



H2020 MSCA COFUND
G.A. 754496

Stefano Gariazzo

*INFN, Turin section
Turin (IT)*



Istituto Nazionale di Fisica Nucleare
SEZIONE DI TORINO

`gariazzo@to.infn.it`

`http://personalpages.to.infn.it/~gariazzo/`

Introduction on neutrinos and cosmology

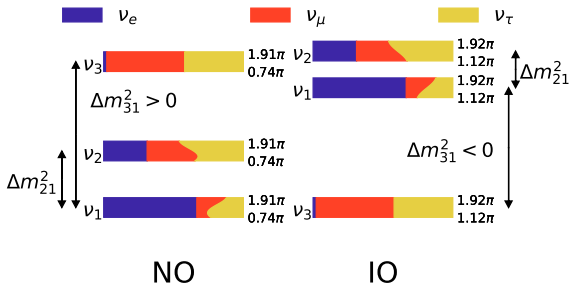
*Some basic concepts on neutrinos
and on the history of the Universe*

EuCAPT Astroneutrino Theory Workshop 2021, Prague (CZ) / online,
20/09/2021

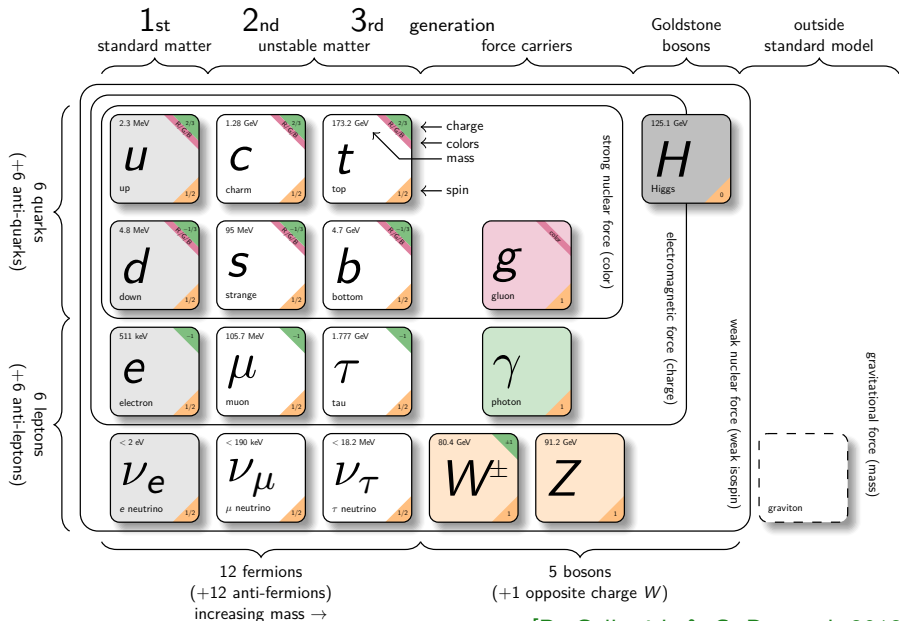
N Neutrinos

Based on:

- Giunti & Kim
- JHEP 02 (2021) 071
- KATRIN
- de Salas+, *Frontiers* 5 (2018) 36
- SG+, *JPG* 43 (2016) 033001

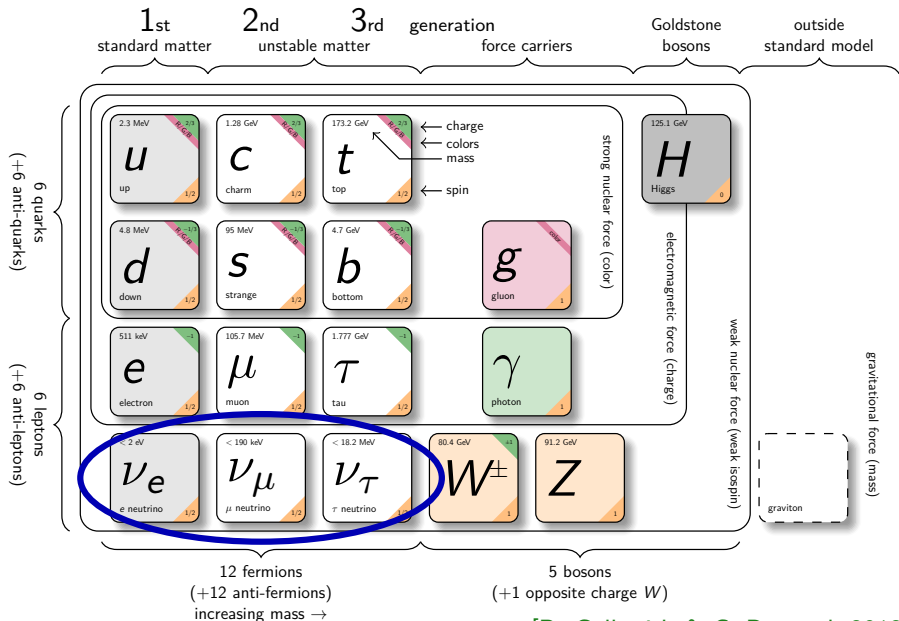


The Standard Model of Particle Physics

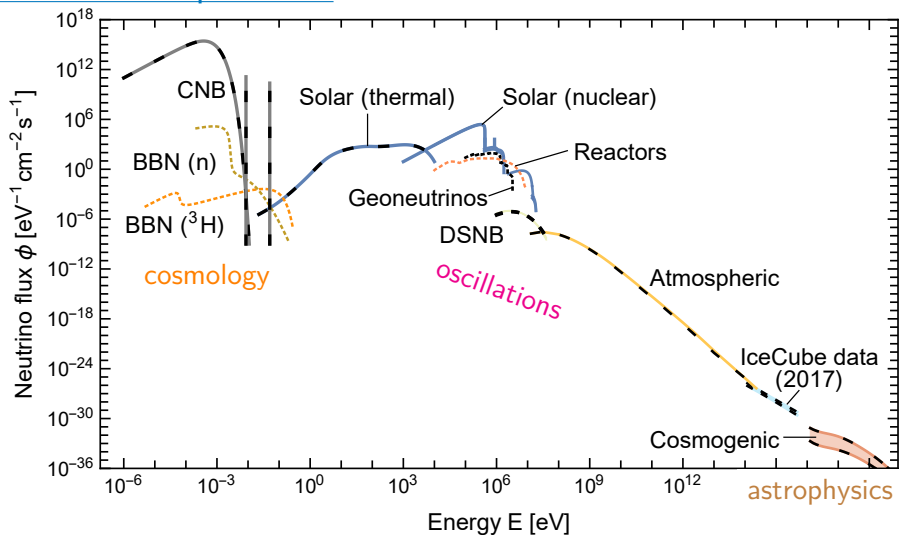


[D. Galbraith & C. Burgard, 2012]

The Standard Model of Particle Physics



[D. Galbraith & C. Burgard, 2012]

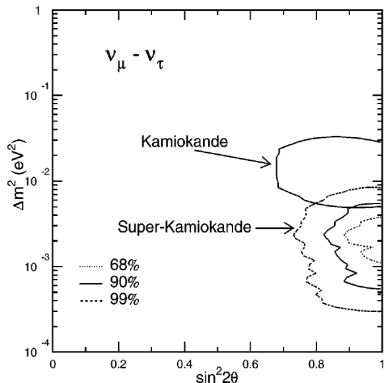


neutrinos at all energies provide valuable information!

Neutrino oscillations

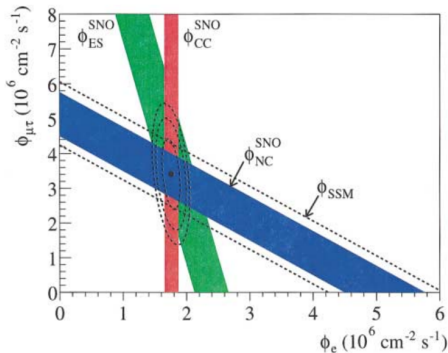
Major discoveries:

[SuperKamiokande, 1998]



first discovery of $\nu_\mu \rightarrow \nu_\tau$
oscillations from atmospheric ν

[SNO, 2001-2002]



first discovery of $\nu_e \rightarrow \nu_\mu, \nu_\tau$
oscillations from solar ν

Nobel prize in 2015

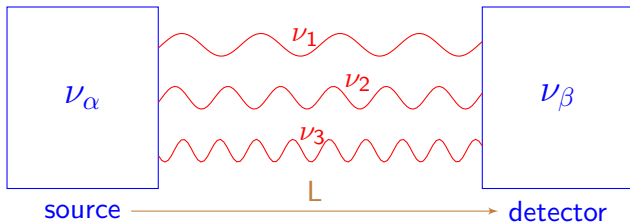
Two neutrino bases

flavor neutrinos ν_α

$$|\nu_\alpha\rangle = \sum_k U_{\alpha k} |\nu_k\rangle$$

massive neutrinos ν_k

$$|\nu(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = |\nu_\beta\rangle = U_{\alpha 1} e^{-iE_1 t} |\nu_1\rangle + U_{\alpha 2} e^{-iE_2 t} |\nu_2\rangle + U_{\alpha 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\alpha\rangle$$

$$E_k^2 = p^2 + m_k^2 \longleftarrow \text{define} \longrightarrow t = L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\beta | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

Oscillations in matter

presence of a medium affects neutrino propagation



altered oscillation probabilities $|\langle \nu_\beta | \nu_\alpha(t) \rangle|^2$

$$\text{Schrödinger eq: } i \frac{d}{dt} |\nu_\alpha(t)\rangle = (\mathcal{H}_0 + \mathcal{H}_I) |\nu_\alpha(t)\rangle$$

vacuum Hamiltonian:

$$\mathcal{H}_0 |\nu_k\rangle = E_k |\nu_k\rangle$$

$$k \in [1, 2, 3], E_k = \sqrt{|\vec{p}|^2 + m_k^2} \text{ neutrino energy}$$

interaction Hamiltonian:

$$\mathcal{H}_I |\nu_\alpha\rangle = V_\alpha |\nu_\alpha\rangle$$

$$\alpha \in [e, \mu, \tau], V_\alpha \text{ matter potential}$$

Notice: \mathcal{H}_0 and \mathcal{H}_I defined in different basis!

Vacuum mixing parameters must enter the equations

matter potential depends on the medium where the neutrino propagates

Oscillations in matter

presence of a medium affects neutrino propagation



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$$\text{Schrödinger eq: } i \frac{d}{dt} |\nu_\alpha(t)\rangle = (\mathcal{H}_0 + \mathcal{H}_I) |\nu_\alpha(t)\rangle$$

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interaction Hamiltonian:

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Example with two neutrinos: mass splitting Δm^2 and angle θ in vacuum

$$\Delta m_M^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2|\vec{p}| V_{CC})^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\tan 2\theta_M = \tan 2\theta \left[1 - \frac{2|\vec{p}| V_{CC}}{\Delta m^2 \cos 2\theta} \right]^{-1}$$

Matter effect particularly important for neutrinos in dense medium
(including early universe!)

The mixing matrix

U can be parameterized using 3 angles (θ_{12} , θ_{13} , θ_{23}) and max 3 (1 Dirac δ , 2 Majorana [\exists only for Majorana ν]) phases

$$U = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\substack{\text{mainly atmospheric} \\ \text{and LBL} \\ \text{accelerator} \\ \text{disappearance}}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\substack{\text{mainly SBL reactors and} \\ \text{LBL accelerator} \\ \text{appearance}}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\substack{\text{mainly solar and} \\ \text{LBL reactors}}} M$$

Majorana phases irrelevant for oscillation experiments ←

Relevant for example in neutrinoless double-beta decay

$$s_{ij} \equiv \sin \theta_{ij}; \quad c_{ij} \equiv \cos \theta_{ij}$$

SBL = short baseline; LBL = long baseline

Three-neutrino oscillation data

Solar + LBL reactors

Experiments:

SuperK

SNO

Borexino

KamLAND

...

Parameters:

θ_{12}

Δm_{21}^2

(θ_{13})

Three-neutrino oscillation data

Solar + LBL reactors

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SuperK
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Parameters:

θ_{12}
 Δm_{21}^2
(θ_{13})

SBL reactors

Experiments:

DayaBay
RENO
DoubleChooz
...

Parameters:

θ_{13}
 Δm_{31}^2
(θ_{12})
(Δm_{21}^2)

Three-neutrino oscillation data

Solar + LBL reactors

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θ_{13}
 Δm_{31}^2
(θ_{12})
(Δm_{21}^2)

Atmospheric

Experiments:

Antares
IceCube
SuperK
...

Parameters:

θ_{23}
 Δm_{31}^2
(θ_{13})
(δ_{CP})

Three-neutrino oscillation data

Solar + LBL reactors

Experiments:

SuperK
SNO
Borexino
KamLAND
...

Parameters:

θ_{12}
 Δm_{21}^2
(θ_{13})

SBL reactors

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Atmospheric

Experiments:

Antares
IceCube
SuperK
...

Parameters:

θ_{23}
 Δm_{31}^2
(θ_{13})
(δ_{CP})

LBL accelerators

Experiments:

NO ν A
T2K
MINOS
...

Parameters:

θ_{13}
 θ_{23}
 Δm_{31}^2
 δ_{CP}

Three Neutrino Oscillations

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

$U_{\alpha k}$ described by 3 mixing angles θ_{12} , θ_{13} , θ_{23} and one CP phase δ

Current knowledge of the 3 active ν mixing: [JHEP 02 (2021) update]

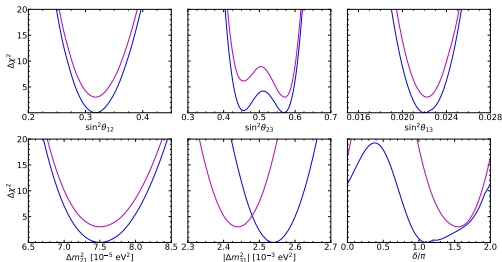
NO/NH: Normal Ordering/Hierarchy, $m_1 < m_2 < m_3$

IO/IH: Inverted O/H, $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{21}^2 &= (7.50^{+0.22}_{-0.20}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.54 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.44 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} 10 \sin^2(\theta_{12}) &= 3.18 \pm 0.16 \\ 10^2 \sin^2(\theta_{13}) &= 2.200^{+0.069}_{-0.062} \text{ (NO)} \\ &= 2.225^{+0.064}_{-0.070} \text{ (IO)} \\ 10 \sin^2(\theta_{23}) &= 4.55 \pm 0.13 \text{ U} 5.71 \pm 0.12 \text{ (NO)} \\ &= 5.71^{+0.14}_{-0.17} \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \delta/\pi &= 1.10^{+0.27}_{-0.12} \text{ (NO)} \\ &= 1.54 \pm 0.14 \text{ (IO)} \end{aligned}$$



mass ordering
still unknown

δ still unknown

see also: <http://globalfit.astroparticles.es>

Normal ordering (NO)

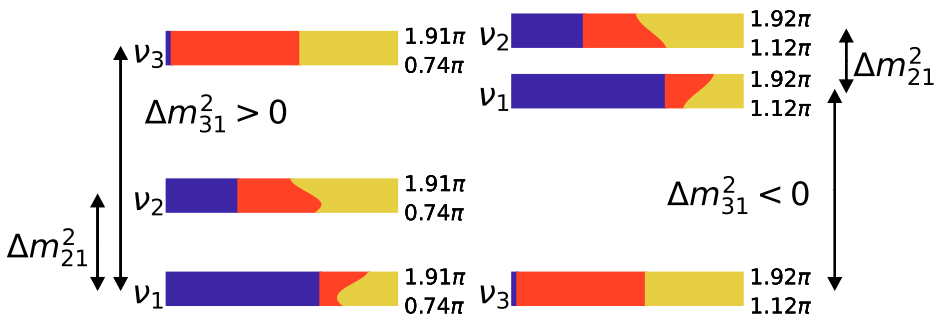
$$m_1 < m_2 < m_3$$

$$\sum m_k \gtrsim 0.06 \text{ eV}$$

Inverted ordering (IO)

$$m_3 < m_1 < m_2$$

$$\sum m_k \gtrsim 0.1 \text{ eV}$$



Absolute scale unknown!

Can we constrain the mass ordering using bounds on $\sum m_\nu$?

Neutrino masses from neutrinoless double β decay

(if neutrino is Majorana)

[Schechter&Valle, 1982]

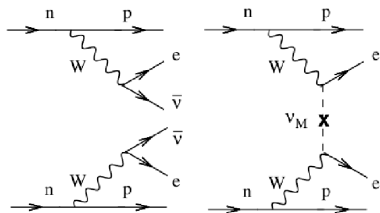
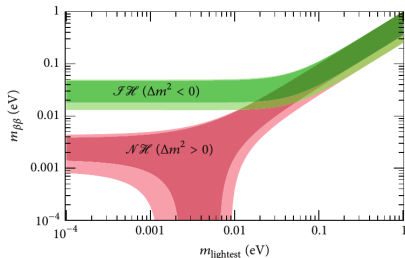
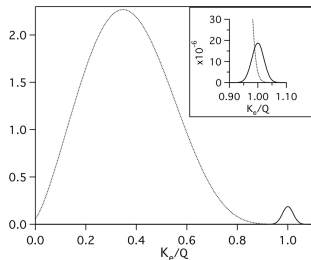


figure from [NEXT] webpage



[Dell'Oro+, 2016]

Measure $T_{1/2}^{0\nu}$

m_e electron mass,
 $G_{0\nu}$ phase space,
 \mathcal{M}'^{ν} matrix element

convert into
$$m_{\beta\beta} = \frac{m_e}{\mathcal{M}'^{\nu} \sqrt{G_{0\nu} T_{1/2}^{0\nu}}}$$

and then use
$$m_{\beta\beta} = \left| \sum_k e^{i\alpha_k} U_{ek}^2 m_k \right|$$

α_k Majorana phases

β decay



$$Q_\beta = M_i - M_f - m_e$$

total available energy

$$E_\nu = Q_\beta - T = Q_\beta - (E_e - m_e)$$

neutrino energy

notice that max electron energy is:

$$T_{\max} = Q_\beta - m_{\bar{\nu}_e}$$

Kurie function: (degenerate ν masses)

$$K(T) = \left[(Q_\beta - T) \sqrt{(Q_\beta - T)^2 - m_{\bar{\nu}_e}^2} \right]^{1/2}$$

Useful to describe
the e^- spectrum
near the endpoint

β decay



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notice: flavor neutrinos have no definite mass!

$$m_{\bar{\nu}_e}^2 = \sum |U_{ei}|^2 m_i^2$$

β decay



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Full expression:

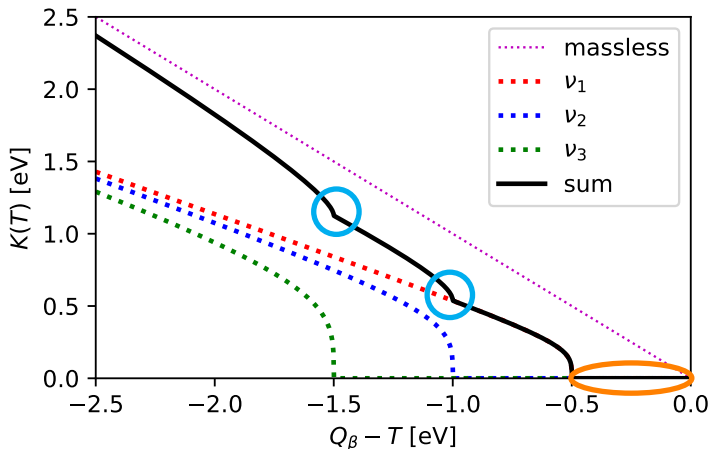
$$K(T) = \left[(Q_\beta - T) \sum_{i=1}^{N_\nu} |U_{ei}|^2 \sqrt{(Q_\beta - T)^2 - m_i^2} \right]^{1/2}$$

N_ν neutrinos
with different
masses m_i

mixing angles
enter ($|U_{ei}|^2$)

β decay

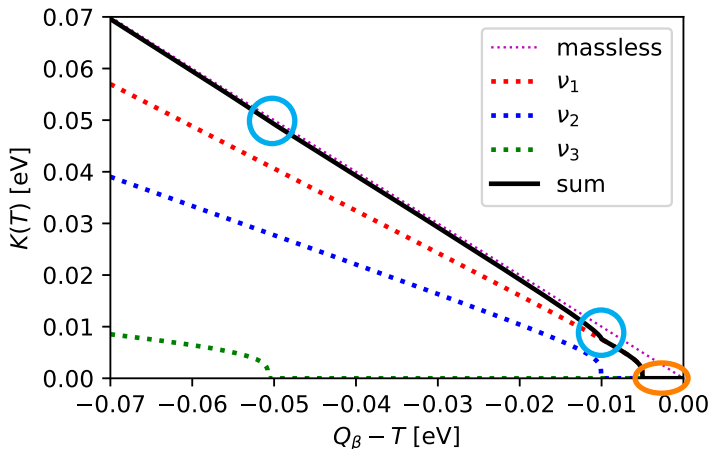
$$K(T) = \left[(Q_\beta - T) \sum_{i=1}^{N_\nu} |U_{ei}|^2 \sqrt{(Q_\beta - T)^2 - m_i^2} \right]^{1/2}$$



Fake case:
3 neutrinos
masses:
 $m_i = i \cdot 0.5$ eV,
mixings:
 $|U_{ei}|^2 = 1/3$

endpoint shifted + one kink for each mass eigenstate

$$K(T) = \left[(Q_\beta - T) \sum_{i=1}^{N_\nu} |U_{ei}|^2 \sqrt{(Q_\beta - T)^2 - m_i^2} \right]^{1/2}$$



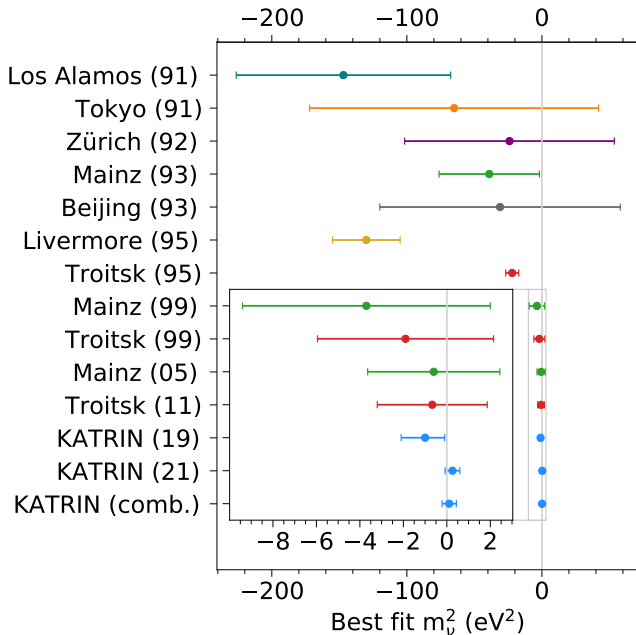
Realistic case:

3 neutrinos,
normal
ordering

masses: $m_i =$
[5, 10, 51] meV,

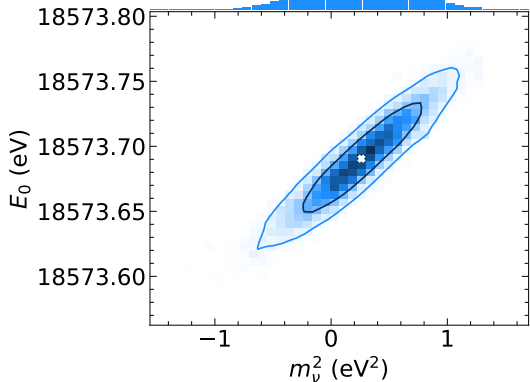
mixings:
 $|U_{ei}|^2 =$
[0.67, 0.31, 0.02]

Much harder to see the endpoint shift and kinks!



strongest bound on $m_\nu (\equiv m_{\bar{\nu}_e})$ are from KATRIN

[Katrin Neutrino Mass 2]



KNM1+KNM2:
 $m_\nu^2 = (0.1 \pm 0.3) \text{ eV}^2$

Upper limit 90%:

$$m_\nu < 0.8 \text{ eV}$$

Bayesian 90%:

$$m_\nu < 0.7 \text{ eV}$$

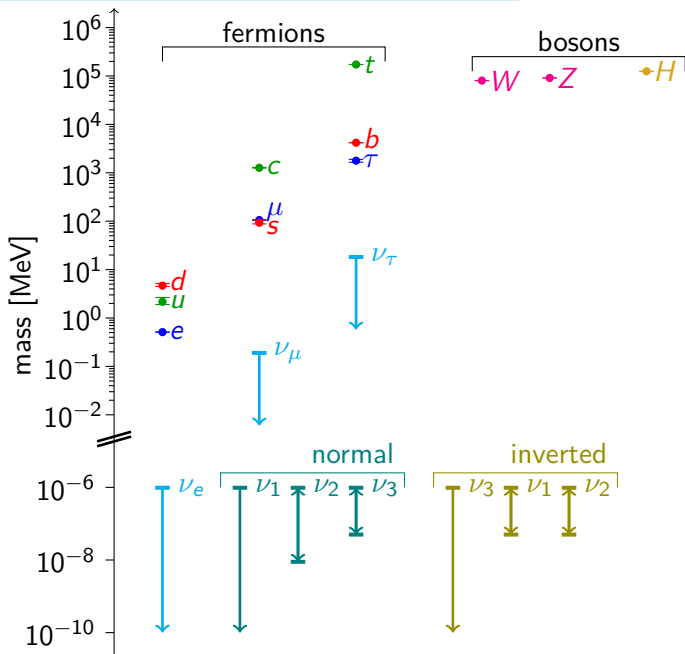
statistics dominated!

expected final
 sensitivity (90%):

$$m_\nu \lesssim 0.2 \text{ eV}$$

Masses in the Standard Model

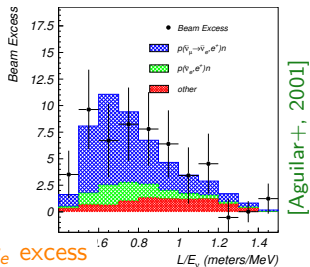
[masses from PDG 2020]



Do three-neutrino oscillations explain all experimental results?

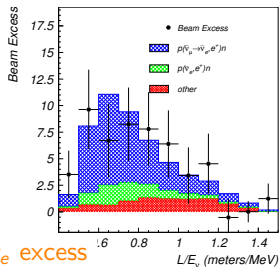
Do three-neutrino oscillations explain all experimental results?

LSND

 3.8σ $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ excess

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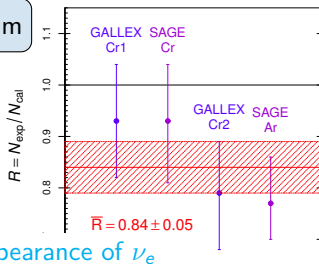


[Aguilar+, 2001]

3.8σ

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ excess

Gallium

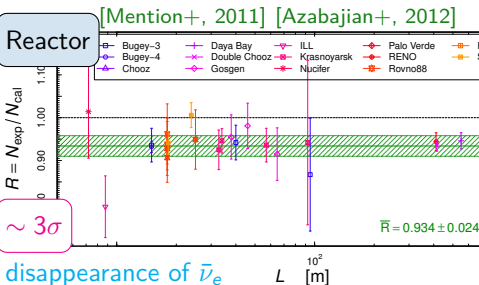


[Giunti, Laveder, 2011]

2.7σ

disappearance of ν_e

Reactor



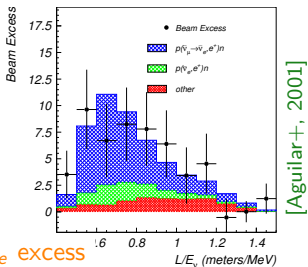
[Mention+, 2011] [Azabajian+, 2012]

~ 3σ

disappearance of $\bar{\nu}_e$

Do three-neutrino oscillations explain all experimental results?

LSND

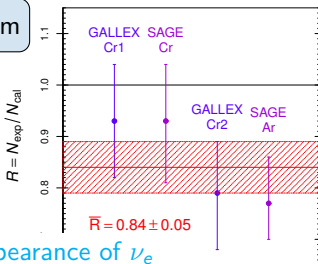


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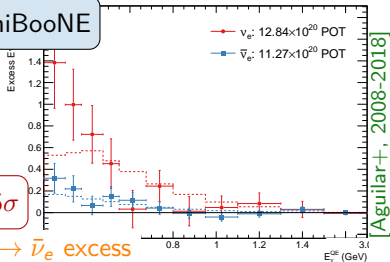


[Giunti, Laveder, 2011]

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MiniBooNE

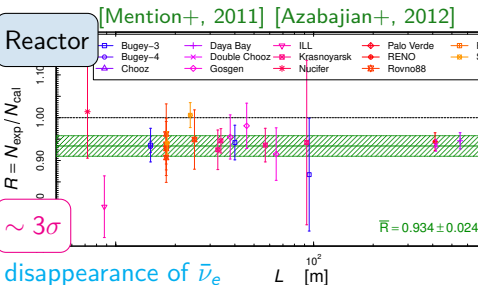


[Aguilar+, 2008-2018]

$\sim 5\sigma$

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ excess

Reactor

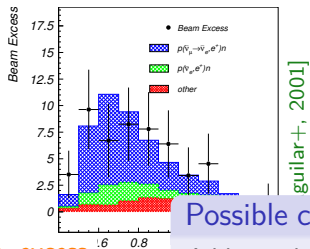


$\sim 3\sigma$

disappearance of $\bar{\nu}_e$

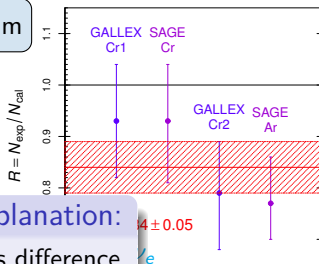
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guilard+, 2001]

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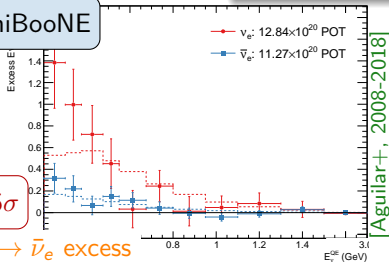
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ excess

Possible common explanation:

Additional squared mass difference

$$\Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$

MiniBooNE

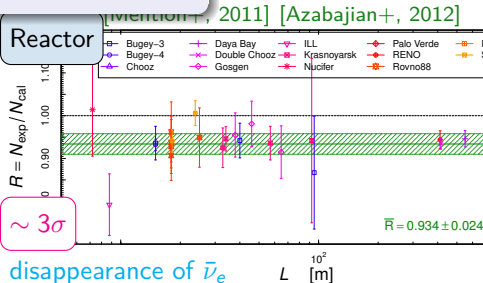


[Aguilar+, 2008-2018]

$\sim 5\sigma$

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ excess

Reactor



[Laveder+, 2011] [Azabajian+, 2012]

$\sim 3\sigma$

disappearance of $\bar{\nu}_e$

$$\bar{R} = 0.934 \pm 0.024$$

A large family

In principle, previous discussion is valid for N neutrinos

only constraint: there are exactly three flavor neutrinos in the SM

[LEP, Phys. Rept. 427 (2006) 257]

$$N_\nu^{(Z)} = 2.9840 \pm 0.0082$$

[Janot+, PLB 2020]

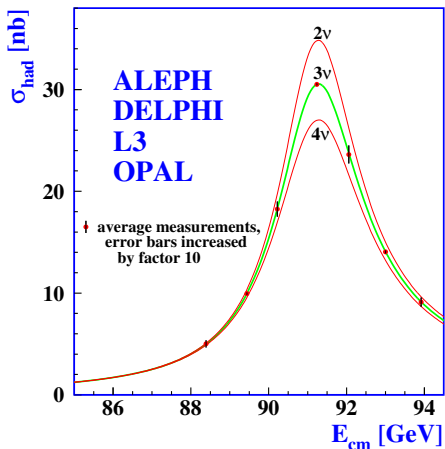
$$N_\nu^{(Z)} = 2.9963 \pm 0.0074$$

from measurement of Z resonance

$$e^+ e^- \rightarrow Z \rightarrow \sum_{a=e,\mu,\tau} \nu_a \bar{\nu}_a$$

neutrinos $\alpha > 3$ must be sterile

sterile neutrino = SM singlet: no couplings with other SM particles



A large family

In principle, previous discussion is valid for N neutrinos

$N \times N$ mixing matrix, N flavor neutrinos, N massive neutrinos

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \\ |\nu_{s1}\rangle \\ \dots \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & \vdots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & \\ U_{s11} & U_{s12} & U_{s13} & U_{s14} & \\ \dots & & & & \ddots \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \\ \dots \end{pmatrix}$$

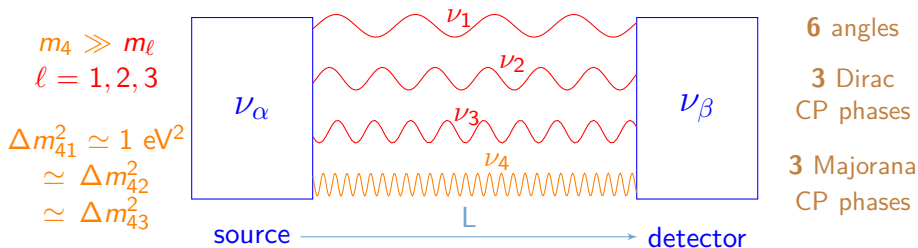
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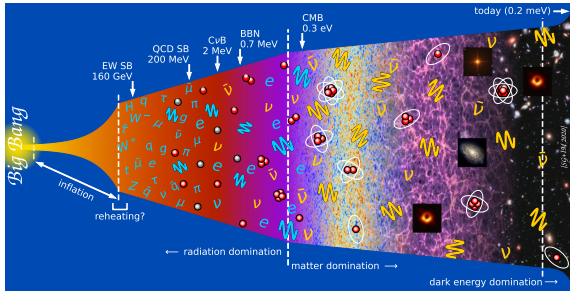
Our case will be 3 (active)+1 (sterile), a perturbation of 3 neutrinos case



C Cosmology

Based on:

- Lesgourgues+,
Neutrino Cosmology



A flash on general relativity

use metric $g_{\mu\nu}$ to define measure: $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$

x^μ coordinates, $u^\mu = \frac{dx^\mu}{d\lambda}$ velocity, $P^\mu = mu^\mu$ momentum

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Ricci tensor: $R_{\mu\nu} = \partial_\sigma \Gamma_{\mu\nu}^\sigma - \partial_\nu \Gamma_{\mu\sigma}^\sigma + \Gamma_{\rho\sigma}^\sigma \Gamma_{\mu\nu}^\rho - \Gamma_{\rho\nu}^\sigma \Gamma_{\mu\sigma}^\rho$

Ricci scalar: $R = R_{\mu\nu} g^{\mu\nu}$

Einstein
equations:

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu} - \Lambda g_{\mu\nu}$$

$T_{\mu\nu}$ stress-energy tensor, symmetric, must satisfy $\nabla_\mu T^{\mu\nu} = 0$

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given lagrangian $\mathcal{L}(\phi_\alpha) \longrightarrow T_{(\phi_\alpha)}^{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi_\alpha)} \partial^\nu \phi_\alpha - g^{\mu\nu} \mathcal{L}$

ϕ_α set of fields

Homogeneous and isotropic universe

Metric defines the structure of the universe

One of the simplest assumptions: universe is

Homogeneous

universe properties do
not change with **position**

Isotropic

universe properties do
not change with **direction**

Friedmann-Lemaître-Robertson-Walker (FLRW) **metric** (polar coordinates):

$$g_{\mu\nu} dx^\mu dx^\nu = -dt^2 + a^2(t) \left(\frac{dr^2}{1-kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right)$$

a scale factor, encodes expansion of the space-time

k spatial curvature of the universe ($0 \rightarrow$ flat, $\pm 1 \rightarrow$ curved)

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Perfect fluid (**energy density** ρ , **pressure** P): $T^{\mu\nu} = (\rho + P)u^\mu u^\nu - P g^{\mu\nu}$

in fluid rest frame: $u^\mu = (1, 0, 0, 0) \longrightarrow T_0^0 = -\rho \quad T_j^j = P\delta_j^j$

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Perfect fluid (**energy density** ρ , **pressure** P): $T^{\mu\nu} = (\rho + P)u^\mu u^\nu - P g^{\mu\nu}$

Use **Einstein equations** to obtain **Friedmann equations**:

$$H^2 + \frac{k}{a^2} = \frac{8\pi G}{3}\rho + \frac{\Lambda}{3}$$

expansion rate $H \equiv \dot{a}/a$

$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3}(\rho + 3P) + \frac{\Lambda}{3}$$

expansion depends on **universe content!**

Background evolution of the universe

conservation of stress-energy tensor:

$$\nabla_{\mu} T^{\nu\mu} \equiv \partial_{\mu} T^{\nu\mu} + \Gamma_{\mu\rho}^{\nu} T^{\mu\rho} + \Gamma_{\mu\rho}^{\mu} T^{\nu\rho} = 0$$

for a perfect fluid this leads to continuity equation:

$$\dot{\rho} + 3H(\rho + P) = 0$$

define w equation of state, so that $P = w\rho$:

continuity equation solved by $\rho(a) = a^{-3(1+w)}$

radiation

(relativistic fluid)

$$w = 1/3, \rho_{\text{R}} \propto a^{-4}$$

matter

(non-rel. fluid)

$$w = 0, \rho_{\text{M}} \propto a^{-3}$$

Λ

(dark energy)

$$w = -1, \rho_{\Lambda} = \text{const}$$

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$$\text{Consider Friedmann equation: } H^2 = \frac{8\pi G}{3} \rho_{\text{tot}} + \frac{\Lambda}{3} - \frac{k}{a^2} \quad \rho_{\Lambda} \equiv \frac{\Lambda}{8\pi G} \\ \rho_k \equiv \frac{3k}{8\pi G a^2}$$

If one component dominates ($\rho_{\text{tot}} \simeq \rho_i$, with $i \in [R, M, k, \Lambda, \dots]$), we have:

$$a(t) = t^{2/(3(1+w))} \text{ for } w \neq -1$$

$$a(t) = e^{Ht} \text{ for } w = -1$$

Background evolution of the universe

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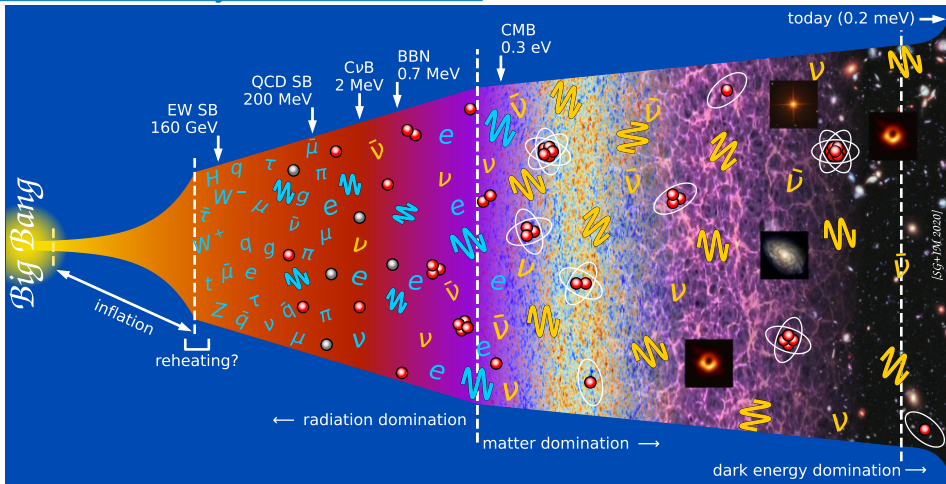
Λ
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 $w = -1, \rho_{\Lambda} = \text{const}$

define **critical density**: $\rho_{\text{cr}} \equiv \frac{3H^2}{8\pi G}$

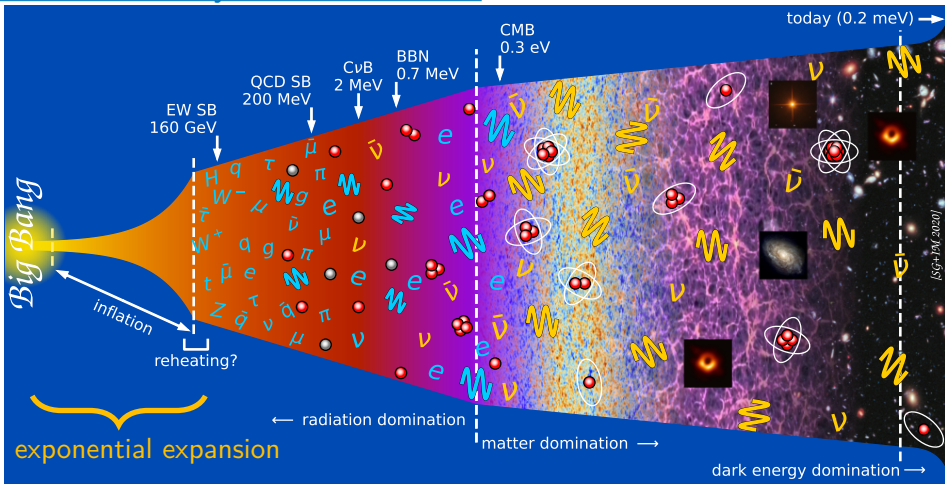
define **fractional energy densities**: $\Omega_i = \rho_{i,0}/\rho_{\text{cr},0}$ $0 \rightarrow \text{today}$

$$\text{Friedmann equation: } H(a)^2/H_0^2 = \Omega_{\text{R}} a^{-4} + \Omega_{\text{M}} a^{-3} + \Omega_k a^{-2} + \Omega_{\Lambda}$$

Short history of the universe



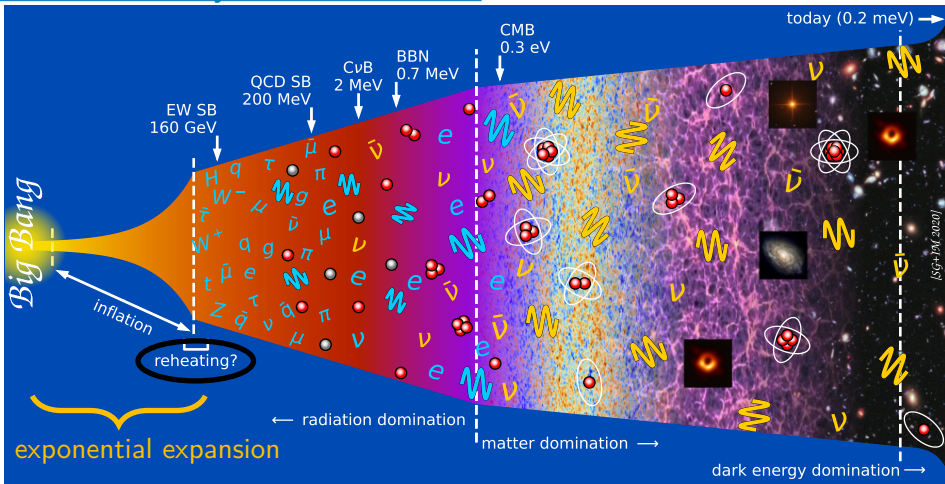
Short history of the universe



exponential expansion achieved with $w = -1$ —————> by scalar field? **inflaton**

inflation is needed to solve: flatness problem horizon problem

Short history of the universe

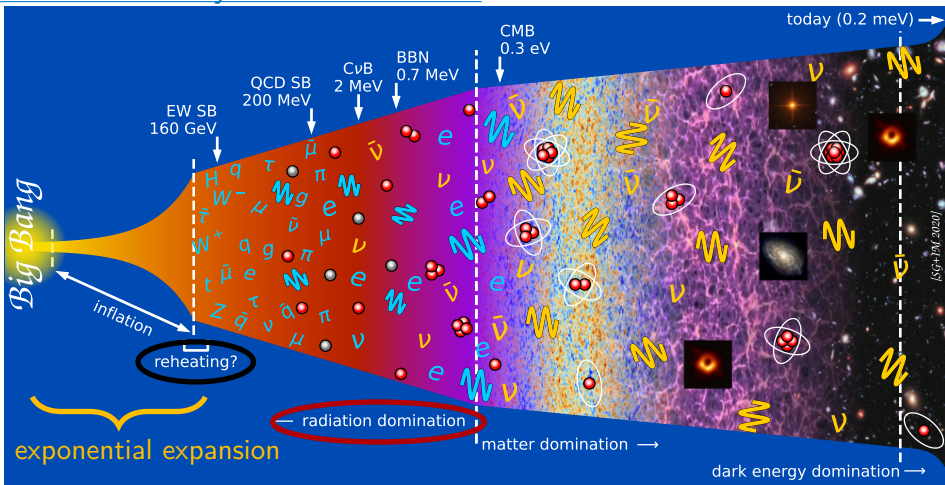


Reheating: inflation ends with energy transfer

from inflaton to (relativistic) standard model particles

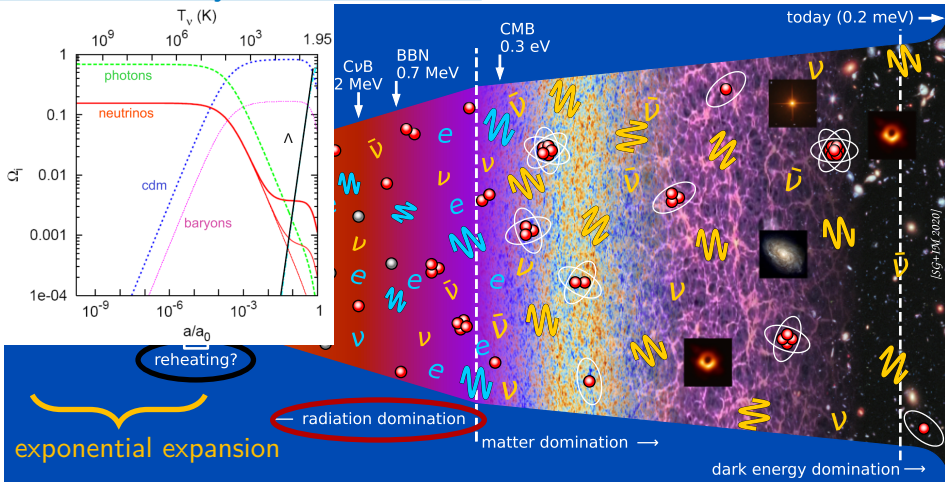
which later reach thermal equilibrium

Short history of the universe



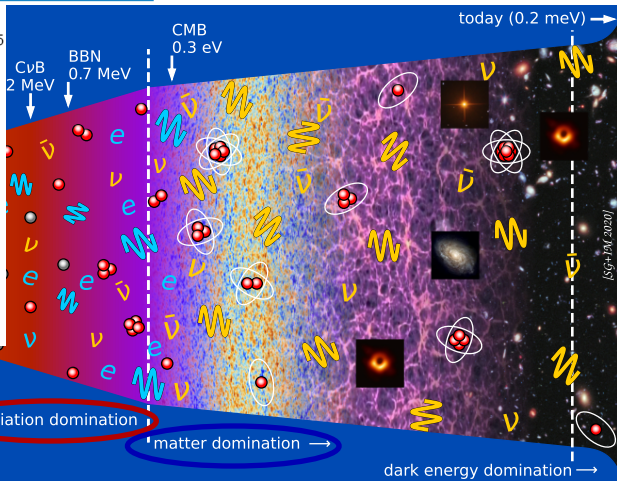
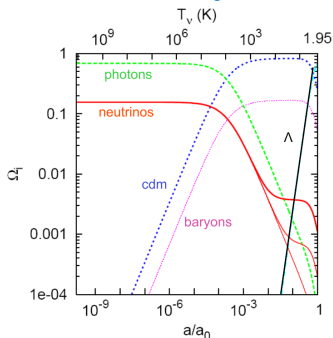
after **reheating**, relativistic particles (= radiation) start to dominate
while temperature decreases, several particles become non relativistic

Short history of the universe



after **reheating**, relativistic particles (= radiation) start to dominate
while temperature decreases, several particles become non relativistic
last particles to remain in equilibrium are photons, electrons, neutrinos

Short history of the universe



reheating?

exponential expansion

radiation domination

matter domination

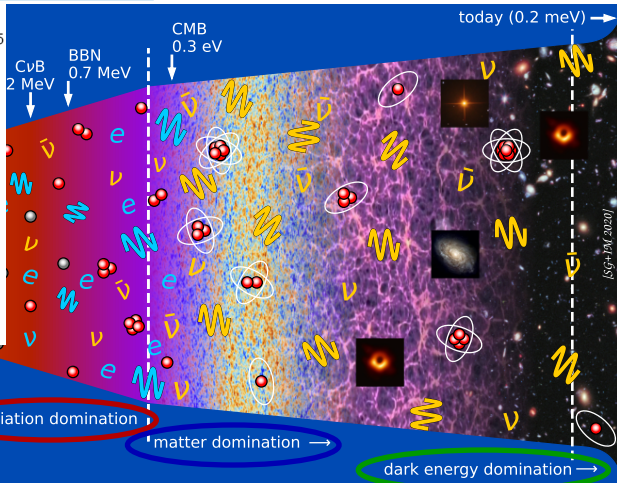
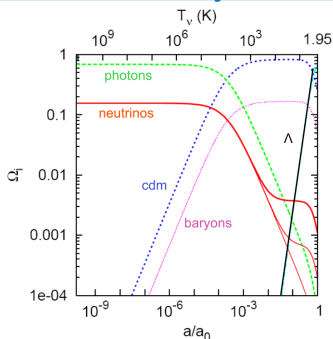
dark energy domination

at some point, $\Omega_R a^{-4}$ becomes smaller than $\Omega_M a^{-3}$

matter domination!

gravity start to be stronger than radiation pressure \rightarrow growth of structures!

Short history of the universe



reheating?

exponential expansion

radiation domination

matter domination

dark energy domination

Finally, $\Omega_M a^{-3}$ becomes smaller than Ω_Λ

dark energy domination!

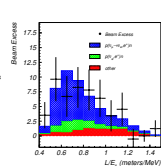
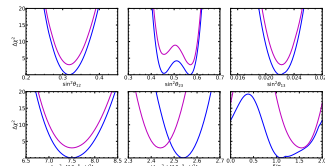
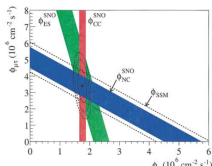
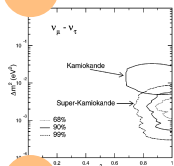
expansion starts to (exponentially) accelerate again

S Summary

What did we learn about neutrinos and cosmology?

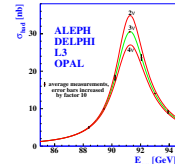
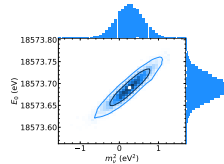
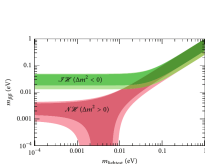
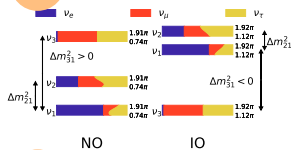
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Neutrino oscillations



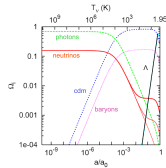
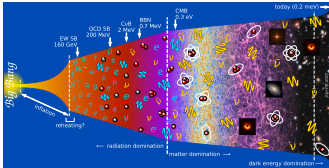
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Neutrino properties



U

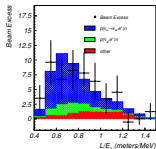
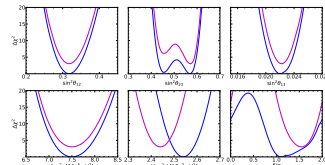
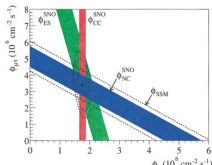
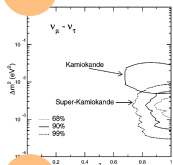
Basics on the universe history



What did we learn about neutrinos and cosmology?

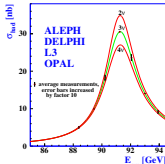
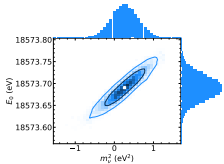
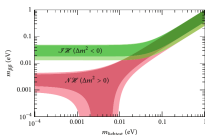
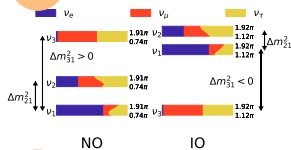
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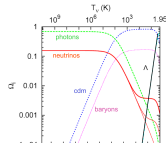
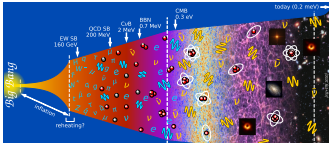
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U

Basics on the universe history



Thanks for your attention!