu\mu}^{dA}$	[-0.072, 0.057] atmospheric + accelerator
$\epsilon_{\mu\mu}^{uA}$	[-0.094, 0.14] atmospheric + accelerator
$\epsilon_{\tau\tau}^{dV}$	[-0.075, 0.33] oscillation data + COHERENT
$\epsilon_{\tau\tau}^{uV}$	[-0.09, 0.38] oscillation data + COHERENT
$\epsilon_{\tau\tau}^{dV}$	[-0.037, 0.037] atmospheric
NSI with electrons	
$\epsilon_{ee}^{eL}$	[-0.021, 0.052] solar + KamLAND
$\epsilon_{ee}^{eR}$	[-0.07, 0.08] TEXONO
$\epsilon_{\mu\mu}^{eL}, \epsilon_{\mu\mu}^{eR}$	[-0.03, 0.03] reactor + accelerator
$\epsilon_{\tau\tau}^{eL}$	[-0.12, 0.06] solar + KamLAND
$\epsilon_{\tau\tau}^{eR}$	[-0.98, 0.23] solar + KamLAND and Borexino [-0.25, 0.43] reactor + accelerator
$\epsilon_{\tau\tau}^{eV}$	[-0.11, 0.11] atmospheric

# Current Constraints\* on NC NSI

[Farzan, Tortola 1710.09360]

90% C.L. range	origin
NSI with quarks	
$\epsilon_{ee}^{dL}$ [-0.3, 0.3]	CHARM
$\epsilon_{ee}^{dR}$ [-0.6, 0.5]	CHARM
$\epsilon_{\mu\mu}^{dV}$ [-0.042, 0.042]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{uV}$ [-0.044, 0.044]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{dA}$ [-0.072, 0.057]	atmospheric + accelerator
$\epsilon_{\mu\mu}^{uA}$ [-0.094, 0.14]	atmospheric + accelerator
$\epsilon_{\tau\tau}^{dV}$ [-0.075, 0.33]	oscillation data + COHERENT
$\epsilon_{\tau\tau}^{uV}$ [-0.09, 0.38]	oscillation data + COHERENT
$\epsilon_{\tau\tau}^{qV}$ [-0.037, 0.037]	atmospheric
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$\epsilon_{\tau\tau}^{eL}$ [-0.12, 0.06]	solar + KamLAND
$\epsilon_{\tau\tau}^{eR}$ [-0.98, 0.23] [-0.25, 0.43]	solar + KamLAND and Borexino reactor + accelerator
$\epsilon_{\tau\tau}^{eV}$ [-0.11, 0.11]	atmospheric

(Flavor-diagonal)

90% C.L. range	origin
NSI with quarks	
$\epsilon_{e\mu}^{qL}$ [-0.023, 0.023]	accelerator
$\epsilon_{e\mu}^{qR}$ [-0.036, 0.036]	accelerator
$\epsilon_{e\mu}^{uV}$ [-0.073, 0.044]	oscillation data + COHERENT
$\epsilon_{e\mu}^{dV}$ [-0.07, 0.04]	oscillation data + COHERENT
$\epsilon_{e\tau}^{qL}, \epsilon_{e\tau}^{qR}$ [-0.5, 0.5]	CHARM
$\epsilon_{e\tau}^{uV}$ [-0.15, 0.13]	oscillation data + COHERENT
$\epsilon_{e\tau}^{dV}$ [-0.13, 0.12]	oscillation data + COHERENT
$\epsilon_{\mu\tau}^{qL}$ [-0.023, 0.023]	accelerator
$\epsilon_{\mu\tau}^{qR}$ [-0.036, 0.036]	accelerator
$\epsilon_{\mu\tau}^{qV}$ [-0.006, 0.0054]	IceCube
$\epsilon_{\mu\tau}^{qA}$ [-0.039, 0.039]	atmospheric + accelerator
NSI with electrons	
$\epsilon_{e\mu}^{eL}, \epsilon_{e\mu}^{eR}$ [-0.13, 0.13]	reactor + accelerator
$\epsilon_{e\tau}^{eL}$ [-0.33, 0.33]	reactor + accelerator
$\epsilon_{e\tau}^{eR}$ [-0.28, -0.05] & [0.05, 0.28] [-0.19, 0.19]	reactor + accelerator TEXONO
$\epsilon_{\mu\tau}^{eL}, \epsilon_{\mu\tau}^{eR}$ [-0.10, 0.10]	reactor + accelerator
$\epsilon_{\mu\tau}^{eV}$ [-0.018, 0.016]	IceCube

(Flavor-changing)

\*Conditions apply (one at a time, some constraints do not apply to light mediators)

# Current Constraints\* on CC NSI

[Farzan, Tortola [1710.09360](#)]

	90% C.L. range	origin
semileptonic NSI		
$\epsilon_{ee}^{udP}$	$[-0.015, 0.015]$	Daya Bay
$\epsilon_{e\mu}^{udL}$	$[-0.026, 0.026]$	NOMAD
$\epsilon_{e\mu}^{udR}$	$[-0.037, 0.037]$	NOMAD
$\epsilon_{\tau e}^{udL}$	$[-0.087, 0.087]$	NOMAD
$\epsilon_{\tau e}^{udR}$	$[-0.12, 0.12]$	NOMAD
$\epsilon_{\tau\mu}^{udL}$	$[-0.013, 0.013]$	NOMAD
$\epsilon_{\tau\mu}^{udR}$	$[-0.018, 0.018]$	NOMAD
purely leptonic NSI		
$\epsilon_{\alpha e}^{\mu eL}, \epsilon_{\alpha e}^{\mu eR}$	$[-0.025, 0.025]$	KARMEN
$\epsilon_{\alpha\beta}^{\mu eL}, \epsilon_{\alpha\beta}^{\mu eR}$	$[-0.030, 0.030]$	kinematic $G_F$

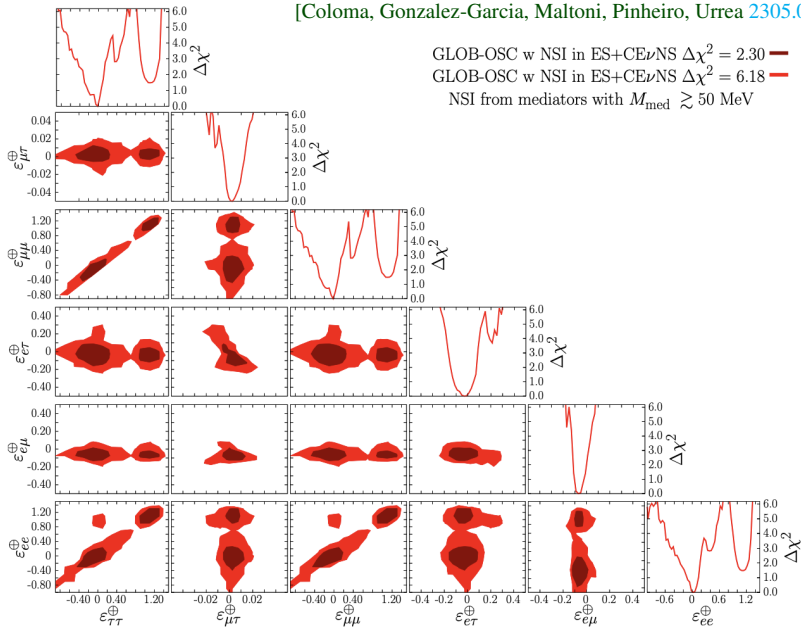
# Current Constraints\* on CC NSI

[Farzan, Tortola [1710.09360](#)]

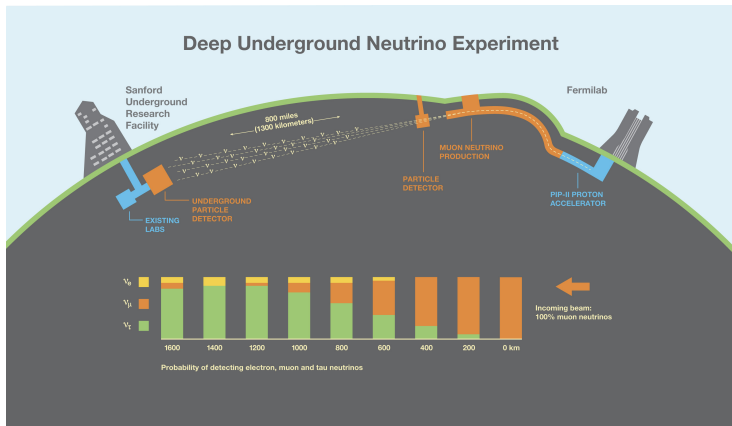
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$\epsilon_{e\mu}^{udR}$	[-0.037, 0.037]	NOMAD
$\epsilon_{\tau e}^{udL}$	[-0.087, 0.087]	NOMAD
$\epsilon_{\tau e}^{udR}$	[-0.12, 0.12]	NOMAD
$\epsilon_{\tau\mu}^{udL}$	[-0.013, 0.013]	NOMAD
$\epsilon_{\tau\mu}^{udR}$	[-0.018, 0.018]	NOMAD
purely leptonic NSI		
$\epsilon_{\alpha e}^{\mu eL}, \epsilon_{\alpha e}^{\mu eR}$	[-0.025, 0.025]	KARMEN
$\epsilon_{\alpha\beta}^{\mu eL}, \epsilon_{\alpha\beta}^{\mu eR}$	[-0.030, 0.030]	kinematic $G_F$

- From model-building perspective, getting ‘large’ CC NSI is more difficult than NC NSI.
- In some models (with purely leptonic NSI), CC and NC NSI are correlated by Fierz transformation.
- We will mostly focus on NC NSI (unless otherwise specified).

[Coloma, Gonzalez-Garcia, Maltoni, Pinheiro, Urrea [2305.07698](#)]



# Future Prospects at DUNE

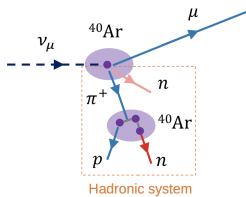


- Long baseline, huge statistics, intense & well-characterized beam.
- Excellent sensitivity to matter NSI.

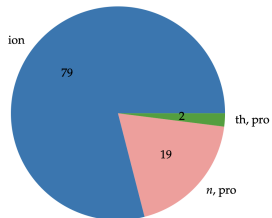
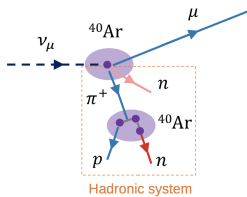
[de Gouvêa, Kelly [1511.05562](#); Coloma [1511.06357](#); Blennow *et al.* [1606.08851](#); Liao, Marfatia, Whisnant [1612.01443](#); Chatterjee *et al* [1809.09313](#); Han *et al* [1910.03272](#)]



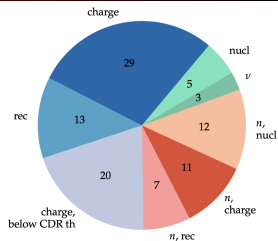
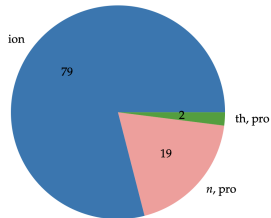
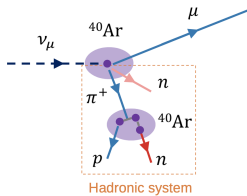
# Improved Energy Resolution



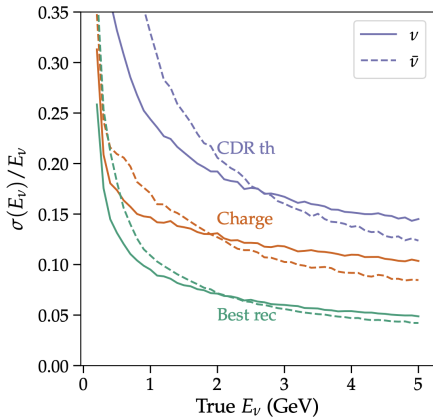
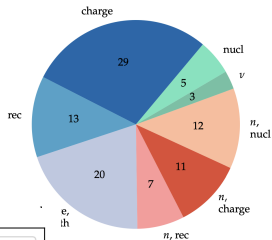
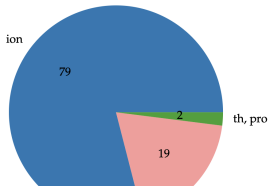
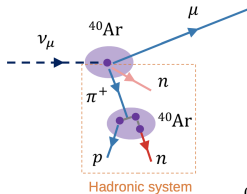
# Improved Energy Resolution



# Improved Energy Resolution

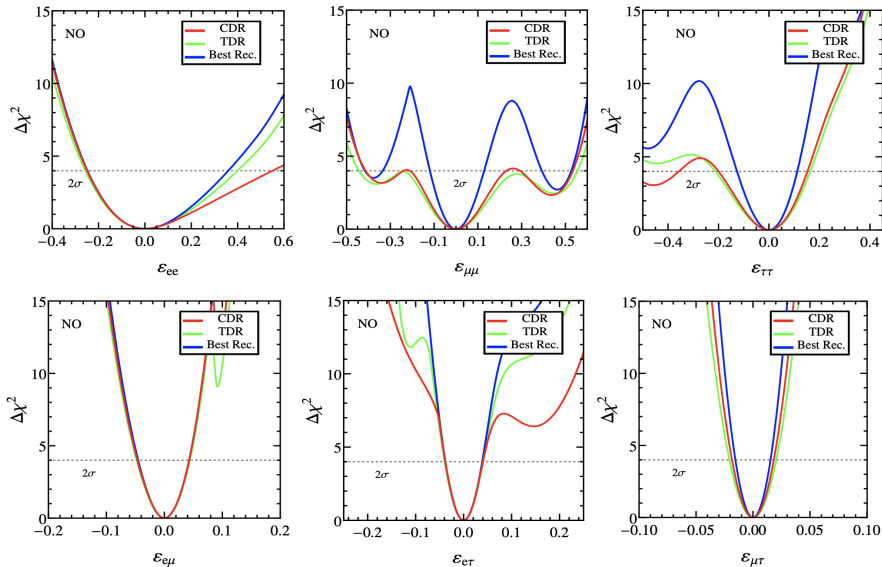


# Improved Energy Resolution



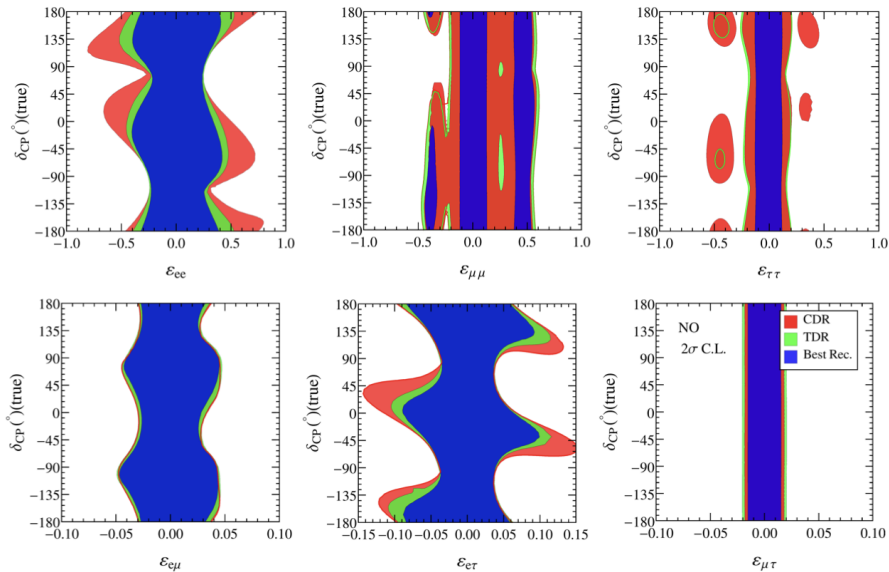
[Friedland, Li 1811.06159]

# Improved DUNE Sensitivity to NSI



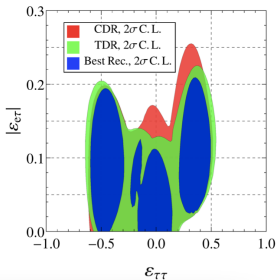
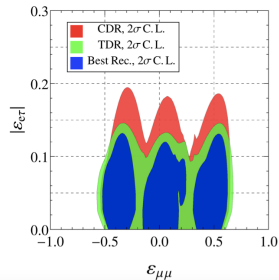
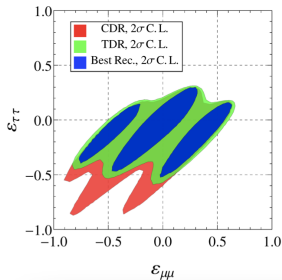
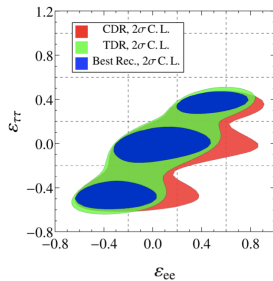
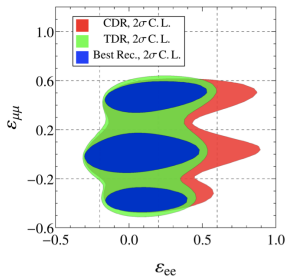
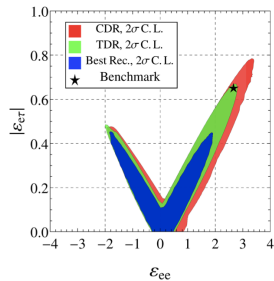
[Chatterjee, BD, Machado 2106.04597]

# Dependence on $\delta_{CP}$



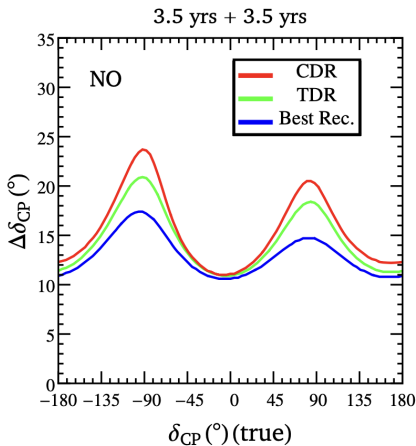
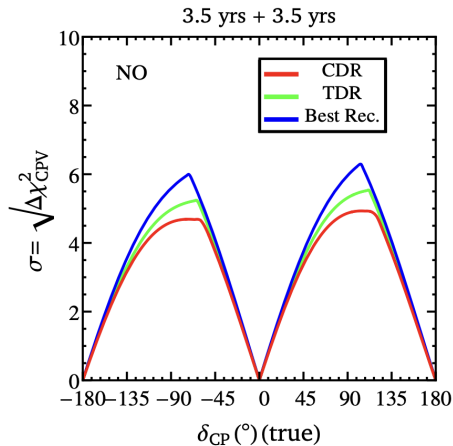
[Chatterjee, BD, Machado 2106.04597]

# Breaking Degeneracies



[Chatterjee, BD, Machado 2106.04597]

# Improved $\delta_{CP}$ Sensitivity

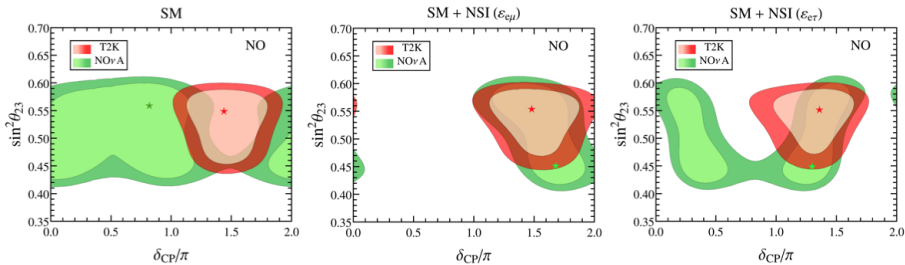


[Chatterjee, BD, Machado [2106.04597](#);

see also De Romeri, Fernandez-Martinez, Sorel, [1607.00293](#)]

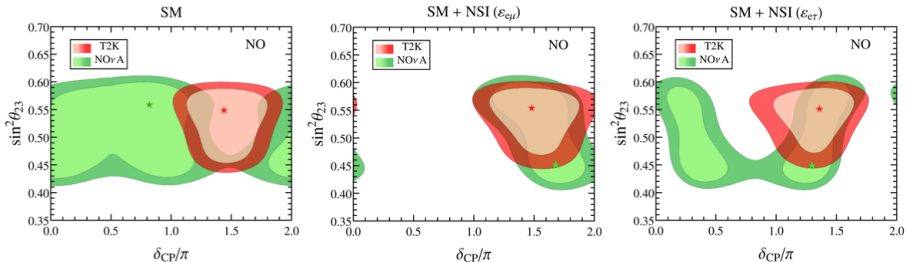


# Hint of NSI?



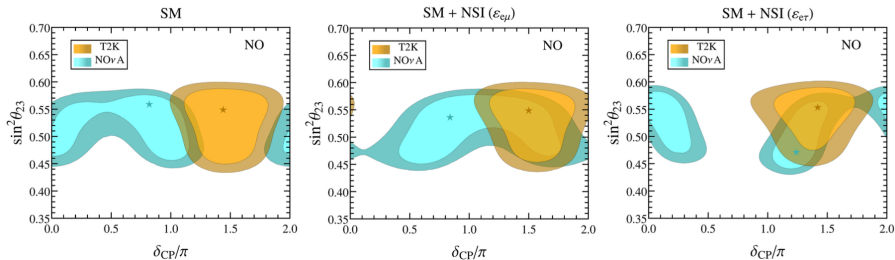
[Chatterjee, Palazzo [2008.04161](#) (PRL); see also Denton, Gehrlein, Pestes [2008.01110](#) (PRL)]

# Hint of NSI?



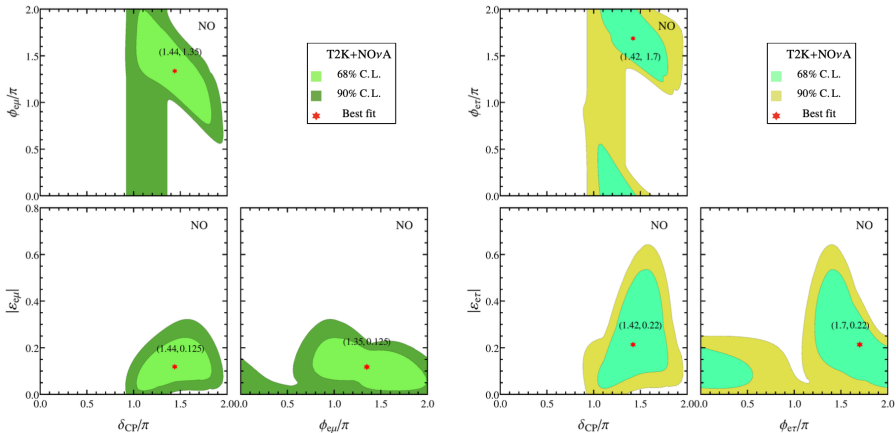
[Chatterjee, Palazzo 2008.04161 (PRL); see also Denton, Gehrlein, Pestes 2008.01110 (PRL)]

**T2K- $\text{NO}\nu A$  anomaly persists in 2024 data!**



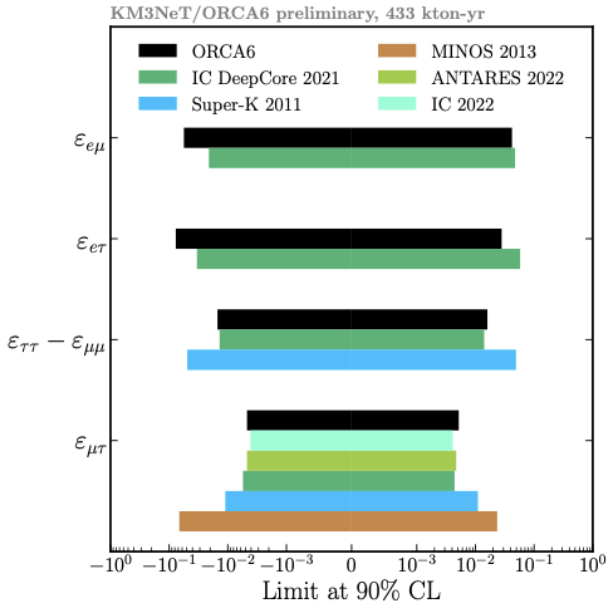
[Chatterjee, Palazzo 2409.10599]

# But not conclusive yet!



[Chatterjee, Palazzo [2409.10599](https://arxiv.org/abs/2409.10599)]

# Strong constraints from IceCube and KM3NeT



[KM3NeT, ICRC 2023; IceCube 2201.03566 (PRL)]

- **Lecture 1:** NSI Basics
- **Lecture 2:** NSI Model Building and Phenomenology
  - Challenges
  - EFT approach
  - UV-completion
  - Heavy mediators
  - Light mediators
  - Loop-induced NSI
- **Lecture 3:** Beyond  $\varepsilon$  – Scalar NSI, NSSI, Neutrino-DM interactions, ...