



Penning-Trap Mass Spectrometry (PENTATRAP)

and

Neutrino Mass (ECHO Project)

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Division “Stored and Cooled Ions” (Prof. K. Blaum)

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MEDEX, Prague, 31 May 2019

Matrix Elements for the Double beta decay EXperiments



Determination of neutrino mass with a sub-eV uncertainty



β^- -decay of Tritium

current limit: $m_{\bar{\nu}_e} < 2.0 \text{ eV}/c^2$ (95% C.L.)

“Troitsk ν -mass” and “Neutrino Mainz” experiments

N. Aseev et al., Phys. Rev. D 84, 112003 (2011).

C. Kraus et al., Eur. Phys. J. C 40, 447 (2005).



electron capture (EC) in ^{163}Ho

current limit: $m_{\nu_e} < 225 \text{ eV}/c^2$ (95% C.L.)

P. Springer et al., Phys. Rev. A 35, 679 (1987).

MINEBA & MANU



MARE

β^- -decay of ^{187}Re

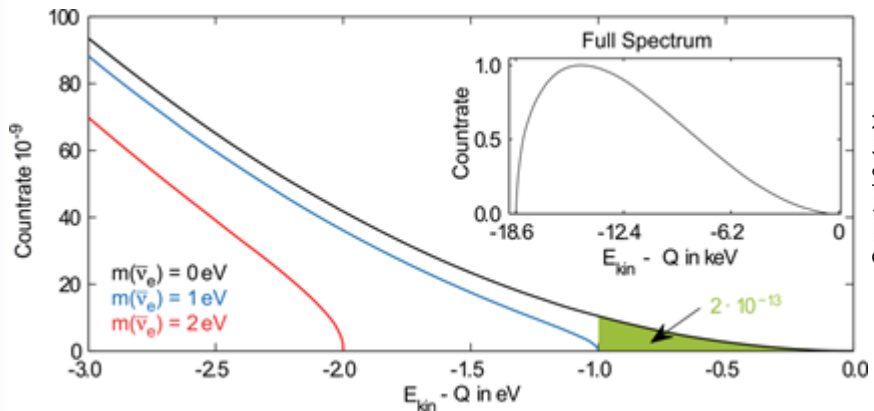
current limit: $m_{\bar{\nu}_e} < 15 \text{ eV}/c^2$ (95% C.L.)

Q-value = 2466.7 (1.6) eV

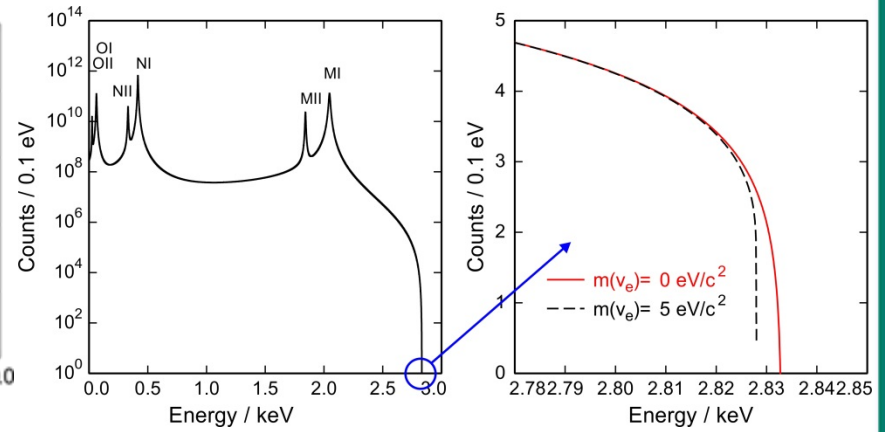




β^- -decay of Tritium



electron capture (EC) in ^{163}Ho



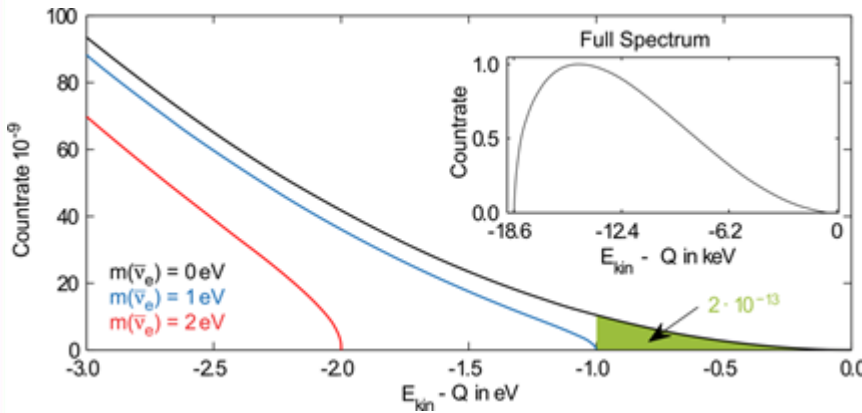
independently and precisely ($\delta Q/m \approx 10^{-11}$)
measured Q -values are required



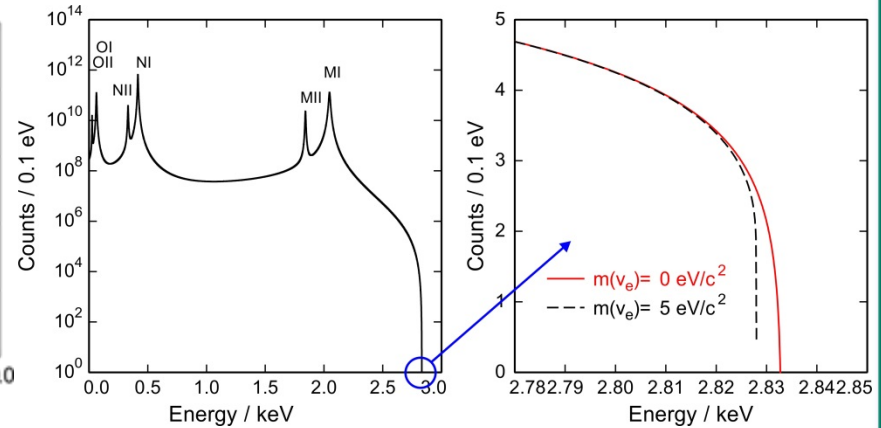
Penning traps are the only tool that
can deliver Q -values with such precision



β^- -decay of Tritium



electron capture (EC) in ^{163}Ho



uncertainty which has been achieved until now:

δQ (tritium decay) $\approx 70\text{ meV}$
FSU-trap

δQ (EC in ^{163}Ho) $\approx 30\text{ eV}$
SHIPTRAP at GSI

required uncertainty in Q -value determination:

δQ (tritium decay) $\approx 1\text{ meV}$
FSU-trap ???

δQ (EC in ^{163}Ho) $\approx 1\text{ eV}$
PENTATRAP at MPIK

Off-line Penning-trap setups

Q-value of β^- -decay of tritium

FSU

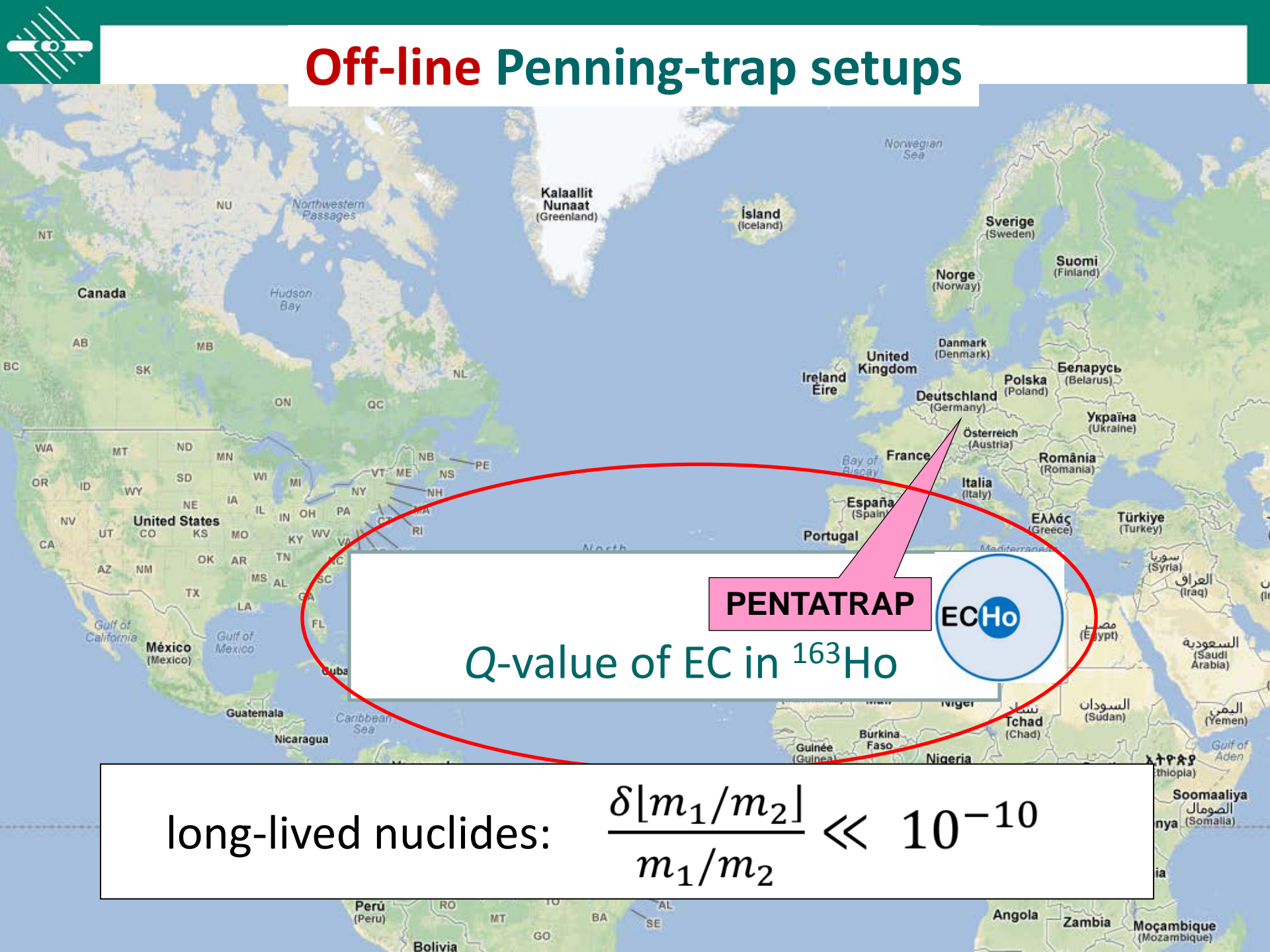
PENTATRAP

ECHO

Q-value of EC in ^{163}Ho

long-lived nuclides: $\frac{\delta[m_1/m_2]}{m_1/m_2} \ll 10^{-10}$

Off-line Penning-trap setups



PENTATRAP

EChO

Q-value of EC in ^{163}Ho

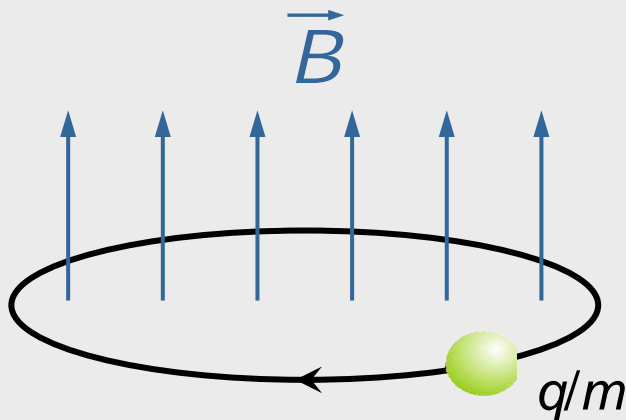
long-lived nuclides: $\frac{\delta[m_1/m_2]}{m_1/m_2} \ll 10^{-10}$



Penning trap

(the most accurate mass spectrometer !!!)

strong uniform
static B-field



$$v_c = \frac{1}{2\pi} \frac{q}{m} B$$

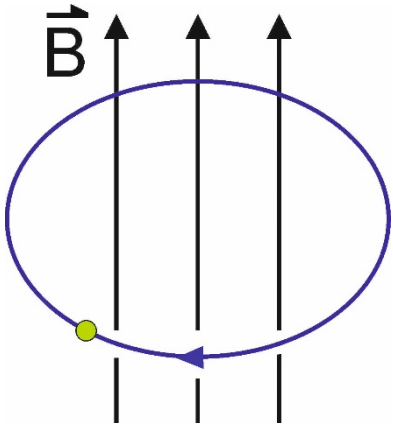
- Mass \leftarrow Frequency
- Magnetic field of a few Tesla
- Homogeneity of B-field: $10^{-7}/\text{cm}^3$
- Trapping volume: a few microns³
- High temporal stability of B-field



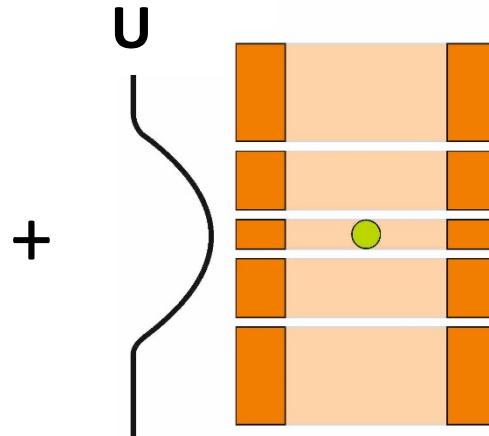
uncertainty of $< 10^{-11}$
in *mass-ratio* determination

$$Q = M_p - M_d = M_d \cdot \left(\frac{v_{c_d}}{v_{c_p}} - 1 \right)$$

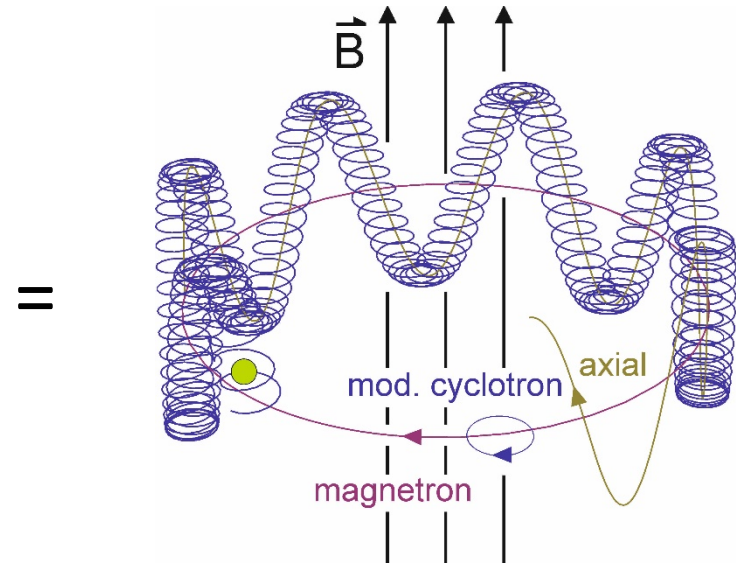
strong uniform
magnetic field



harmonic electrical
potential



3 eigenmotions in trap

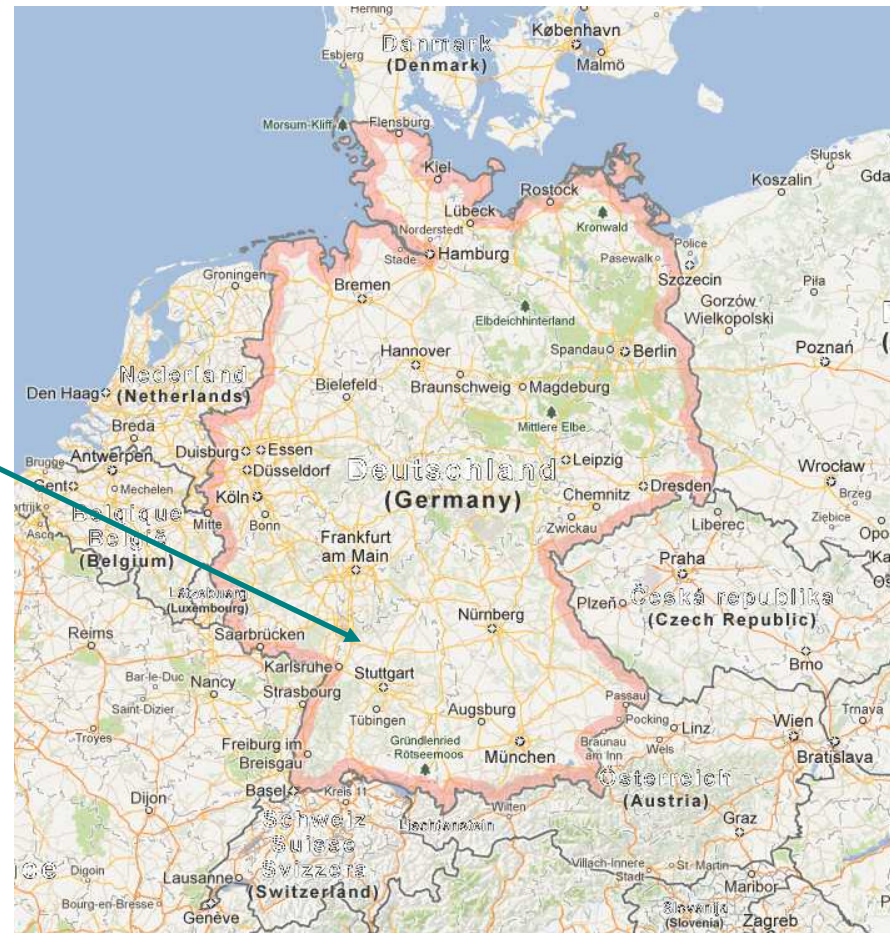


$$v_c^2 = v_+^2 + v_-^2 + v_z^2$$

Location of PENTATRAP

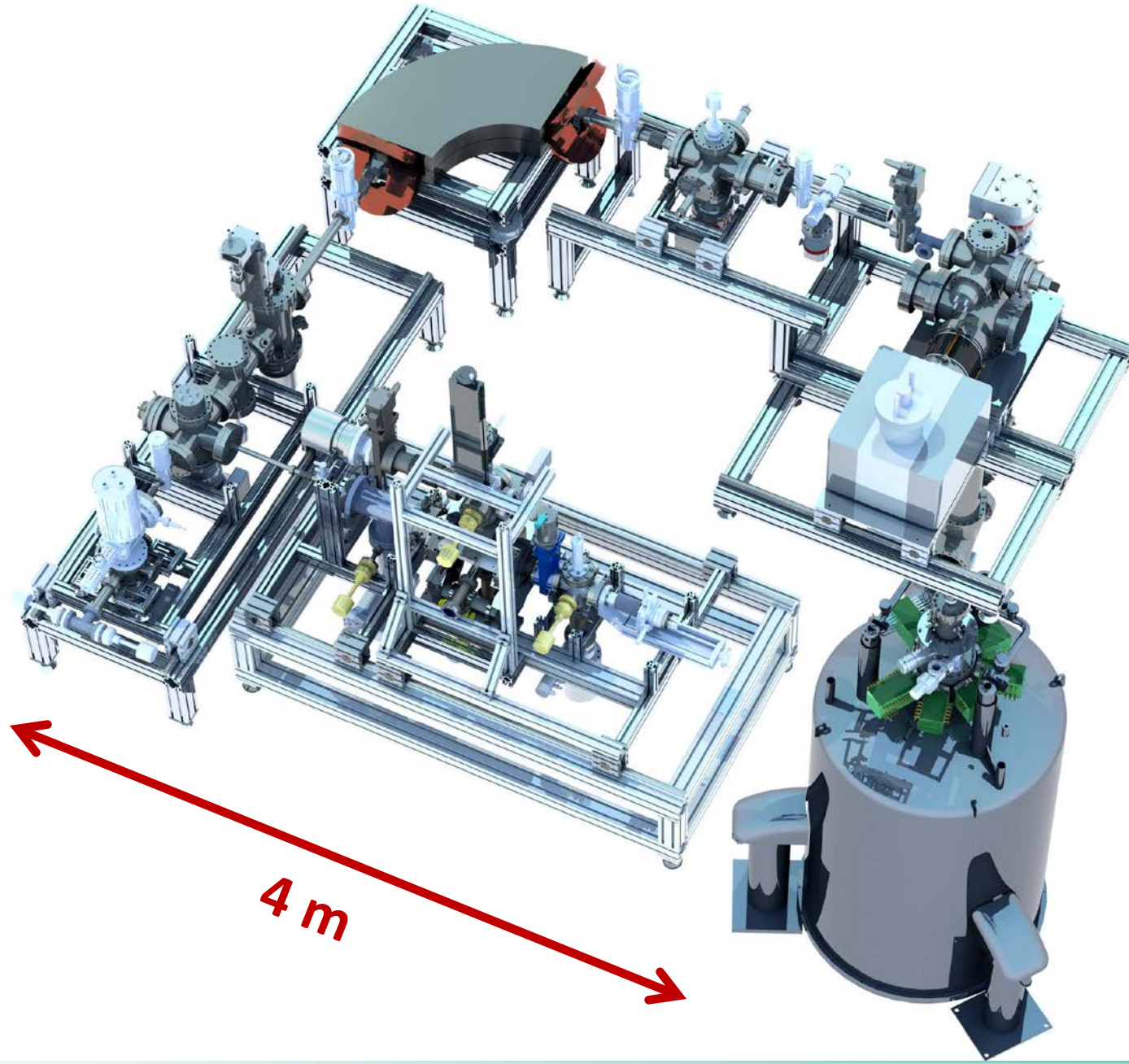
Max-Planck Institute for Nuclear Physics
(Heidelberg)

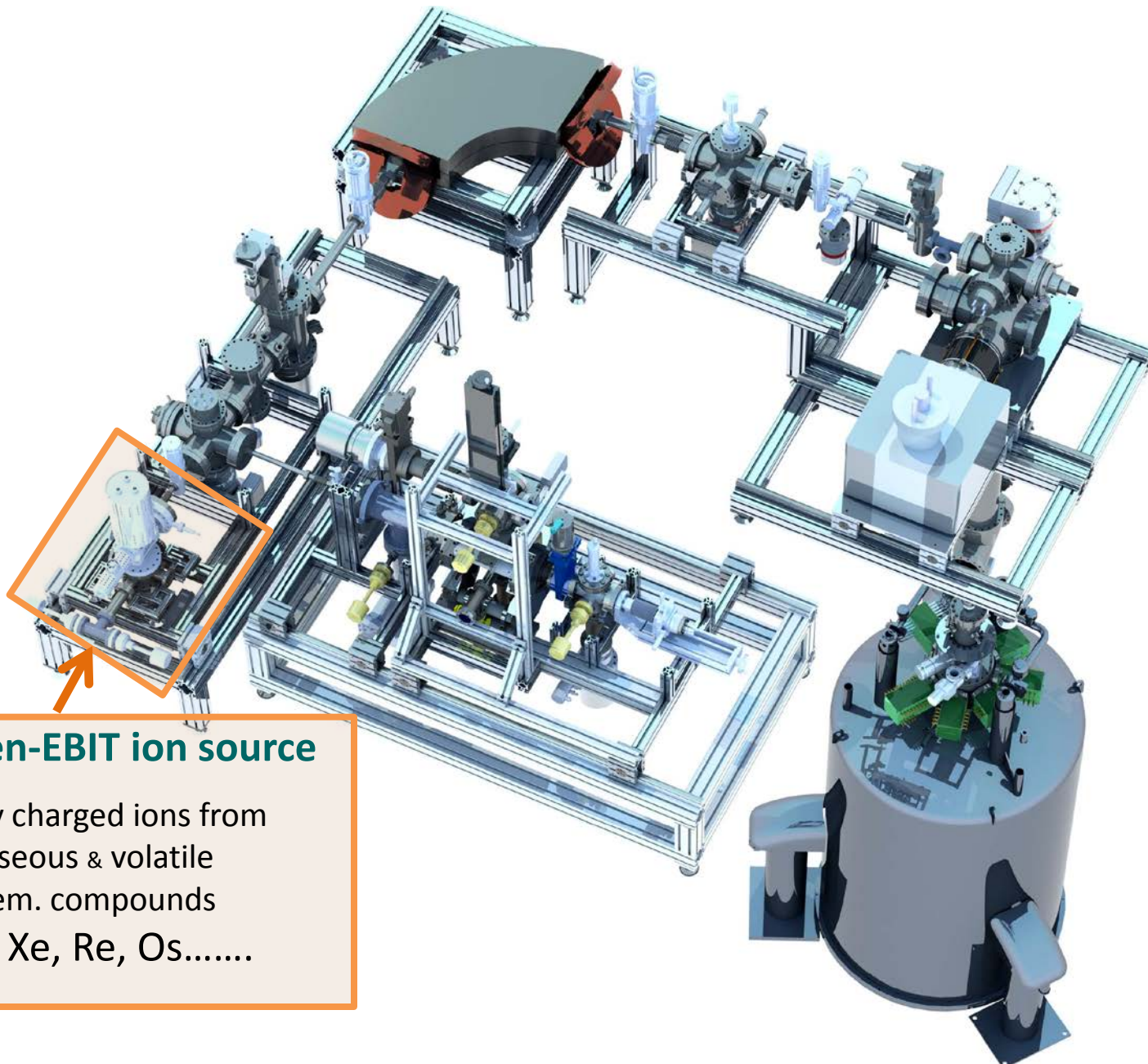
Division “Stored and Cooled Ions”
(Prof. Blaum)



measurements of mass ratios of long-lived and
stable nuclides up to uranium with
an uncertainty $< 10^{-11}$

PENTATRAP setup

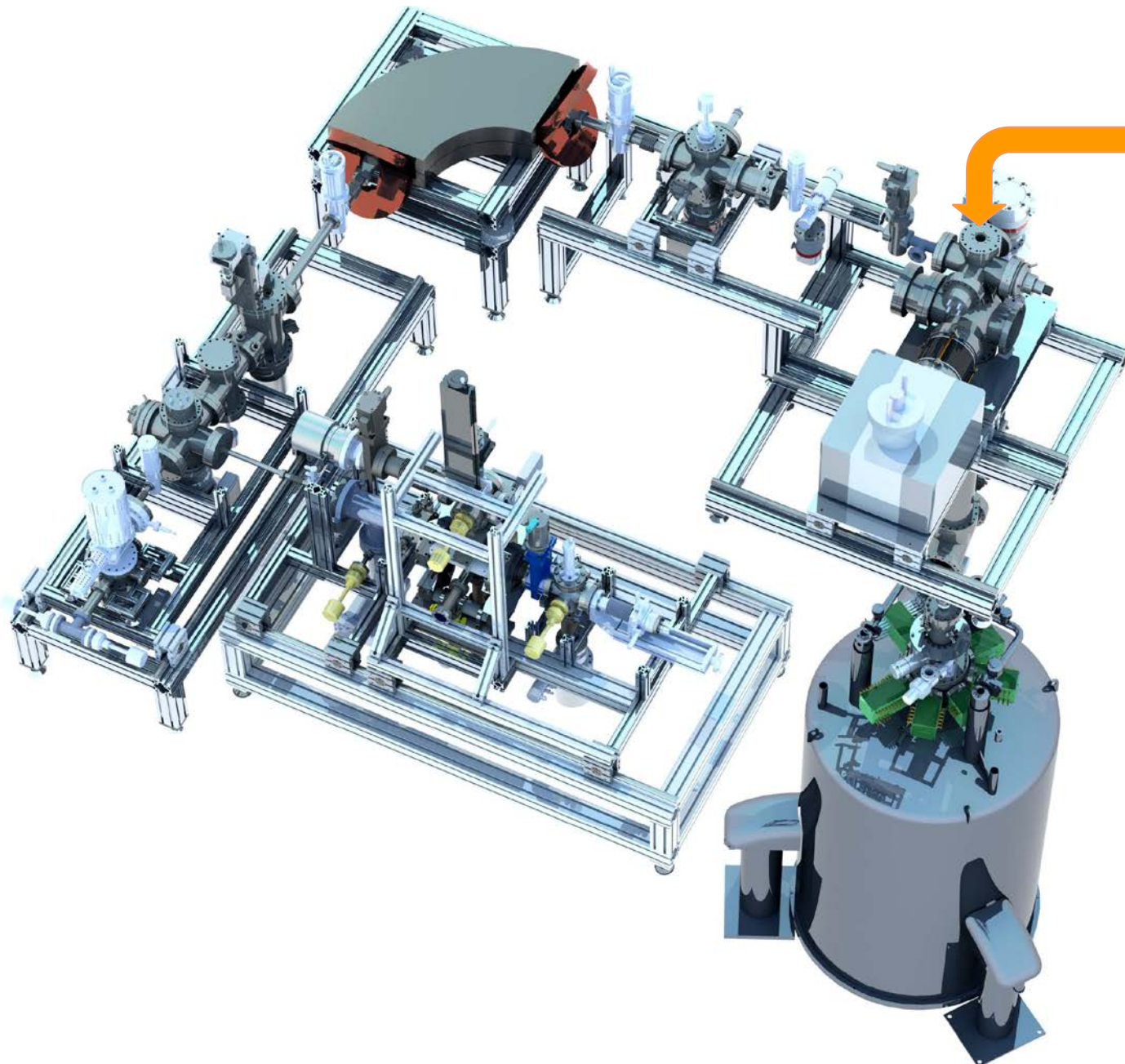




Dresden-EBIT ion source

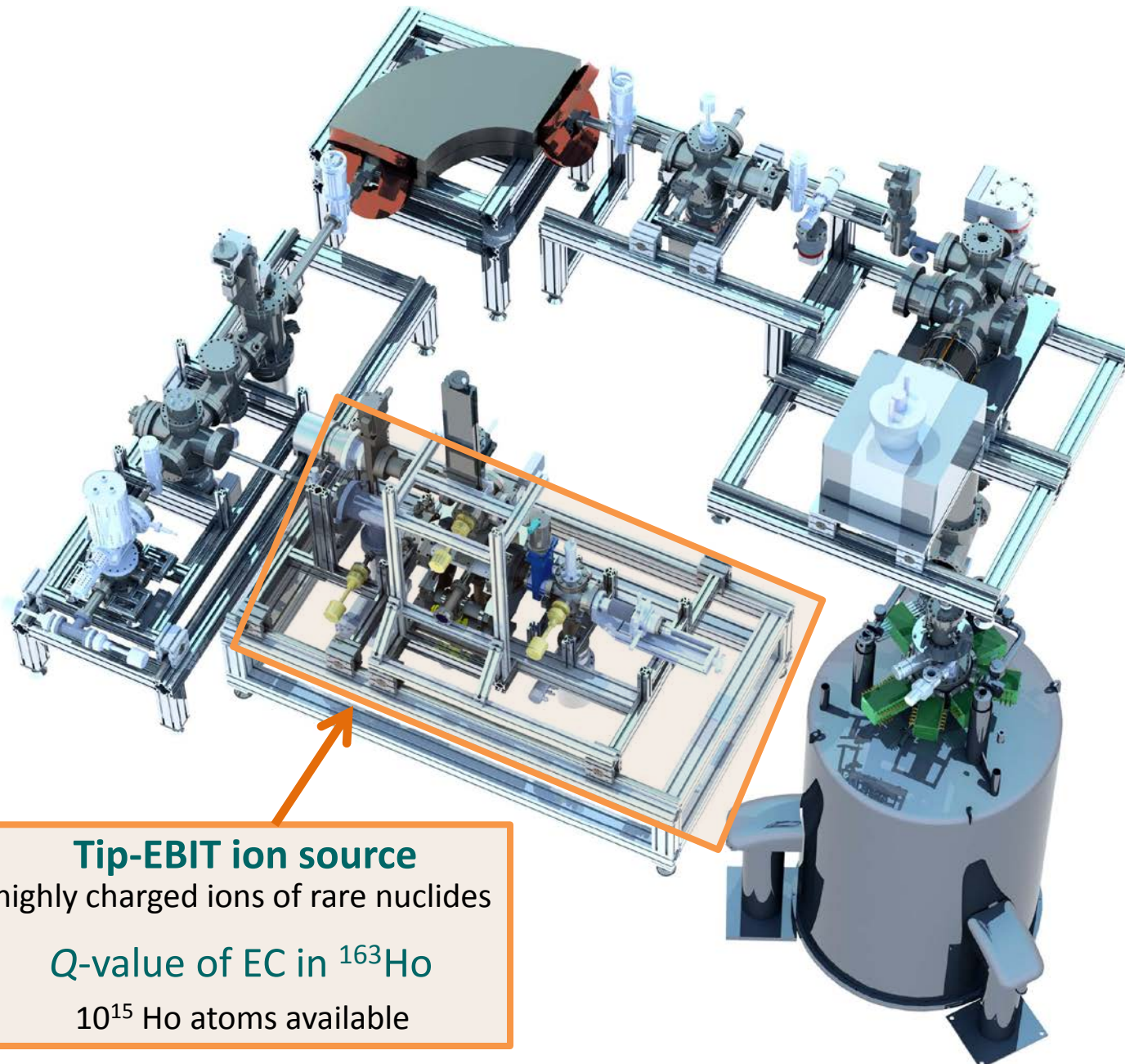
highly charged ions from
gaseous & volatile
chem. compounds
Ar, Xe, Re, Os.....

PENTATRAP setup



H-EBIT
 Pb^{82+} , Pb^{81+}

PENTATRAP setup

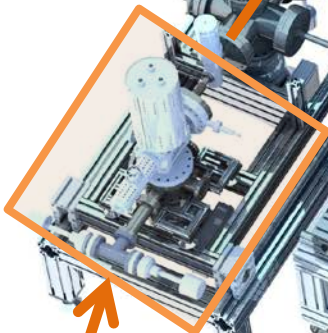


Tip-EBIT ion source
highly charged ions of rare nuclides

Q-value of EC in ^{163}Ho
 10^{15} Ho atoms available

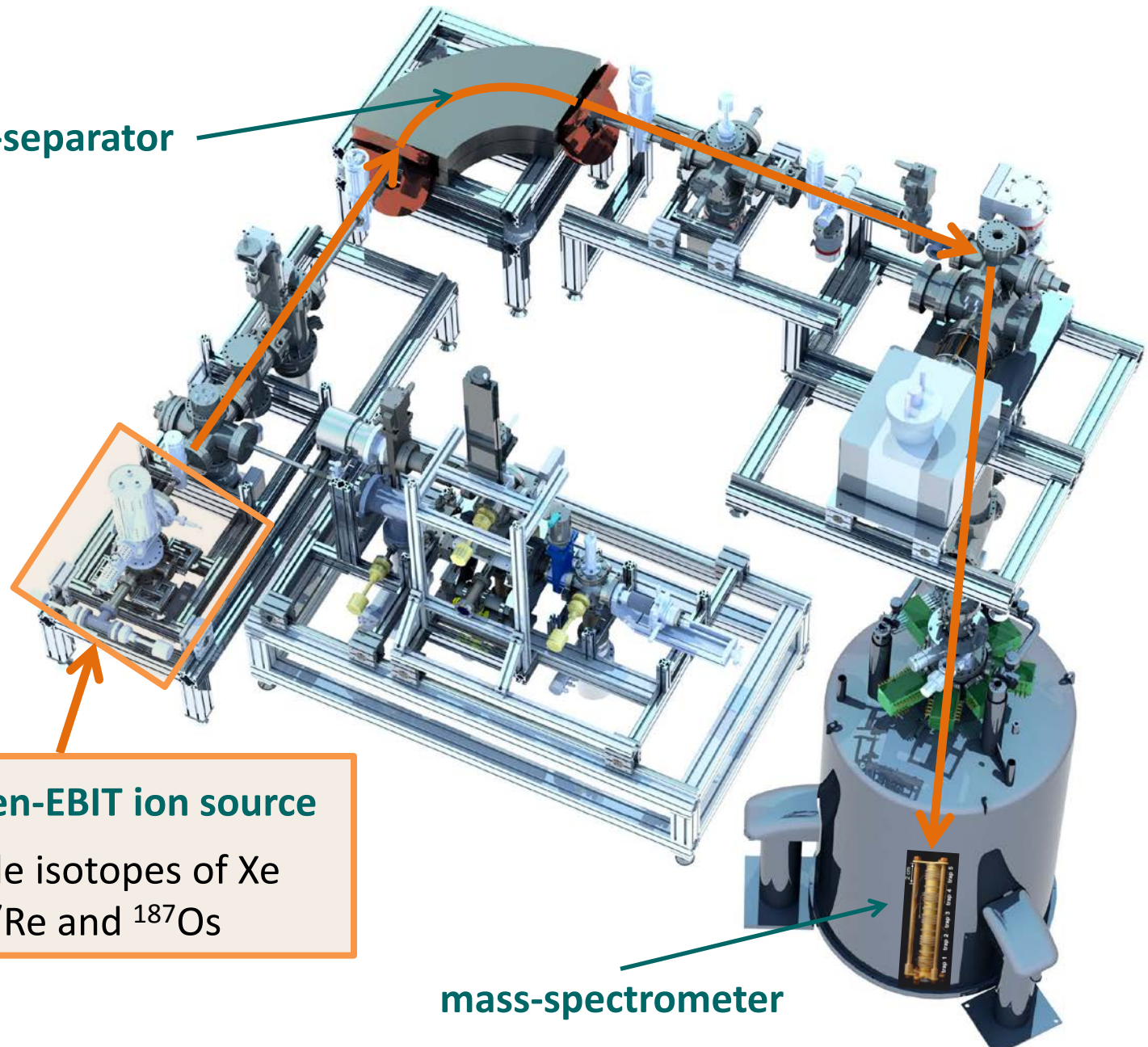
PENTATRAP setup

mass-separator

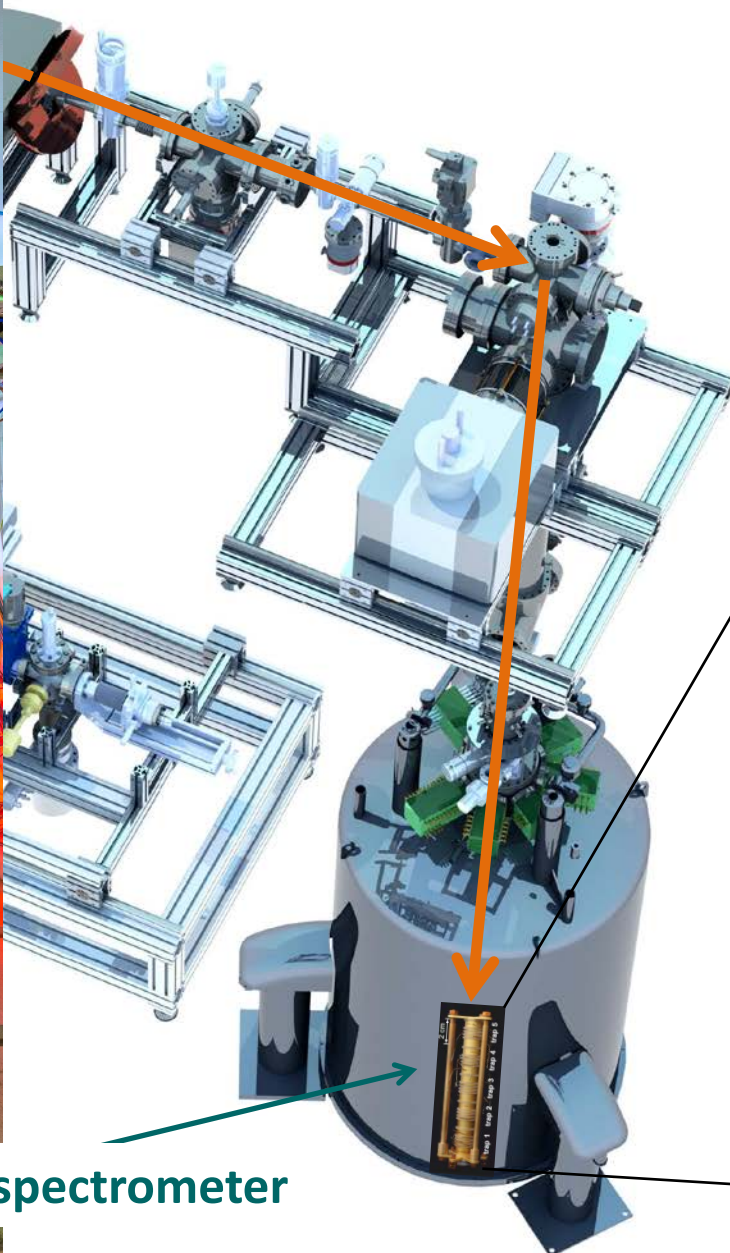
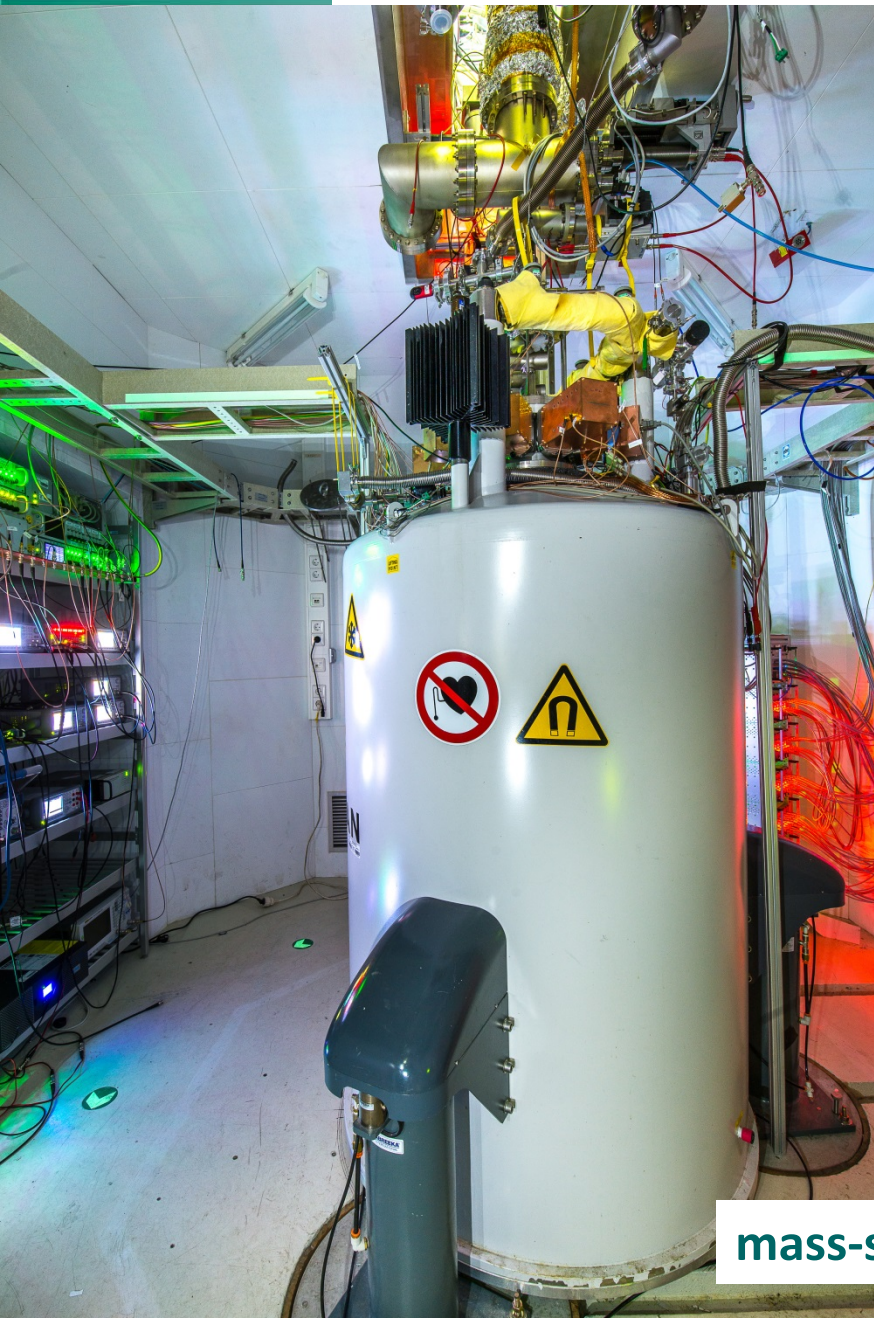


Dresden-EBIT ion source
stable isotopes of Xe
 ^{187}Re and ^{187}Os

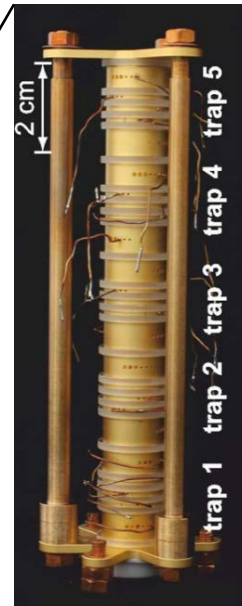
mass-spectrometer



PENTATRAP setup



mass-spectrometer

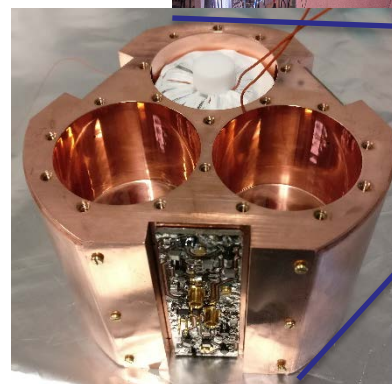
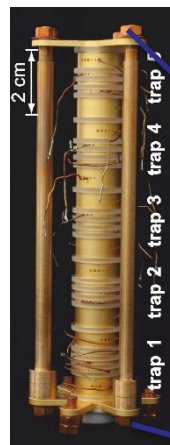




PENTATRAP setup

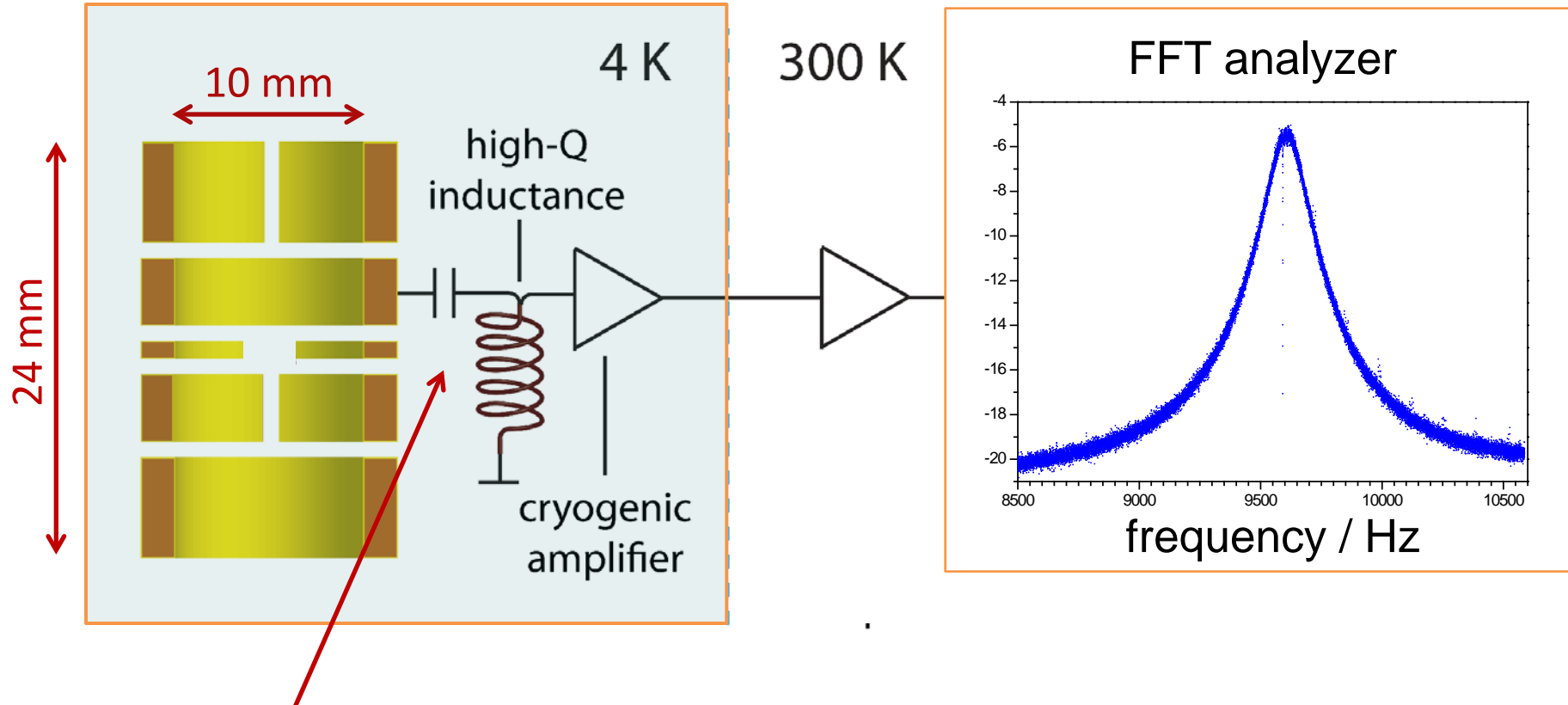
unique features:

- **Stack of five Penning traps:**
enable simultaneous measurements
- **Stable magnetic field:**
$$\delta B / B < 3 \cdot 10^{-10} h^{-1}$$
- **Highly charged ions:**
increased measurement precision
and detection signal
- **Cryogenic (4.2 K) environment**
(Penning-traps and electronics)
- **Phase sensitive detection methods**
- **In-house designed ultra-stable voltage source**



Repp, J. et al., Appl. Phys. B 107, 983 (2012)
Roux, C. et al., Appl. Phys. B 107, 997 (2012)
Sturm, S. et al., Phys. Rev. Lett. 107, 143003 (2011)
Böhm, C. et al., Nucl. Instrum. Meth. A 828, 125 (2016)

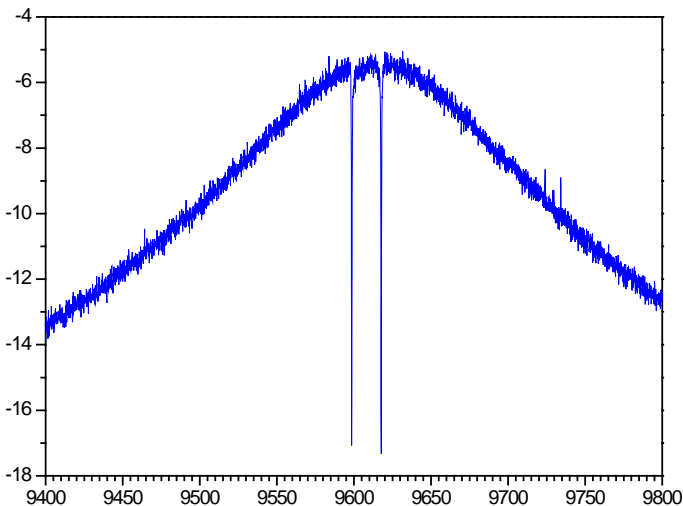
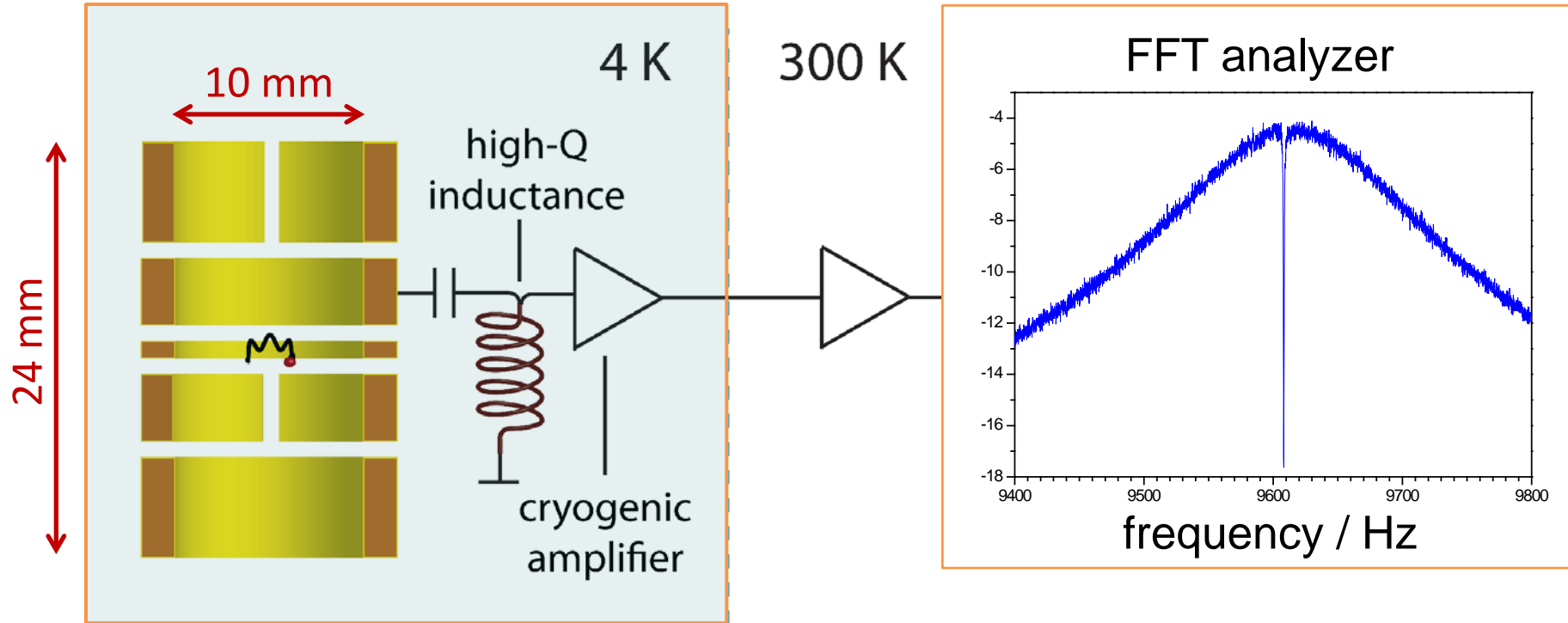
Measurement of trap frequencies with PENTATRAP



LC-circuit
(resonant circuit)

- (1) thermal bath (4 K)
reduction of ion motional amplitudes
- (2) frequency-measurement system

Measurement of trap frequencies with PENTATRAP



axial motion: $\nu_z \sim f\left(\frac{q}{m} U\right)$

cyclotron motion: $\nu_+ \sim f\left(\frac{q}{m} B\right)$

magnetron motion: $\nu_- \sim f(U)$

$\rho_z \sim 10 \mu\text{m}$

$\rho_+ \sim 1 \mu\text{m}$

$\rho_- \sim 1 \mu\text{m}$



Commissioning of PENTATRAP in summer 2018

who to compare with?

Mass Difference

Result (u)

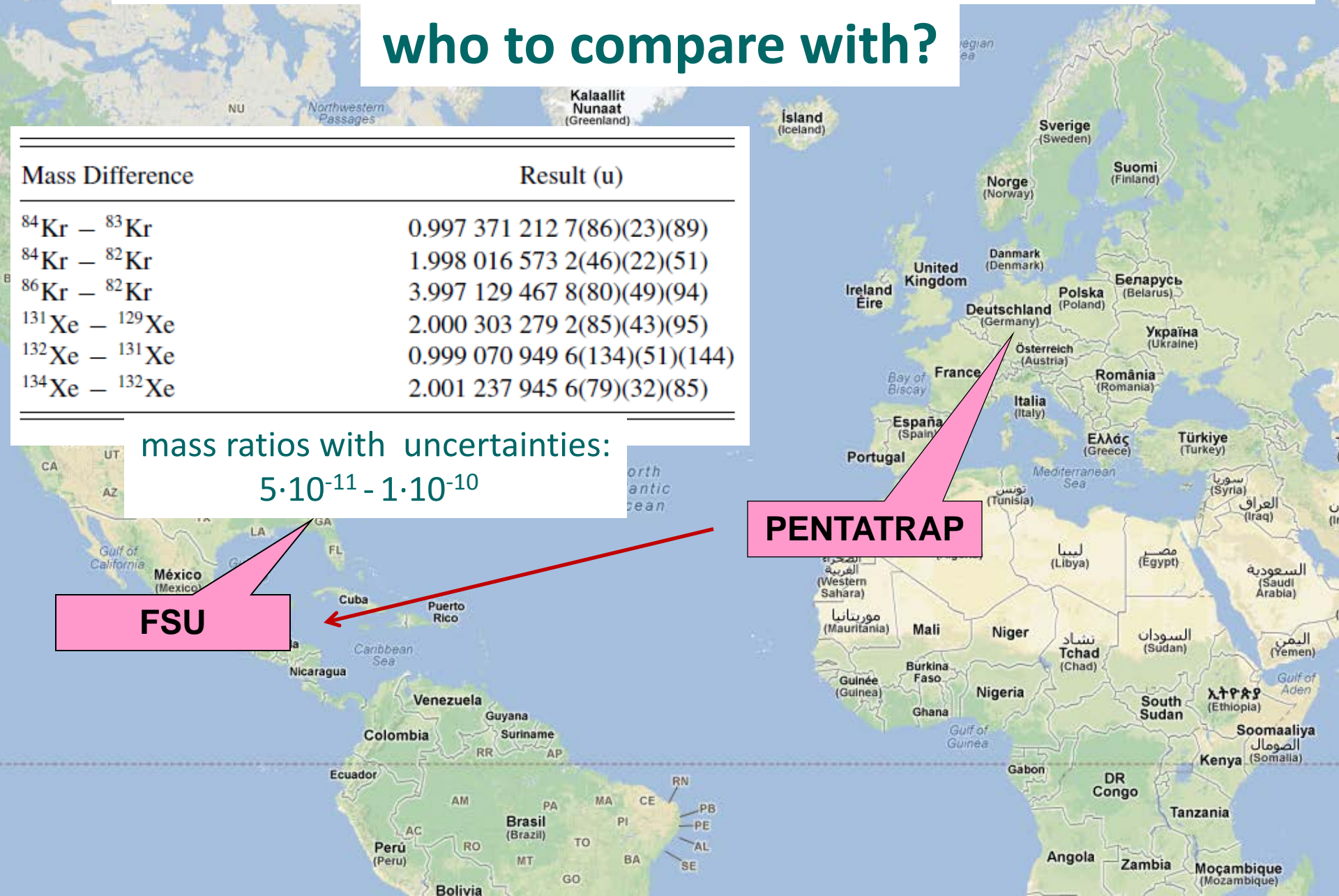
$^{84}\text{Kr} - ^{83}\text{Kr}$	0.997 371 212 7(86)(23)(89)
$^{84}\text{Kr} - ^{82}\text{Kr}$	1.998 016 573 2(46)(22)(51)
$^{86}\text{Kr} - ^{82}\text{Kr}$	3.997 129 467 8(80)(49)(94)
$^{131}\text{Xe} - ^{129}\text{Xe}$	2.000 303 279 2(85)(43)(95)
$^{132}\text{Xe} - ^{131}\text{Xe}$	0.999 070 949 6(134)(51)(144)
$^{134}\text{Xe} - ^{132}\text{Xe}$	2.001 237 945 6(79)(32)(85)

mass ratios with uncertainties:

$$5 \cdot 10^{-11} - 1 \cdot 10^{-10}$$

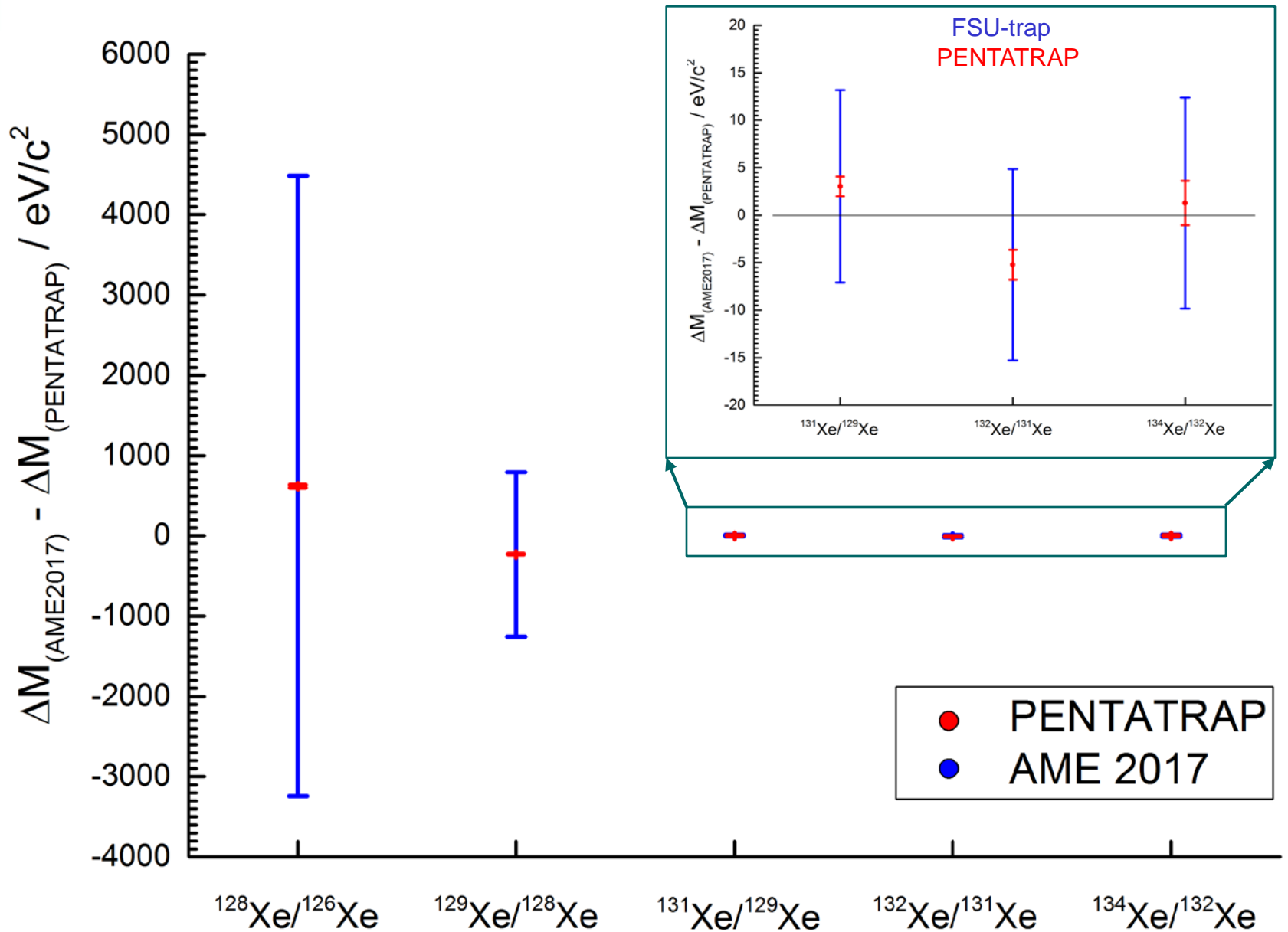
FSU

PENTATRAP





Commissioning of PENTATRAP in summer 2018



Binding Energy of 18th electron in Xe: $M(^{132}\text{Xe}^{18+}) - M(^{132}\text{Xe}^{17+})$



Available online at www.sciencedirect.com



Atomic Data and Nuclear Data Tables 86 (2004) 117–233

Atomic Data
AND
Nuclear Data Tables

www.elsevier.com/locate/adt

Systematic calculation of total atomic energies
of ground state configurations[☆]

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^a Laboratoire Kastler-Brossel, École Normale Supérieure et Université Pierre et Marie Curie, Case 74, 4 place Jussieu, F-75252 Paris Cedex 05, France

^b Departamento de Física, Faculdade de Ciências e Tecnologia, Universidade Nova de Lisboa, Monte de Caparica, 2825-114 Caparica, Portugal


^c Centro de Física Atómica da Universidade de Lisboa, Av. Prof. Gama Pinto 2, 1649-003 Lisboa, Portugal

^d Departamento Física da Universidade de Lisboa, Portugal

$$B^{18\text{th}_e}(\text{Xe}) \Big|_{\text{P. Indelicato}} = 432.4(0.5) \text{ eV}$$



$$\delta[M(^{132}\text{Xe}^{18+})/M(^{132}\text{Xe}^{17+})] \approx 4 \cdot 10^{-12}$$



Binding Energy of 18th electron in Xe: $M(^{132}\text{Xe}^{18+}) - M(^{132}\text{Xe}^{17+})$

$$B^{18\text{th}_e}(\text{Xe}) \Big|_{\text{P. Indelicato}} = 432.4(0.5) \text{ eV}$$

Measurement with PENTATRAP

$$\delta[M(^{132}\text{Xe}^{18+})/M(^{132}\text{Xe}^{17+})] \approx \begin{array}{l} 1.1 \cdot 10^{-11} \text{ (stat)} \\ 2.0 \cdot 10^{-11} \text{ (sys)} \end{array}$$

$$B^{18\text{th}_e}(\text{Xe}) \Big|_{\text{PENTATRAP}} = 433.0(3.0) \text{ eV}$$

Commissioning of PENTATRAP in summer 2018

ongoing measurement:
Q-value of β^- decay of ^{187}Re

Q-value = 2466.7 (1.6) eV



Q-value of EC in ^{163}Ho
with ~ a few eV uncertainty

^{163}Ho lives ~ 4500 years

we have a ^{163}Ho sample
with 10^{15} atoms



development of an EBIT
for a production of
highly charged ions of ^{163}Ho



ongoing measurement: Q -value of β^- decay of ^{187}Re

we measure : $R = \frac{\nu_c[^{187}\text{Os}^{29+}]}{\nu_c[^{187}\text{Re}^{29+}]}$

we want to determine :

$$Q = M[^{187}\text{Re}] - M[^{187}\text{Os}] = M[^{187}\text{Os}^{29+}] \cdot [R-1] + \Delta B$$

Maurits Haverkort

Heidelberg University Institute for Theoretical Physics

Zoltan Harman

Max-Planck Institute for Nuclear Physics

Paul Indelicato

Directeur de Recherche au CNRS

optimal charge state for Re/Os ions is 29+:

- easy to achieve an uncertainty of 10^{-11} in R -measurement
- easy to produce 29+ Re/Os ions with our EBIT
- “easy” electron configurations: $^{187}\text{Re}^{29+} - [\text{Kr}]^4\text{d}^{10}$; $^{187}\text{Os}^{29+} - [\text{Kr}]^4\text{d}^{10}4\text{f}^1$



ongoing measurement: Q -value of β^- decay of ^{187}Re

preliminary results

For Re^{29+} vs. Os^{29+} we measure two ratios with a 50/50 probability:

$$R_1 = 1.000000013886(15)$$

$$R_2 = 1.000000015024(12)$$

- Os^{29+} vs. Os^{29+} measurements yield always unity.
- Re^{29+} vs. Re^{29+} measurements yield either unity or $1+1.14 \times 10^{-9}$.

Re^{29+}

metastable state $[\text{Kr}]^4 d^9 4f^1$

E5

$$B_{\text{PENTATRAP}} = 201(3) \text{ eV}$$

$$B_{\text{Haverkort}} = 204(?) \text{ eV}$$

$$B_{\text{Indelicato}} = 202 (?) \text{ eV}$$

ground state $[\text{Kr}]^4 d^{10}$

Os^{30+}

metastable state $[\text{Kr}]^4 d^9 4f^1$

E5

$$B_{\text{PENTATRAP}} = 207(3) \text{ eV}$$

$$B_{\text{Haverkort}} = 209(?) \text{ eV}$$

$$B_{\text{Indelicato}} = 207(?) \text{ eV}$$

ground state $[\text{Kr}]^4 d^{10}$

ongoing measurement: Q -value of β^- decay of ^{187}Re

preliminary results

We assume that the smaller measured frequency ratio $R_1 = 1.000000013886(15)$ corresponds to the ground state in Re^{29+} . We use this ratio to calculate the Q -value of the beta-decay of ^{187}Re .

Maurits Haverkort has calculated the total electron binding energies for the missing 29 electrons in Re^{29+} and Os^{29+} :

$$B(\text{Os}^{29+}) = 10971.6 \text{ eV}$$

$$B(\text{Re}^{29+}) = 10912.4 \text{ eV}$$

$$\Delta B = 59.2 \text{ eV (uncertainty ???)}$$

$$Q_{\text{PENTATRAP}} = 2477.4(2.9+???) \text{ eV}$$

$$Q_{\text{AME}} = 2466.7(1.6) \text{ eV}$$

$$Q_{\text{PENTATRAP}} - Q_{\text{AME}} = 10.7(3.3+???) \text{ eV}$$

already good agreement;

theoreticians are working on the uncertainty of their calculations



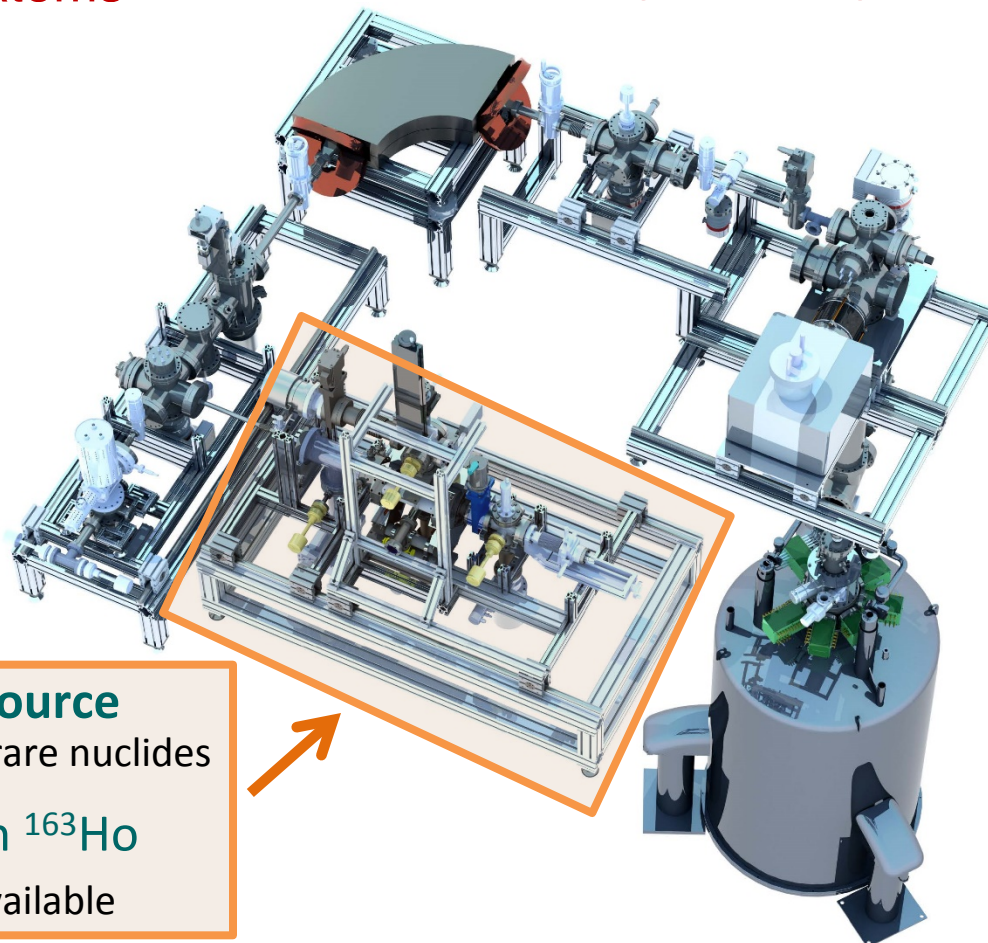
Q-value of EC in ^{163}Ho with \sim a few eV uncertainty

^{163}Ho lives \sim 4500 years

we have a ^{163}Ho sample
with 10^{15} atoms



development of the Tip-EBIT
for a production of
highly charged ions of ^{163}Ho



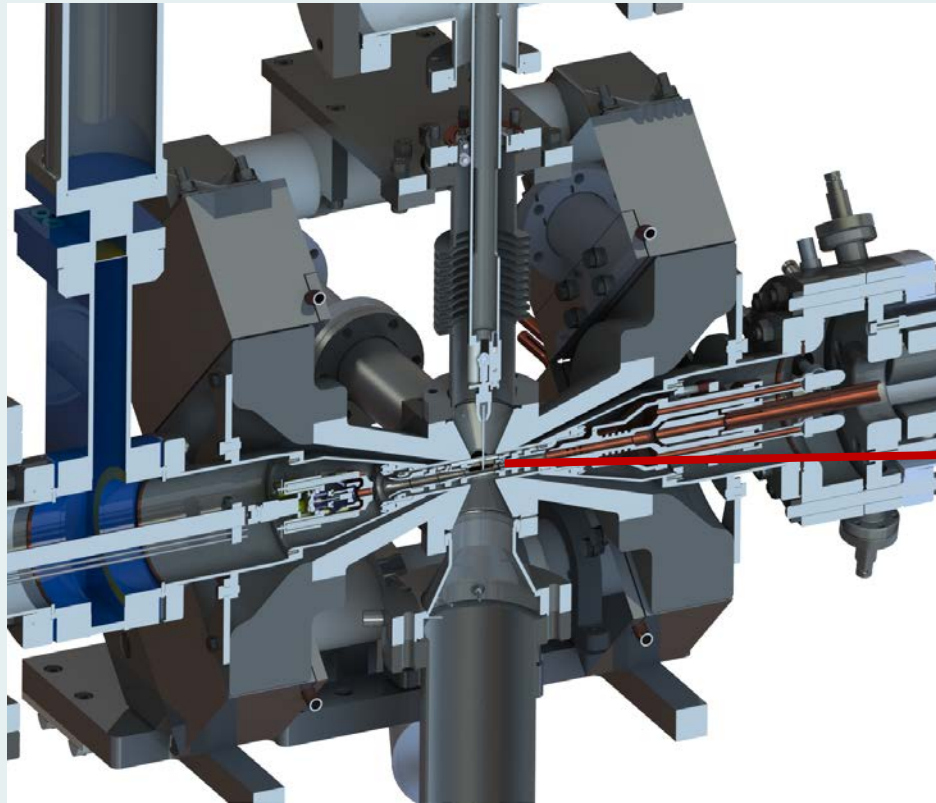
Tip-EBIT ion source
highly charged ions of rare nuclides

Q-value of EC in ^{163}Ho
 10^{15} Ho atoms available



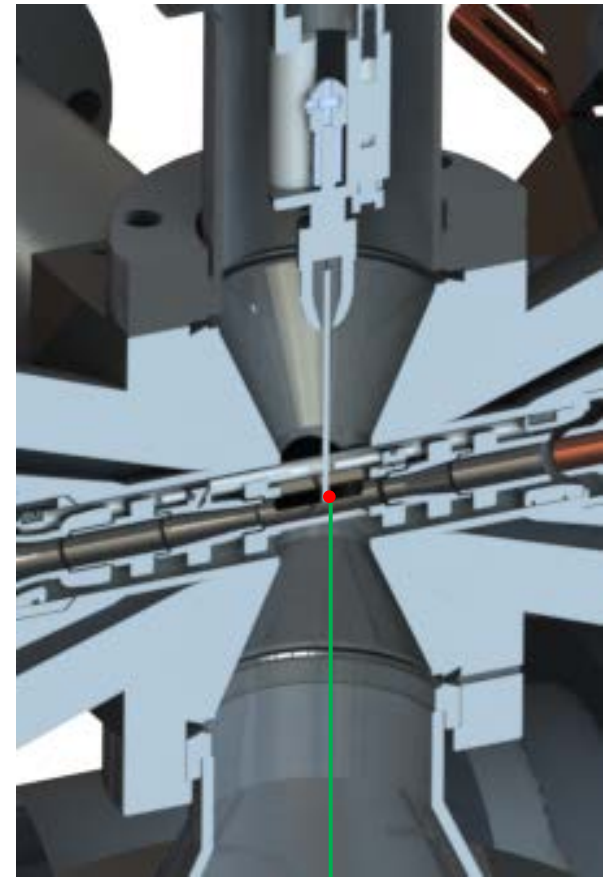
Development of the Tip-EBIT

Mini-EBIT developed in Crespo's group



compact room temperature
permanent magnet, 0.8 T
max. electron current = 60 mA

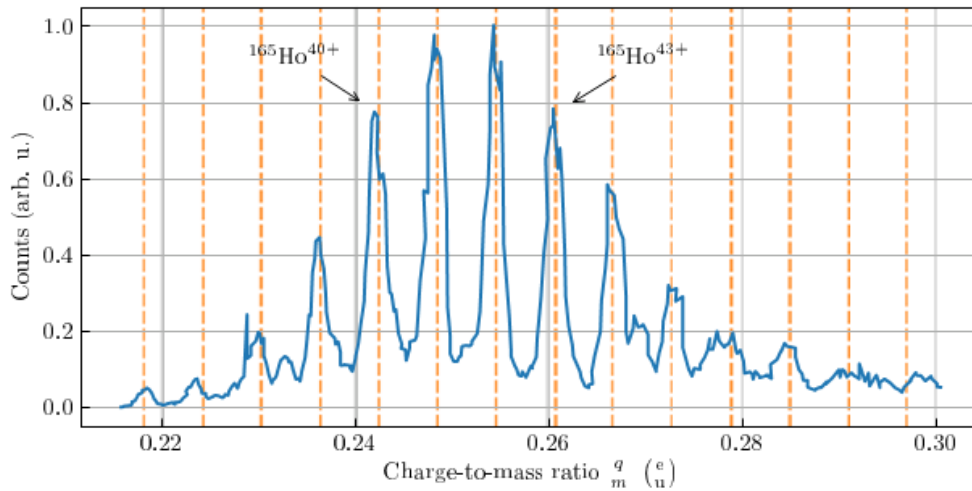
Christoph Schweiger
Charlotte König



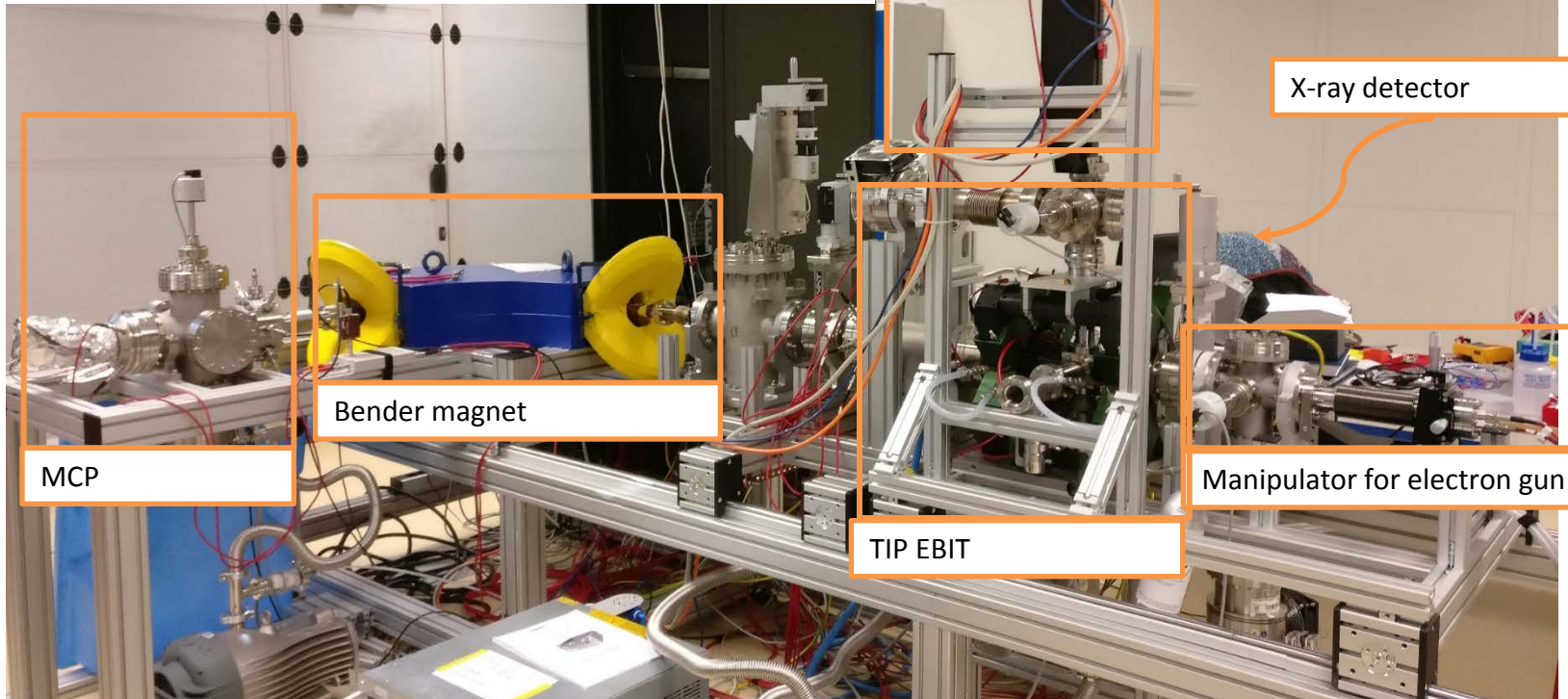
Nd:YAG
(532 nm, 7ns, 1 mJ)



Development of the Tip-EBIT

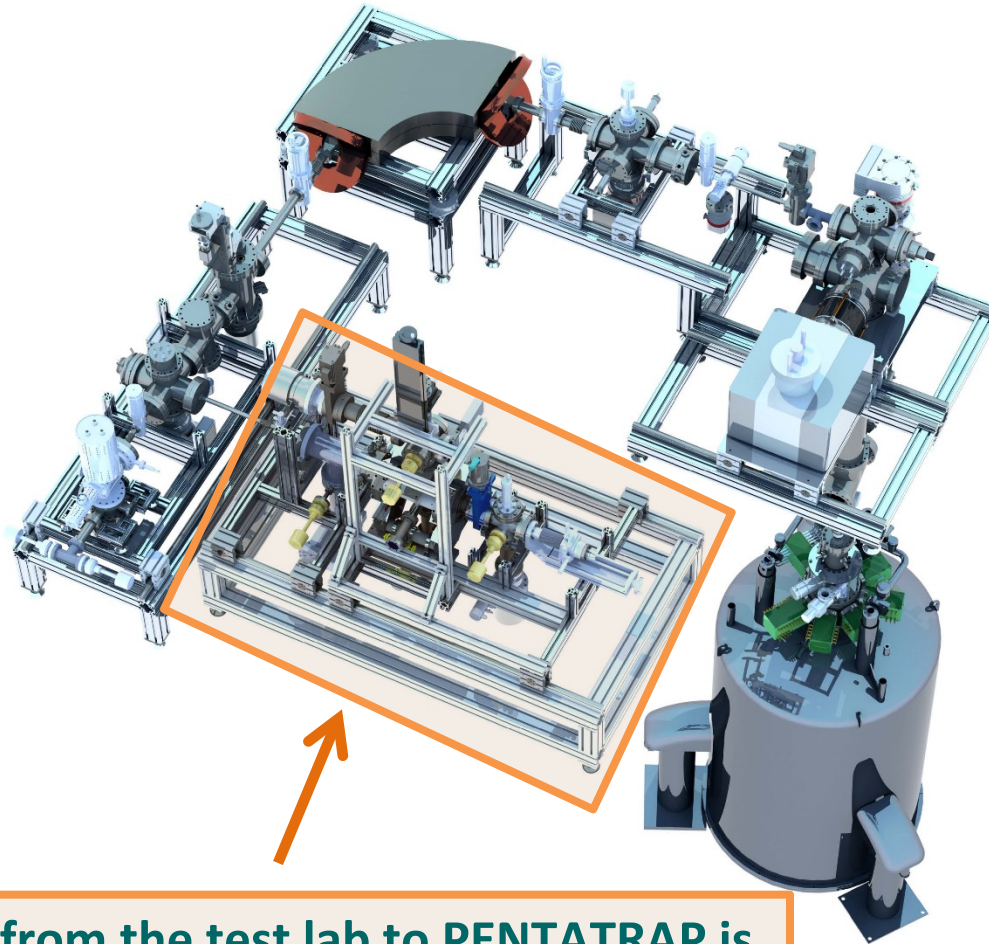


^{165}Ho sample: 10^{12} atoms
life time: 20000 laser shots





Q-value of EC in ^{163}Ho with \sim a few eV uncertainty

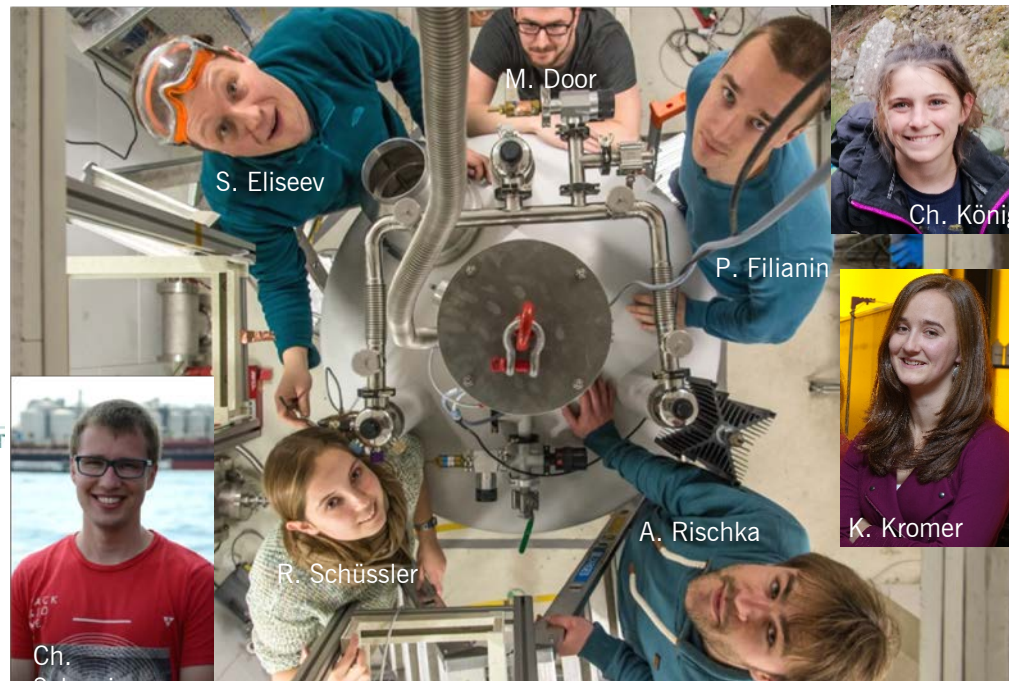


Movement from the test lab to PENTATRAP is
scheduled for this summer.

This autumn - first measurements with ^{163}Ho

Thank you for your attention !

The PENTATRAP Team



MAX-PLANCK-GESELLSCHAFT

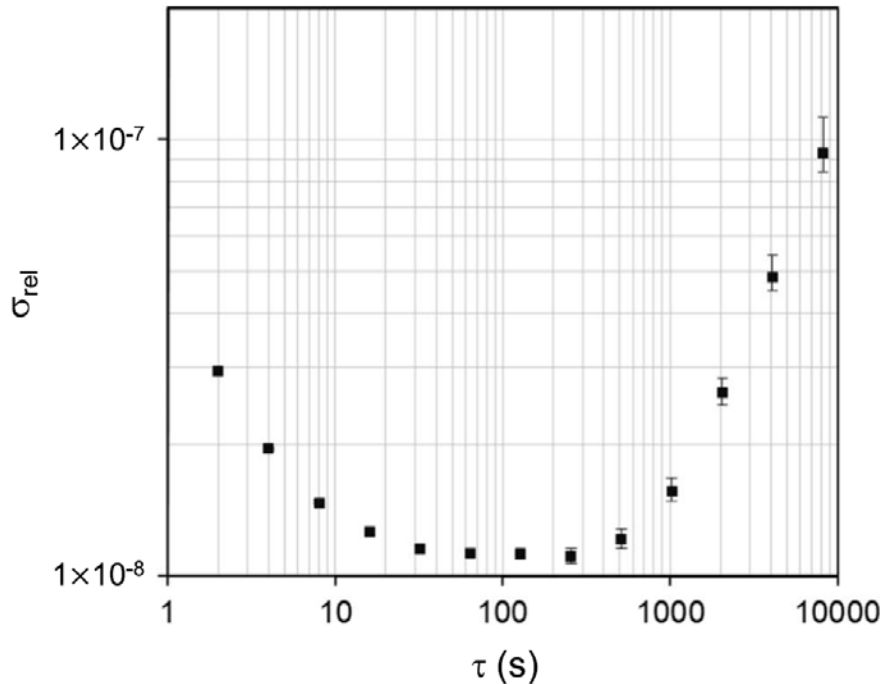


DFG Research
Unit FOR 2202

Christoph Schweiger, José R. Crespo López-Urrutia, Menno Door,
Sergey Eliseev, Pavel Filianin, Charlotte König, Kathrin Kromer,
Marius Müller, Yuri N. Novikov, Alexander Rischka, Rima X.
Schüssler, Stefan Ulmer, Sven Sturm and Klaus Blaum



StaRep – Ultra stable voltage source

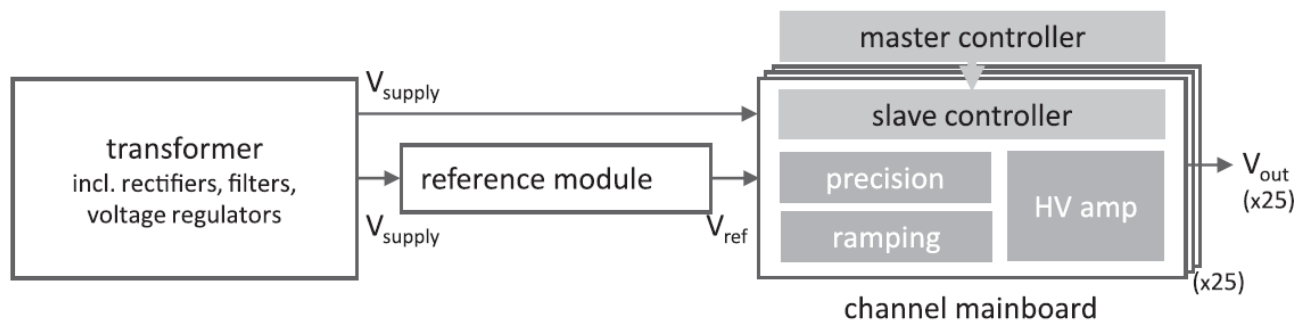


Voltage range: 0 V to -100 V

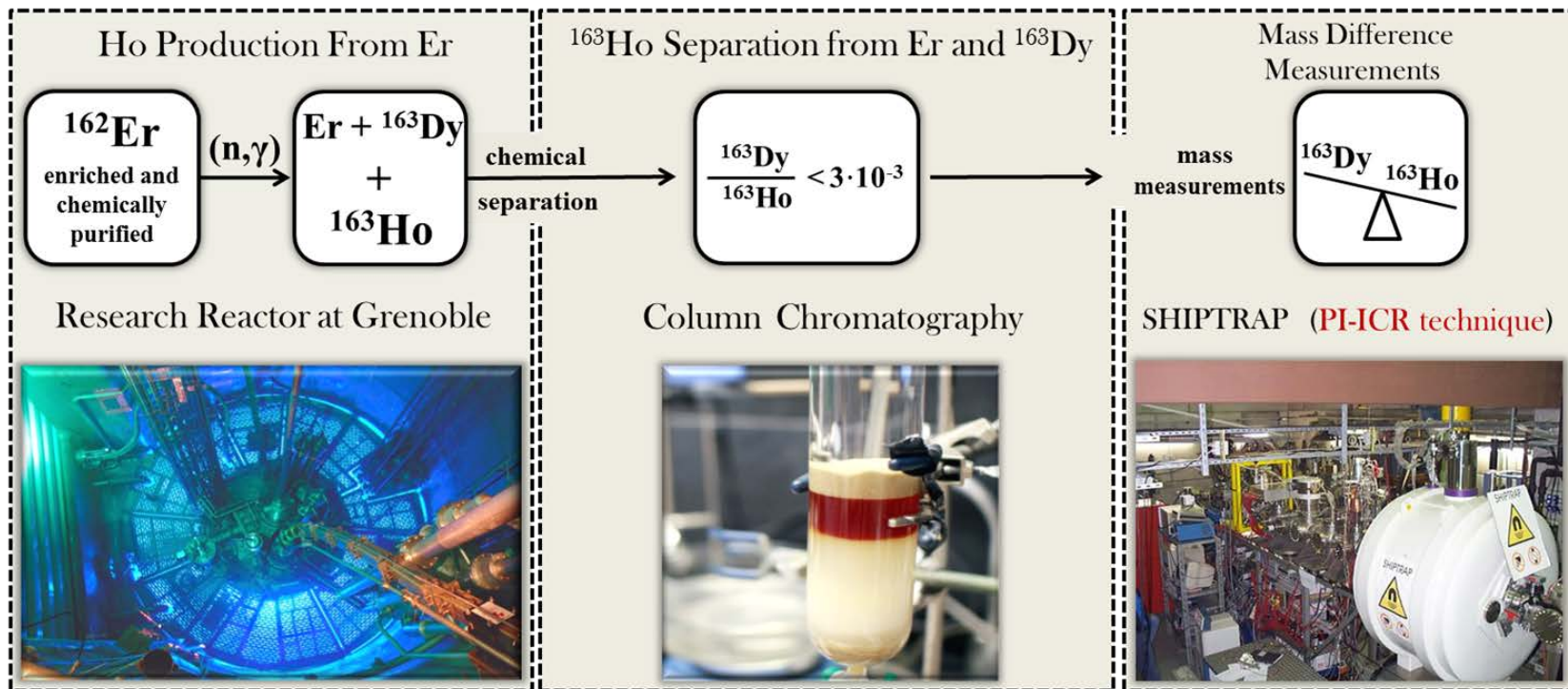
Rel. stability: $< 10E-8$ @ 10 min

Noise (0.1 Hz – 10 Hz): $< 1.5 \mu\text{V}$

Temp. coeff. < 0.1 ppm/K

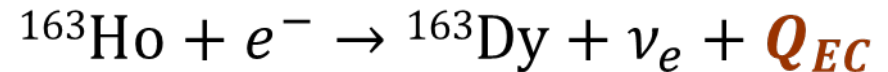


^{163}Ho wire preparation



Mass-ratio measurements at a level of 10^{-11}

Neutrino physics



Test of $E = mc^2$

$$E = \frac{hc}{\lambda} = \Delta m({}^{36}\text{Cl} - {}^{35}\text{Cl} - n)c^2$$

Binding energies

$$E_B(\text{Xe}^{17+}) = \Delta m(\text{Xe}^{26+} - \text{Xe}^{25+})c^2 - m_e c^2$$

g-factor

$$\Delta m({}^{48}\text{Ca}^{17+} - {}^{40}\text{Ca}^{17+})$$

Thorium clock

$$E = \Delta m({}^{229m}\text{Th} - {}^{229}\text{Th})c^2$$



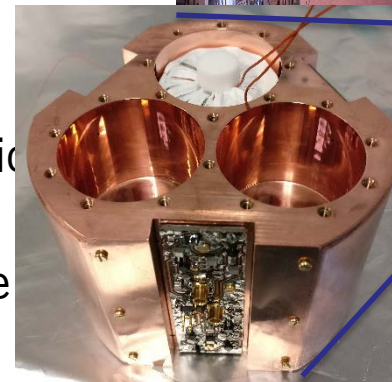
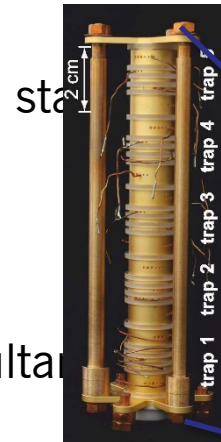
The PENTATRAP experiment

Limitations in PTMS:

–Magnetic field fluctuations and of the electrostatic potential

New in PENTATRAP:

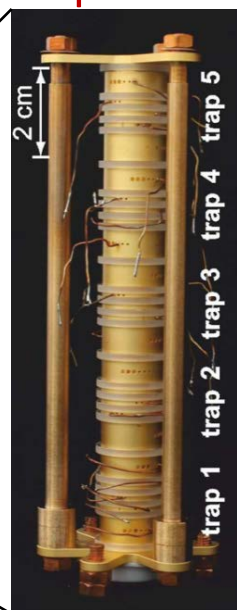
- Stack of five Penning traps enable simultaneous measurements
- Highly charged ions: Increase measurement precision and detection signal
- Phase sensitive detection methods
- Highly sensitive cryogenic detection electronics (FT-ICR)
- In-house designed ultra-stable voltage source
- Cryogenic environment (Penning-traps and electronics)



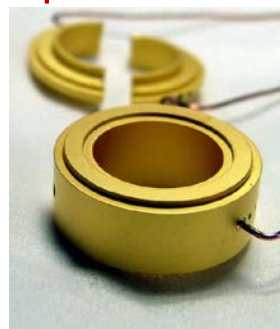
Repp, J. et al., Appl. Phys. B 107, 983 (2012)
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Sturm, S. et al., Phys. Rev. Lett. 107, 143003 (2011)
Böhm, C. et al., Nucl. Instrum. Meth. A 828, 125 (2016)



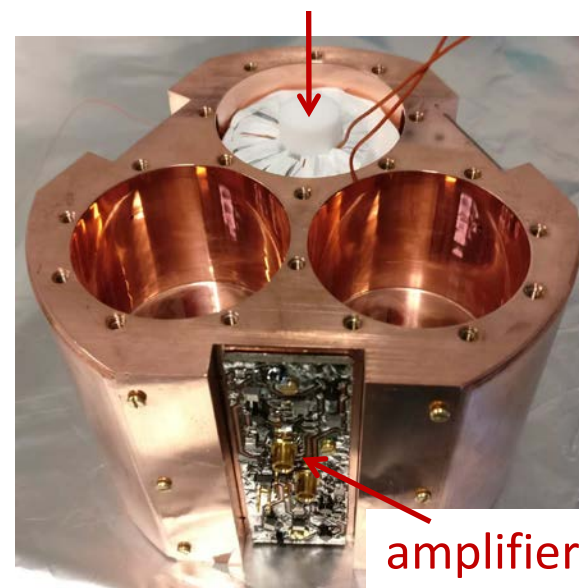
trap tower



trap electrodes



NbTi toroidal coil



amplifier

Systematic uncertainties

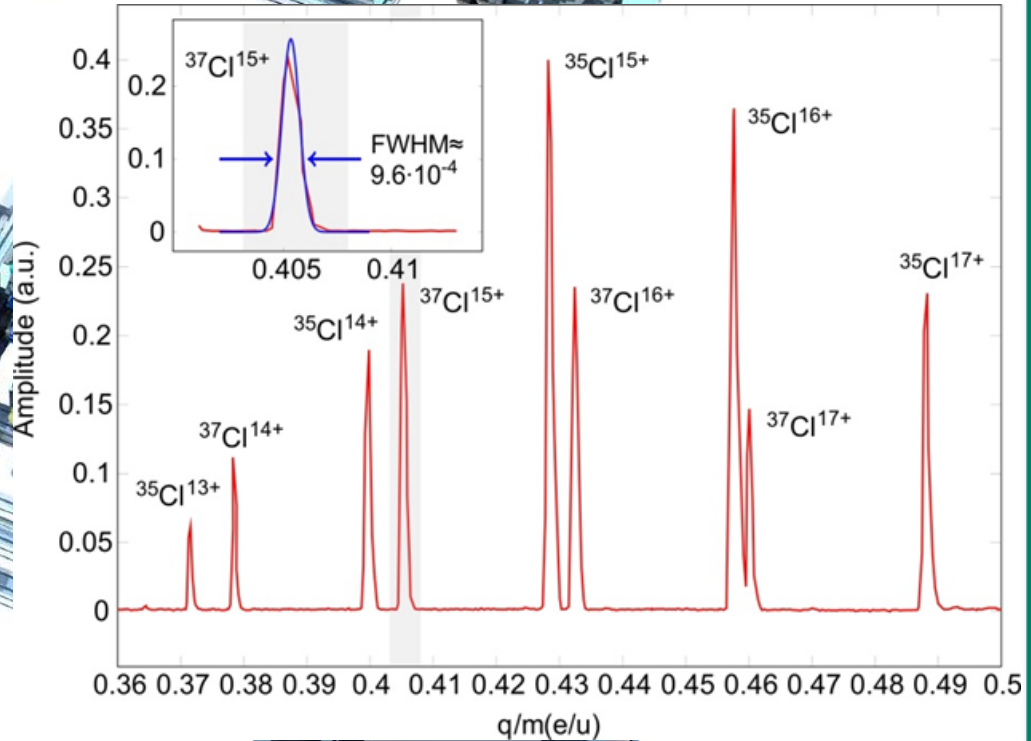
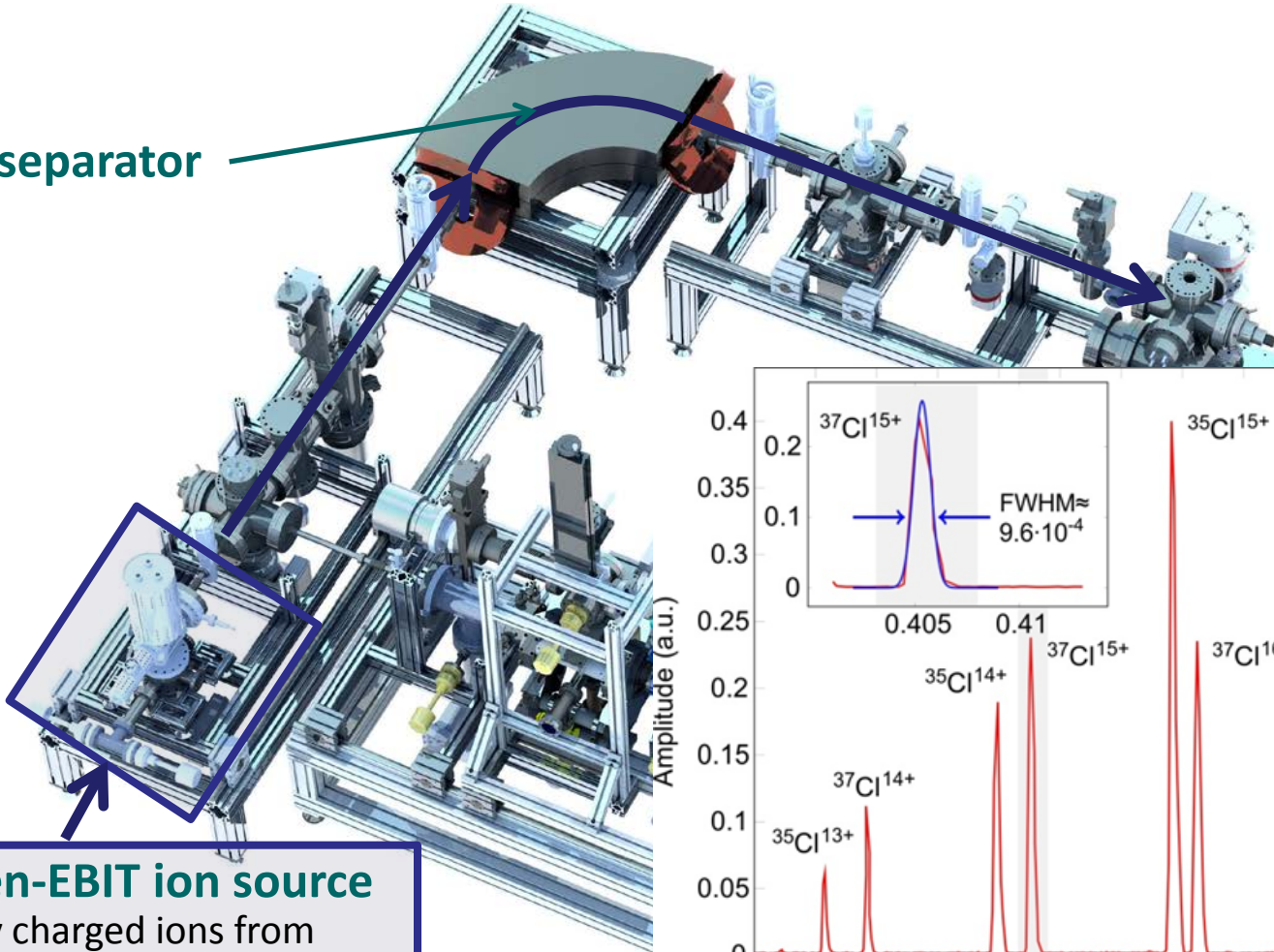
Effect	Estimate/Measurement
An ion in an adjacent trap	$\delta R \ll 10^{-11}$
Image charge effect	$\delta R = 0$ (same mass)
Relativistic shift	$\delta R < 5 \cdot 10^{-12}$
Shift associated with the resonator	$\delta R \ll 10^{-11}$
Higher order electric field components C_4/C_6	$\delta R (C_4) = 10^{-12}$
Magnetic field inhomogeneities B_1/B_2	effect of B_2 : $\delta R \ll 10^{-11}$ effect of B_1: $\delta R \sim 2 \cdot 10^{-11}$
Grouping the data/Fit polynomial order	$\delta R = 1.2 \cdot 10^{-11}$

Preliminary value (E_B): 433.0 (3.0) eV

Theory value: 432.4 (0.5) eV

PENTATRAP setup

mass-separator



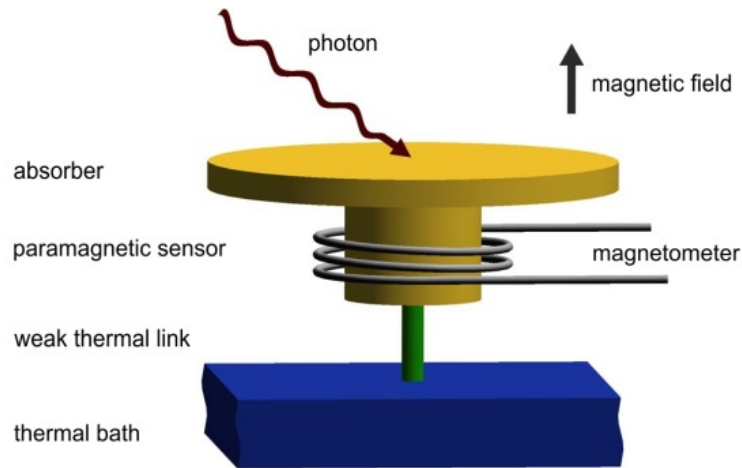
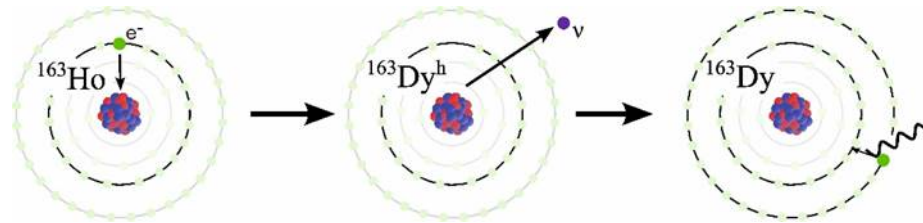
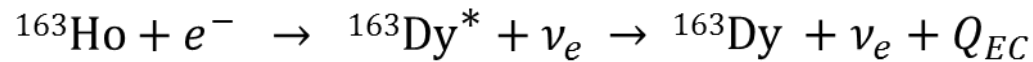
Dresden-EBIT ion source

highly charged ions from
gaseous & volatile
chem. compounds

Ar, Xe,

Q-value of β -decay
of ^{187}Re (Re^{52+} , Os^{52+})

The **E**lectron **C**apture in **H**olmium experiment



Measurement of the de-excitation spectrum:

- Metallic magnetic calorimeters (MMCs) in a cryostat at 50 mK
- ${}^{163}\text{Ho}$ implanted directly in the absorber
- Energy resolution: 2 eV at 6 keV

The **E**lectron **C**apture in **H**olmium experiment

ECHo Phase 1 (Proof of Principle):

1 kBq

ECHo Phase 2: 100 kBq

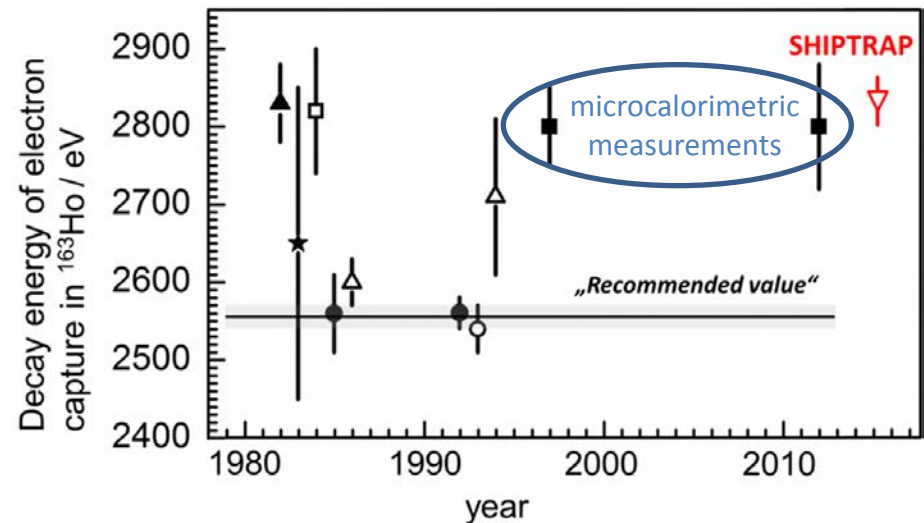
$$m_{\nu_e} < 10 \frac{\text{eV}}{c^2}$$

Q_{EC} measurement at **SHIPTRAP**

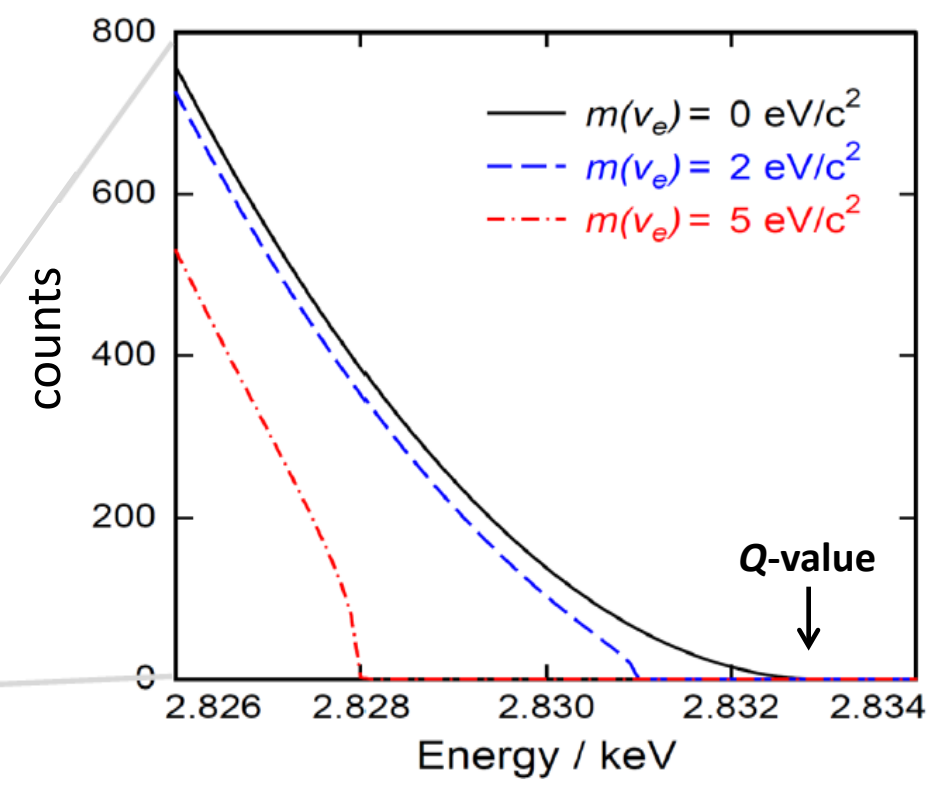
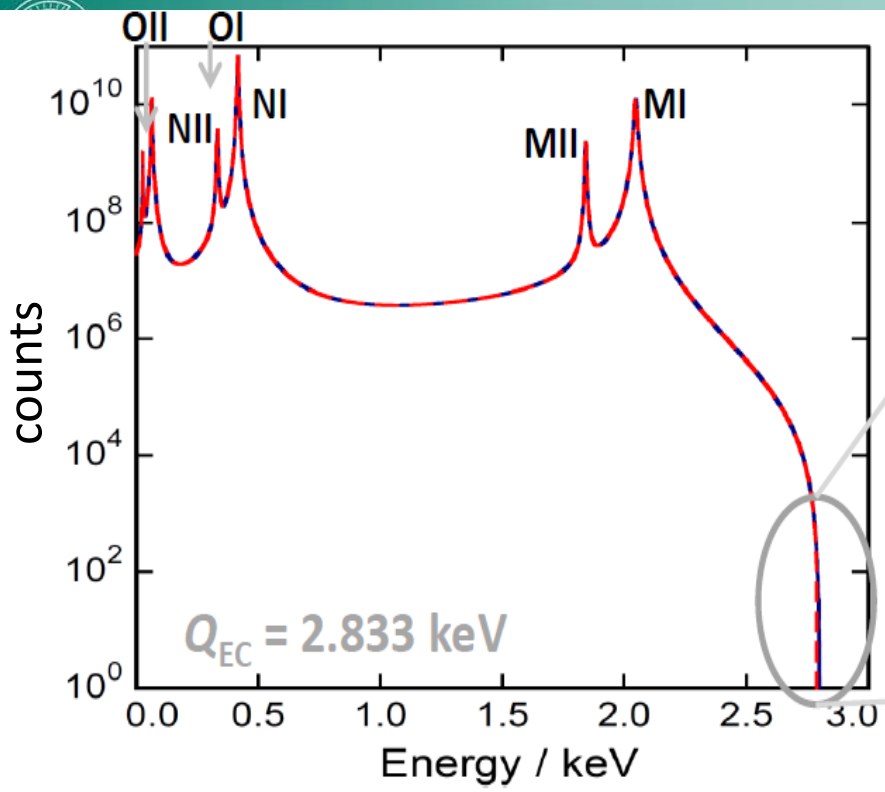
ECHo Phase 3: 1 MBq

$$m_{\nu_e} \leq 1 \frac{\text{eV}}{c^2}$$

Q_{EC} measurement at **PENTATRAN**



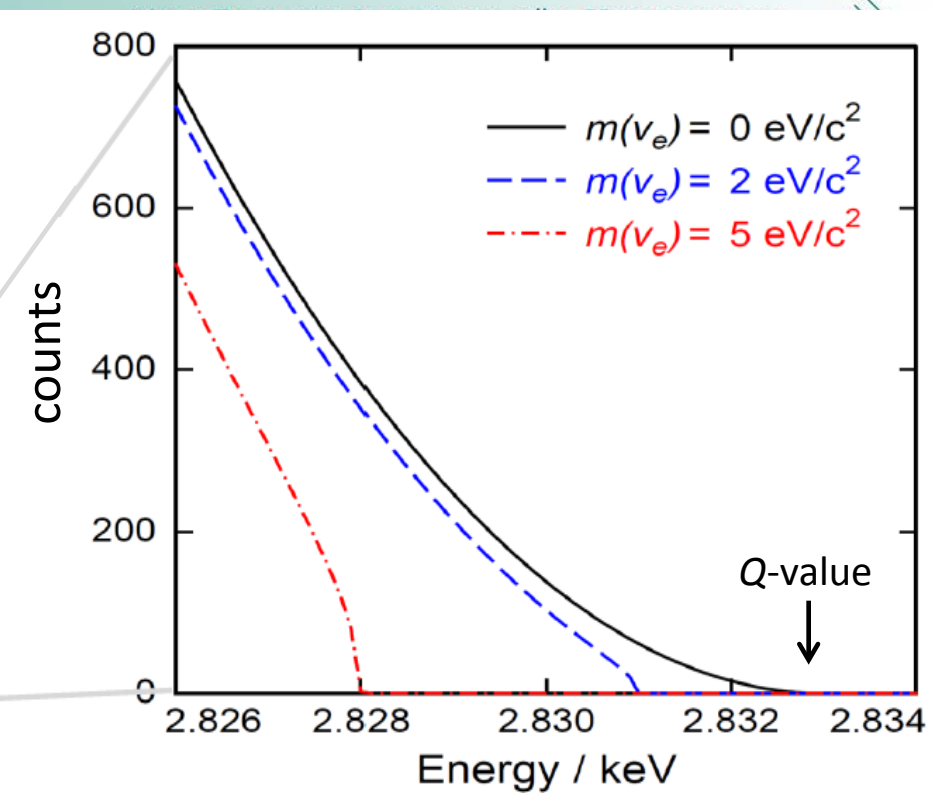
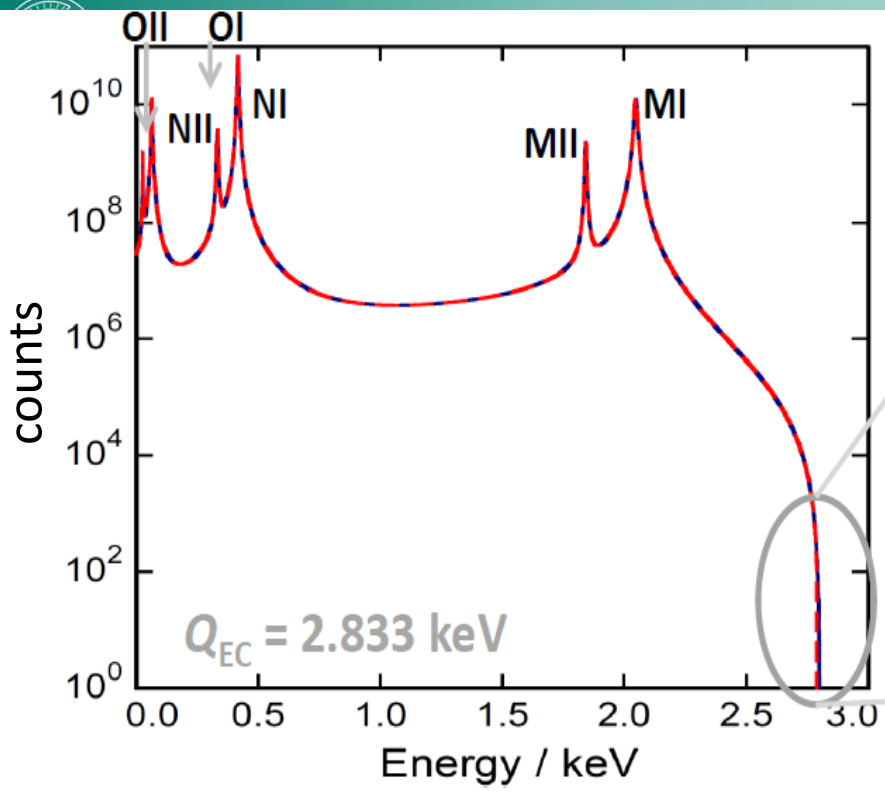
$$Q_{\text{EC}} = 2833 (30_{\text{stat}}) (15_{\text{sys}}) \text{ eV}$$



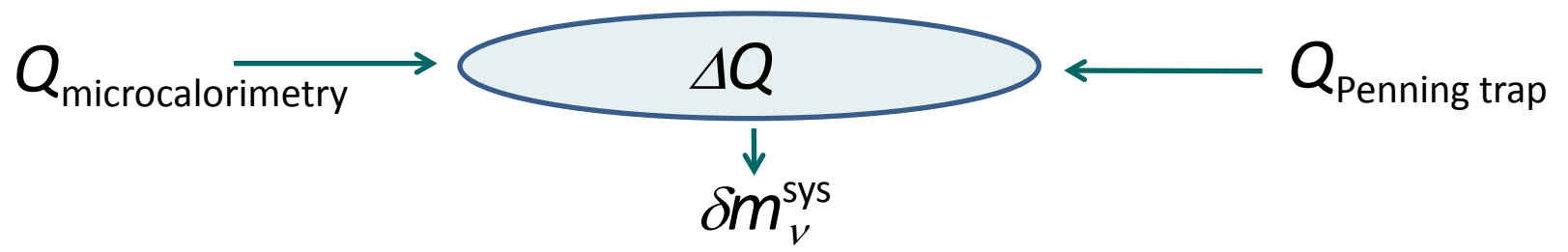
$$\frac{dN}{dE} = A(Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}} \sum C \phi_k^2(0) \frac{\Gamma_k / 2\pi}{(E - B_k)^2 + \Gamma_k^2 / 4}$$

Underlying technique – cryogenic microcalorimetry

L. Gastaldo et al., J. Low Temp. Phys. 176 (2014) 876
 P.C.-O. Ranitzsch et al., J. Low Temp. Phys. 167 (2012) 1004



$$\frac{dN}{dE} = A(Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}} \sum C \phi_k^2(0) \frac{\Gamma_k / 2\pi}{(E - B_k)^2 + \Gamma_k^2 / 4}$$



Penning traps: masses of nuclides

Field	Examples	$\delta m/m$
Nuclear structure physics	shell closures, shell quenching, regions of deformation, drip lines, halos, $S_{n'}$, $S_{p'}$, $S_{2n'}$, $S_{2p'}$, $\delta V_{pn'}$, island of stability	10^{-6} to 10^{-7}
Astrophysics nuclear models mass formula	rp -process and r -process path, waiting-point nuclei, proton threshold energies, astrophysical reaction rates, neutron star, x-ray burst	
Weak interaction studies	CVC hypothesis, CKM matrix unitarity, Ft of superallowed β -emitters	10^{-8}
Metrology, fundamental constants	α (h/m_{Cs} , m_{Cs}/m_p , m_p/m_e), m_{Si}	10^{-9} to 10^{-10}
Neutrino physics	$m_{mother} - m_{daughter}$: $0\nu\beta\beta$, $0\nu 2EC$ sterile neutrinos neutrino mass	10^{-8} - 10^{-9} $<10^{-11}$
CPT tests QED in HCl	m_p and m_p^- , m_{e^-} and m_{e^+} $m_{ion'}$, electron binding energy	$<10^{-11}$

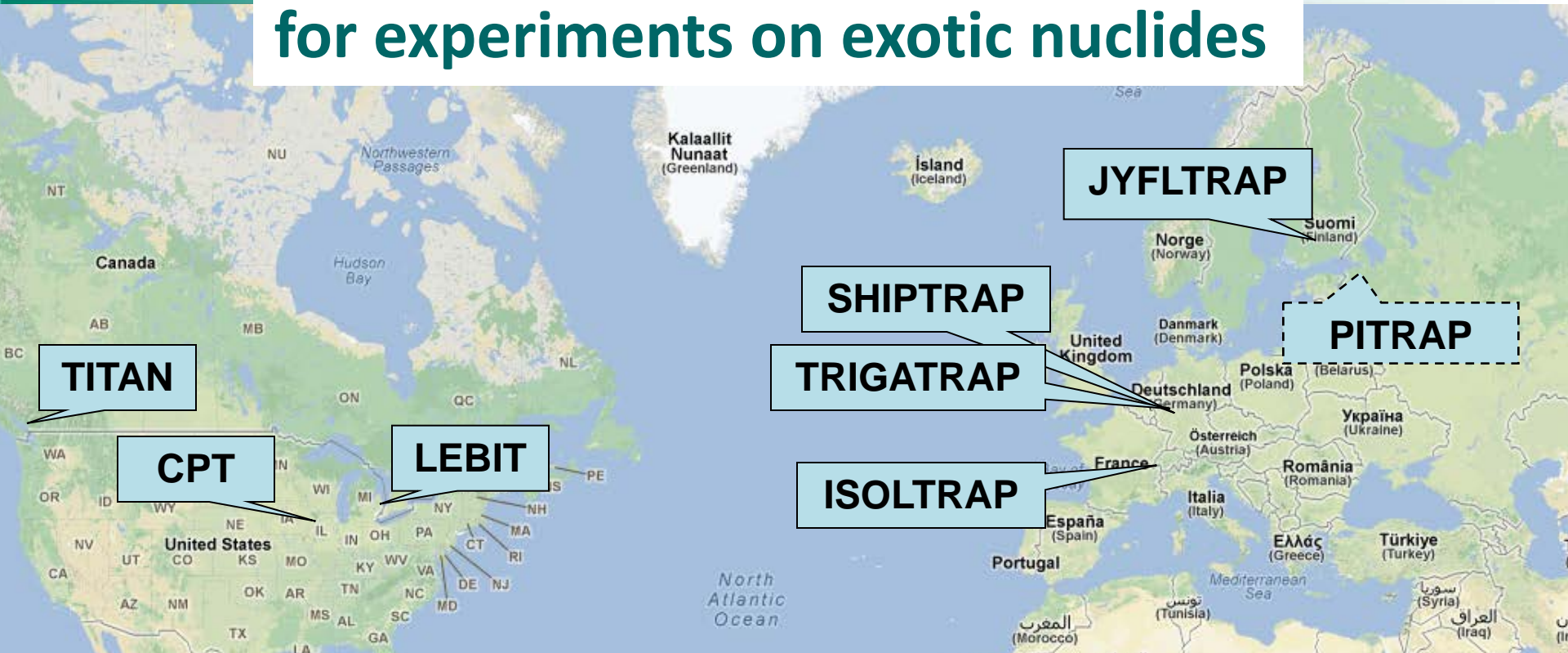
Penning traps: masses of nuclides

Field	Examples	$\delta m/m$
Nuclear structure physics	shell closures, shell quenching, regions of deformation, drip lines, halos $S_{p'}$ $S_{2n'}$ $S_{2p'}$ δV_{pn} island	10^{-6} to 10^{-7}
Astrophysics nuclear models mass formula	Big Bang nucleosynthesis, r-process, s-process, supernova, neutron star, x-ray burst	
Weak interaction studies	CP violation hypothesis, CKM matrix unitarity, Ft of superallowed β -emitters	10^{-8}
Metrology, fundamental constants	α (h/m_{Cs} , m_{Cs}/m_p , m_e/m_p , m_{Si})	10^{-9} to 10^{-10}
Neutrino physics	$0\nu 2EC$	10^{-8} - 10^{-9}
	neutrinos neutrino mass	$<10^{-11}$
CPT tests QED in HCl	m_p and m_p^- m_{e^-} and m_{e^+} m_{ion} , electron binding energy	$<10^{-11}$

on-line facilities
short-lived nuclides

off-line setups
long-lived nuclides

On-line Penning-trap facilities for experiments on exotic nuclides



achievable accuracy of mass measurements

short-lived nuclides : $\delta m/m \sim 10^{-6} - 10^{-8}$

long-lived nuclides : $\delta m/m \sim 10^{-10}$



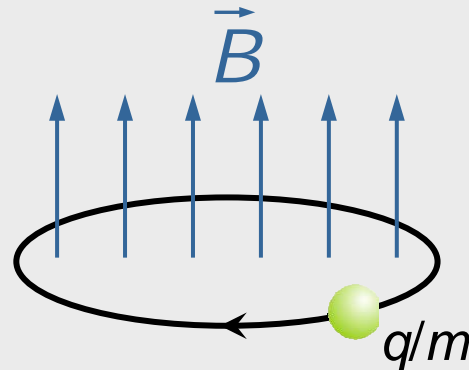
PENTATRAP

Max-Planck Institute for Nuclear Physics,
Heidelberg

$$\frac{\Delta B}{B} < 3 \cdot 10^{-10} \text{ h}^{-1}$$



strong uniform
static B-field



$$v_c = \frac{1}{2\pi} \frac{q}{m} B$$

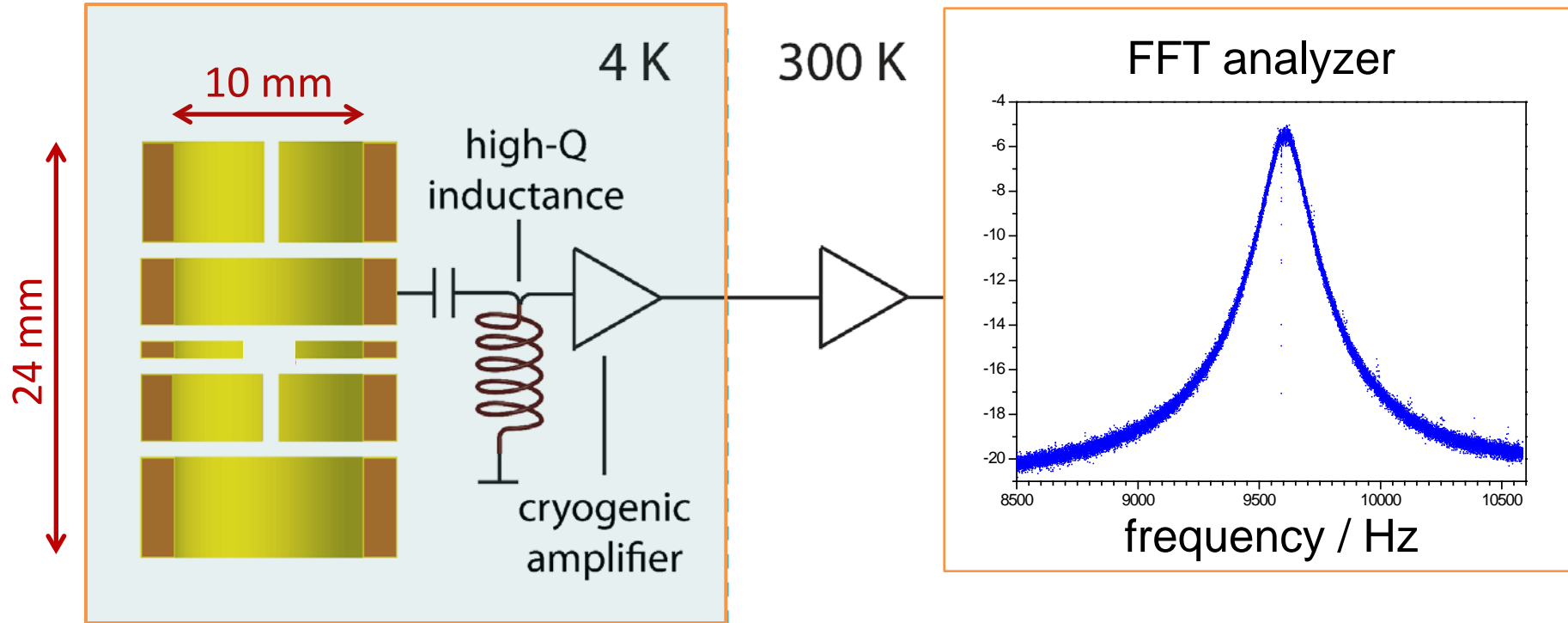
ThE-TRAP

Max-Planck Institute for Nuclear Physics,
Heidelberg

$$\frac{\Delta B}{B} < 10^{-11} \text{ h}^{-1}$$

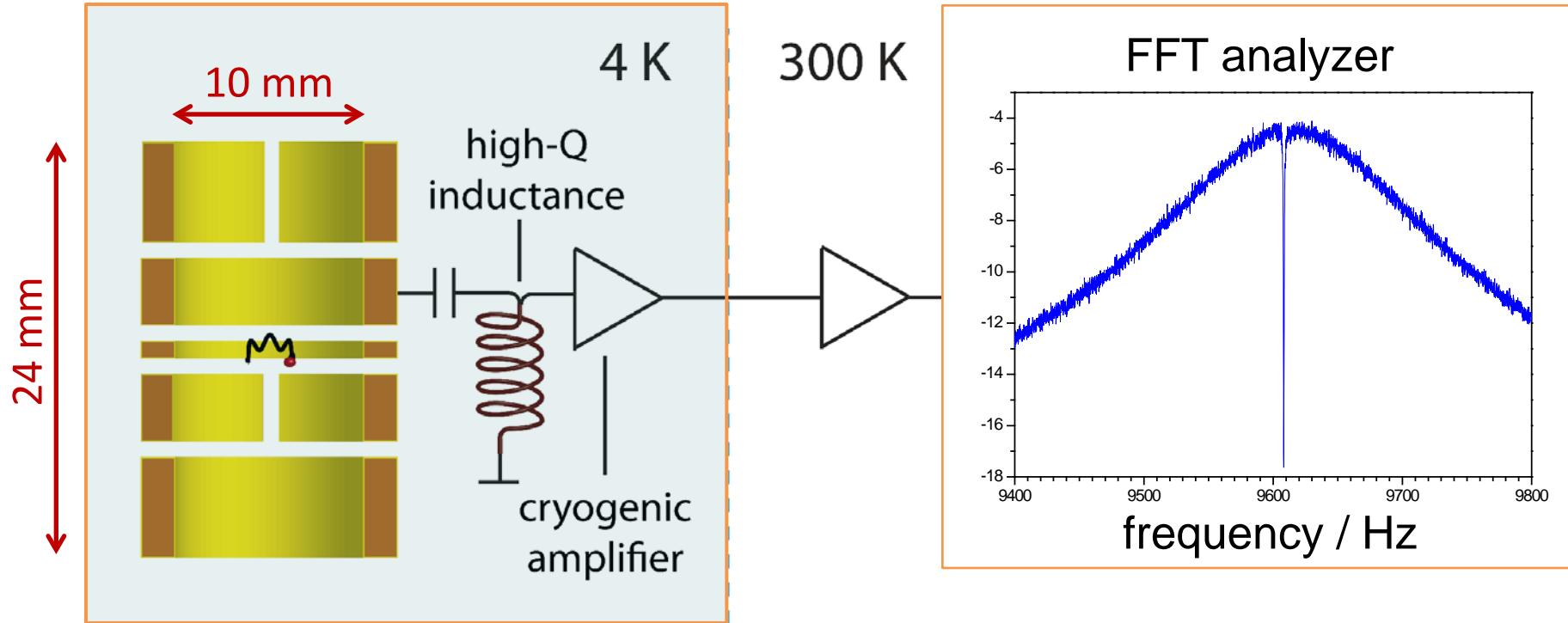


Measurement of trap frequencies with PENTATRAP

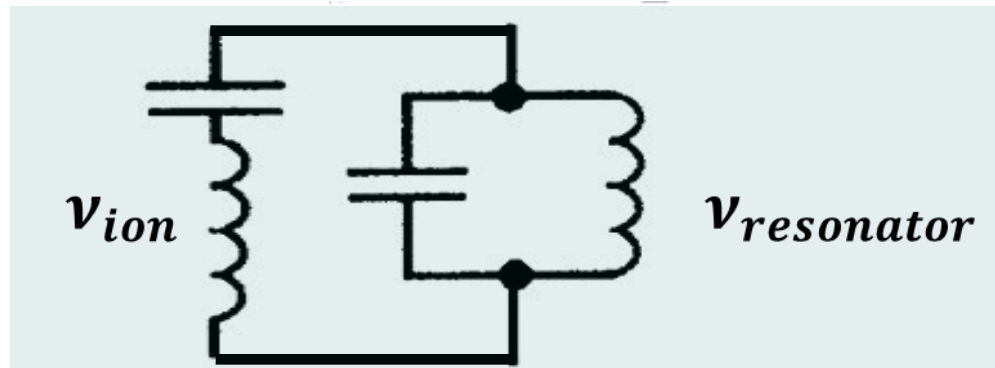


$$\frac{\text{signal}}{\text{noise}} = \sqrt{\frac{k^2 4k_B T R + k^4 R^2 i^2}{e^2}}$$

Measurement of trap frequencies with PENTATRAP

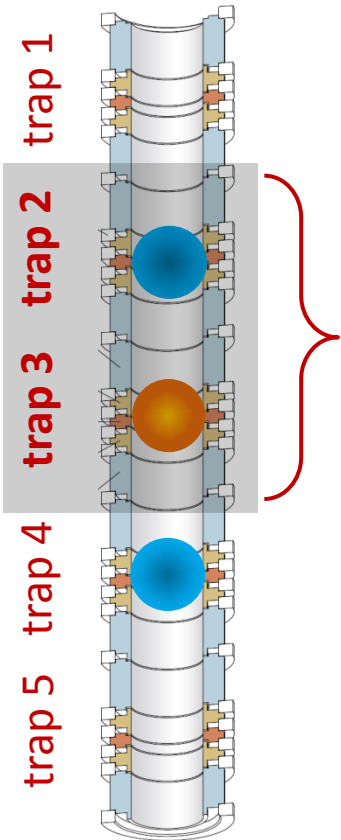


equivalent electronic circuit

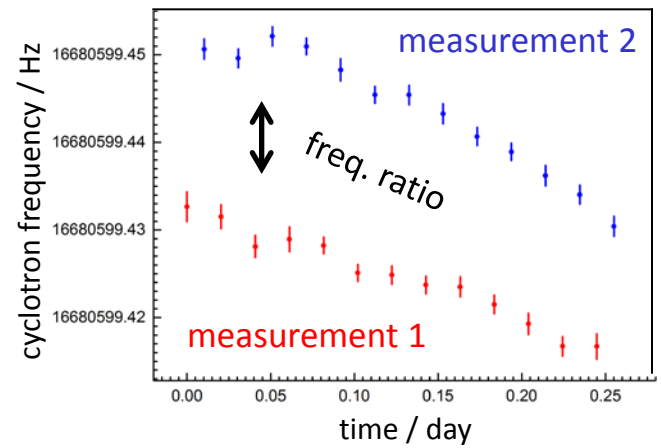
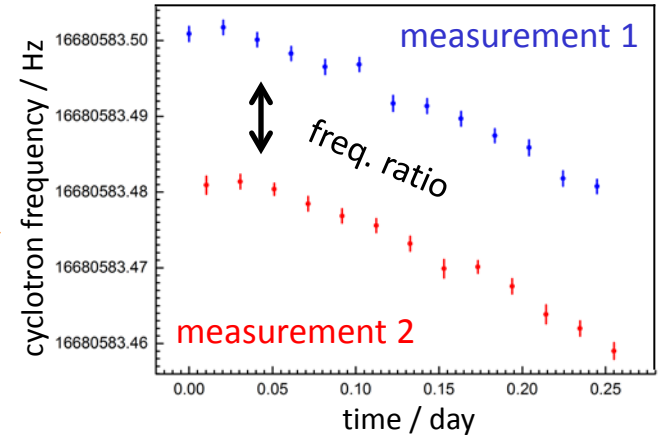
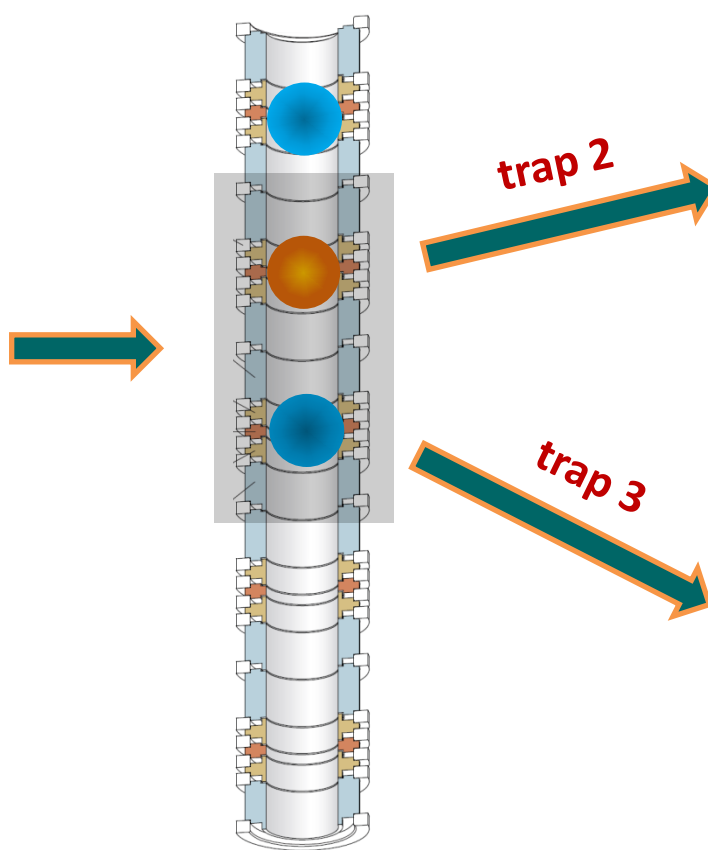


Measurement scheme at PENTATRAP

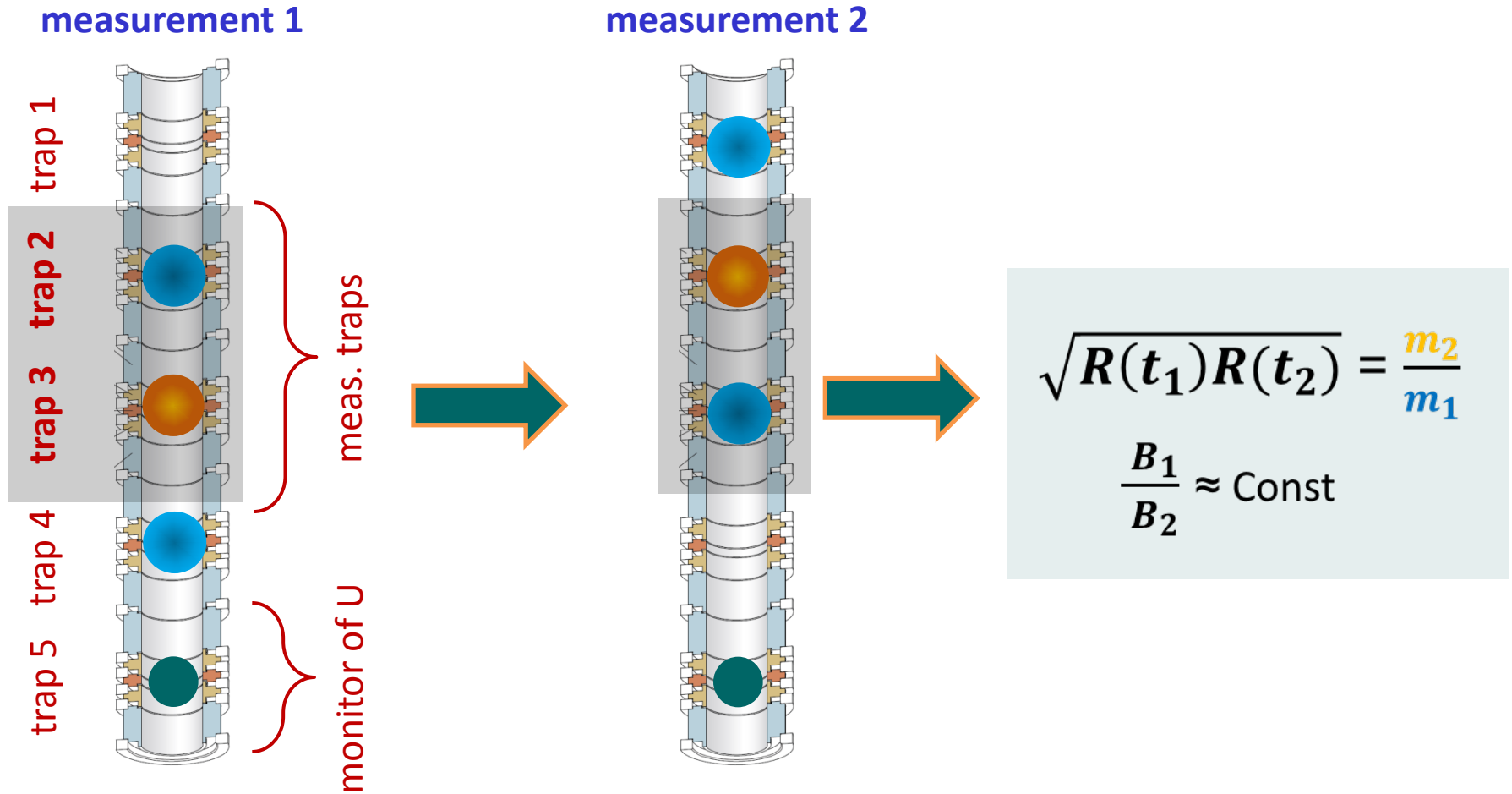
measurement 1



measurement 2



Measurement scheme 2 at PENTATRAP



$$R(t_1) = \frac{v_1(t_1)}{v_2(t_1)} = \frac{m_2}{m_1} \frac{B_2(t_1)}{B_1(t_1)}$$

$$R(t_2) = \frac{v_1(t_2)}{v_2(t_2)} = \frac{m_2}{m_1} \frac{B_1(t_2)}{B_2(t_2)}$$